



SAFETY AND ENFORCEMENT DIVISION  
**MONTHLY PERFORMANCE REPORT**

October 2021



California Public  
Utilities Commission

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This Report reflects Safety and Enforcement Division’s monthly work product. It has not been approved by the Commission rather it is information provided by the Division.

## INTRODUCTION

The CPUC’s Safety and Enforcement Division (SED) oversees the safety of electric and communication facilities, natural gas infrastructure and propane facilities. SED is comprised of three branches of utility engineers, analysts and investigators that focus on ensuring the safety of utility infrastructure and reducing utility caused wildfires. SED advocates for public safety through performing safety audits, conducting incident investigations, and appearing in CPUC safety proceedings. SED has the authority to issue citations with penalties against utility operators who violate public utility safety codes and requirements.

## GAS SAFETY AND RELIABILITY BRANCH (GSRB)

The Gas Safety and Reliability Branch (GSRB) ensures that intra-state natural gas and liquid petroleum gas (LPG) pipeline systems are designed, constructed, operated, and maintained according to safety standards set by the CPUC and the federal government. CPUC gas safety engineers are trained and qualified by the federal government. The CPUC enforces natural gas and LPG safety regulations; inspects construction, operation, and maintenance activities; and makes necessary amendments to regulations. Its mission is to protect and promote the safety of the public and utility employees that work on the gas pipeline systems. October activities included:

- A. Meeting with Lafayette Gas Safety Task Force and PG&E
- B. Meeting with Fiscal regarding citations
- C. Meeting with PG&E leadership team

SED has the authority to issue citations with penalties for operator violations of public utility safety codes and requirements<sup>1</sup>. The citation process allows the CPUC to act expediently in matters where violations of state and federal rules are clear and unambiguous. Citations may arise out of an ongoing investigation into related matters when a violation is brought to the CPUC’s attention directly. The table below shows the status of pending citations issued by SED. The citations can be found on the CPUC’s website [here](#).

Citation Number	Utility	Amount	Violations per GO 112-F	Date Cited	Description	Status
G-20-08-001	Glenview Mobile Lodge	\$50,000	192.605(a), 192.605(b)(3), 192.616(j), 192.723(b)(2), 192.747(a), 192.805, 192.1015(a), 192.357(a), 192.479(a), & 192.353(a)	8/24/20	The MHP failed to remediate violations discovered during an inspection	Alternative Dispute Resolution (ADR) conducted on 12/22/21. Pending.

<sup>1</sup> In December 2011, the CPUC created a citation process authorizing staff to impose fines for natural gas violations.

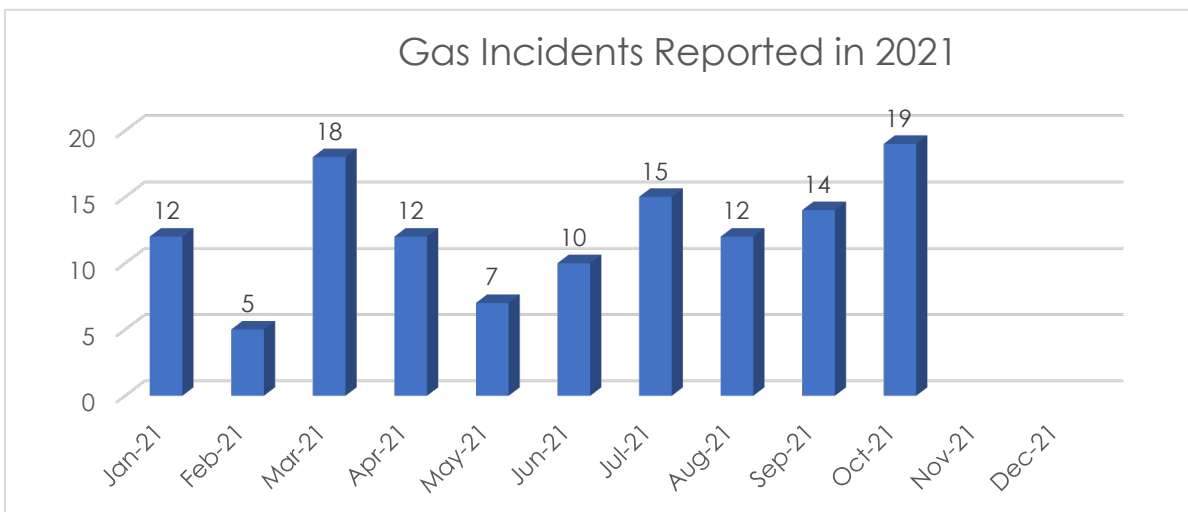
## Natural Gas Inspections (Year to Date)

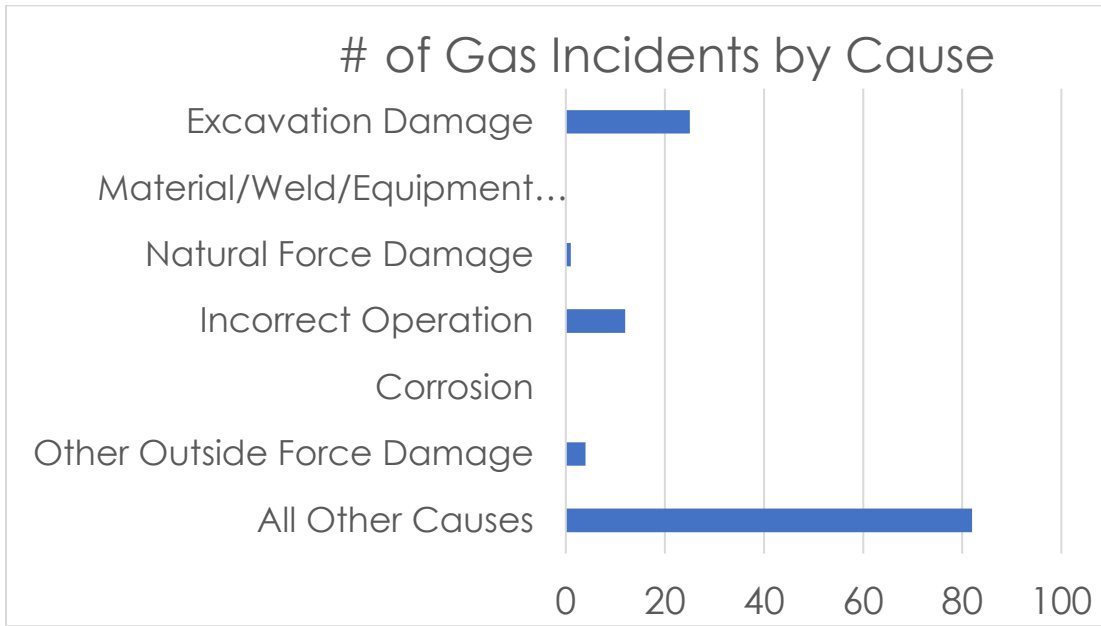
The purpose of GSRB’s inspections is to confirm that operators continue to construct, operate, and maintain their pipeline facilities in a manner that ensures the safety of workers and the public. In addition to routine inspections, GSRB also conducts on-site construction inspections. Typically, each inspection consists of records review of an operator’s past compliance activities, and field verifications. Results are documented in a final report and sent to the operator after the inspection. The expectation is to have a final report sent to the operator within 60 days of the inspection. GSRB will submit an Inspection Closure Letter to the operator within 120 days from the day the inspection is completed. The table below shows GSRB’s inspection activity year-to-date in 2021. Note that some of the final reports completed in 2021 were for inspections conducted in 2020.

Inspections	2021 Year-to-Date
Conducted	30
Final Report Completed	31

## Natural Gas Incident Reports and Investigations in October 2021

As of October 31, 2021, GSRB Staff has received reports of 124 incidents. All reported incidents are assigned to GSRB engineers to investigate and prepare a final report. The report indicates if there was any violation of GO 112F committed by the operators. Operators report incidents based on PHMSA guidelines.





This bar chart above shows the 124 incidents by cause as of October 31, 2021.

The table below shows the 124 incidents by level and status.

Status	Level 1	Level 2	Level 3	Level 4	TOTAL
Open	26	42	5	0	73
Closed	34	15	2	0	51
TOTAL	60	57	7	0	124

The table below provides a summary description of the levels and provides the timeframes/guidelines for incident reports to be completed and closed from the date of assignment of an investigation.

Levels	Definition of Incident Levels	Guidelines
1	Did not result in injury, fatality, fire or explosion; may be due to an unrelated event outside of the Operator's control.	60 days
2	Did not result in injury, fatality, fire or explosion; may or may not have caused a release of gas, have been reported due to Operator judgment.	120 days
3	Resulted in a release of gas but did not result in injury, fatality, fire or explosion.	150 days
4	Resulted in injury, fatality, fire or explosion caused by release of natural gas from the Operator's facilities.	≥ 180 days

## Natural Gas Utility Self-Identified Violation (SIV) Investigations

There were no Self-Identified Violation reported to GSRB in October.

## Customer Safety Complaints

GSRB received and investigated three (3) new customer complaints and inquiries in October.

The three (3) complaints and inquiries were closed without further action after GSRB addressed the reporting parties' concerns.

## Inspection Notices / Notice of Probable Violation Letters (NOPV)

SED may issue an NOPV based on an investigation, customer complaint, or inspection for a violation of an applicable law or regulation. In October, GSRB issued 1 inspection notice and 4 NOPV letters (with 12 probable violations).

- October 7 – SoCalGas Non-DOT incident violation letter – 3 probable violations found.
- October 7 – SDG&E Non-DOT incident violation letter – 2 probable violations found.
- October 8 – PG&E's Northern Area inspection letter – 4 probable violations found.
- October 8 – Southwest Gas Non-DOT incident violation letter – 3 probable violations found.
- October 21 – SoCalGas' and SDG&E's Distribution Integrity Management Program inspection letter – 0 probable violations found.

## Natural Gas Safety and Reliability: Proceedings

### **Aliso Canyon (I.19-06-016) (Commissioner Rechtschaffen /ALJs Hecht / Poirier) (SED**

**Advocacy):** Evidentiary hearings began on March 16, 2021. Please find proceeding highlights from the month of October 2021 below:

- On October 4, 2021, SoCalGas filed a reply to SED's Response to SoCalGas's September 3, 2021 filing. SoCalGas' September 3 filing was required by the Administrative Law Judges' (ALJ) Ruling on August 10, 2021. The August 10, 2021 Ruling required SoCalGas to provide requests for additional activities based on the August deposition of Mr. Randy Holter by September 3.
- On October 4, 2021, SED filed a Reply in support of its Motion to find SoCalGas in Contempt of the Commission and in Violation of Commission Rule 1.1. SED's Reply argued that SoCalGas did not follow the Administrative Law Judges' instructions with regards to the deposition of Mr. Holter. SED argued that SoCalGas was never interested in questioning Mr. Holter about his "personal experiences and observations of the leak, response, well kill, and general condition and operations he observed at the Aliso Canyon Storage Facility." Instead, SED argued, SoCalGas used the deposition to question Mr. Holter about SED's pre-formal investigation. Second, SED also argued that SoCalGas either did not

care about or simply disregarded the Administrative Law Judges' (ALJs) Ruling regarding the permissible scope of Mr. Holter's deposition.

- On October 5, 2021, the ALJs ruled to deny SED's request to add a violation to the Aliso Order Instituting Investigation. SED filed a Motion requesting to add the violation on June 2, 2021, SoCalGas filed a Response in opposition to SED's Motion on June 17, 2021, and SED filed a Reply to SoCalGas' response on June 28, 2021. SED's request to add the violation argued that SoCalGas' "misleading behavior" prevented the record from "clearly" supporting Violation 332 until after evidentiary hearings. In its opposition, SoCalGas argued that adding a violation "violates the Commission's procedures, is factually wrong, and is blatantly unfair and inconsistent with due process." The ALJs' denial reasoned that "the timing of the Motion would not allow for sufficient due process without creating considerable further delay in a proceeding that has already been significantly delayed." The additional violation SED requested to add stated in part, "[I]n violation of California Public Utilities Code Section 451, SoCalGas knew that in the event of a blowout, a relief well would likely be necessary, and continued to run well SS-25 without proper inspection from April 23, 2009 to December 22, 2015...."
- On October 5, 2021, the ALJs granted several motions to take official notice of certain exhibits. Cal Advocates and SoCalGas filed separate motions to take such notice, and both motions went unopposed.
- On October 8, 2021, SoCalGas filed a Response to SED's Motion to Strike SoCalGas' September 3, 2021 filing, and to report violations of rules of professional conduct by SoCalGas counsel to the State Bar of California.
- On October 15, 2021, ALJ Poirier granted in part and denied in part Cal Advocates' Motion to Compel. Cal Advocates' request for the Commission to compel SoCalGas to produce the Boots & Coots witnesses was denied; Cal Advocates' request for the Commission to compel responses to its Data Requests 51 and 52 was granted; Cal Advocates' request for the Commission compel SoCalGas to respond to all future data requests was denied; the testimony of Boots & Coots witnesses Walzel and Haghshenas was not admitted into the record; all other requests in Cal Advocates' August 25, 2021 Motion to Compel were denied.
- On October 18, 2021, SED filed a Reply in support of its Motion to Strike SoCalGas' September 3, 2021 filing, and to report violations of the rules of professional conduct by SoCalGas' counsel to the State Bar of California.
- On October 19, 2021, SoCalGas filed a Response to the Joint Motion of Public Advocates Office and SED to set aside submission for the taking of additional evidence related to SoCalGas' undisclosed use of a court reporter during the hearings in this proceeding.
- On October 27, 2021, SED filed a Motion to Compel SoCalGas to respond to discovery. SED's Motion pointed out that, SoCalGas' counsel stated and reiterated to SED that SoCalGas will not be responding to certain SED discovery pending the ALJs' ruling on SoCalGas' motion for reconsideration.
- On October 28, 2021, Public Advocates Office filed a Motion to Compel SoCalGas to respond to certain data requests regarding well kill issues, and regarding contracts and costs paid to Halliburton Energy Services, and Boots & Coots. Similar to SED's October 27, 2021 Motion to Compel, Public Advocates Office's Motion pointed out that, "SoCalGas announced that it would be seeking reconsideration of the October 15, 2021 ALJ Order granting Cal Advocates' Motion to Compel and would "not be responding to the discovery while its Motion for Reconsideration is pending."
- On October 29, 2021, SoCalGas filed a Motion to limit discovery, a Motion for partial reconsideration of the October 15, 2021 ruling of the assigned ALJs, and a Motion to stay the ALJs' October 15, 2021

ruling. These motions were based in part on the premise that evidentiary hearings had concluded. On November 8, 2021, the ALJs issued a Ruling on the October 29, 2021 Motion for partial reconsideration and Motion to Stay, which stated in part, “We clarify that evidentiary hearings did not conclude and emphasize that the Administrative Law Judges, not parties, determine when evidentiary hearings have concluded.”

**Mobile Home Parks (MHP) Utility Conversion Program (D.20-04-004) (Commissioner Rechtschaffen /ALJ Hecht) (SED Advocacy):** On April 24, 2020, the Commission issued Decision 20-04-004 which established the Mobile Home Park Utility Conversion Program which authorizes IOUs to install new gas and electric utility systems in mobile home parks to replace master-metered utility systems. The program goal is to convert 50% of all mobile home spaces by the end of 2030 according to a risk-based priority ranking system developed by GSRB. GSRB received forms of intent from 986 mobile home communities before the deadline on March 31, 2021. SED created a risk-prioritization list which included new applicants, and those parks still on the Pilot list from 2015, and ranked them according to their utility system’s risk of failure. SED has delivered the priority lists to all participating investor-owned utilities, and the utilities are currently working with MHP Owners to plan and execute conversion projects. There are currently 586 “Category 1” parks, which have a total of 37,890 spaces. SED estimates the utilities will start the conversion process with these Category 1 Parks sometime before the second priority list is produced in July 2025.

**PG&E Locate and Mark Practices OII (I.18-12-007) (Commissioner Rechtschaffen/ALJ Allen) (SED Advocacy):** On February 20, 2020, the Commission issued Decision 20-02-036 which ordered several shareholder-funded gas and electric System Enhancement Initiatives. On August 21, 2020, SED selected a consultant for the Compliance and Ethics Corrective Action Program Audit. On October 15, 2020, SED selected a consultant for the Locate and Mark Compliance Audit and the Locate and Mark Field Audit. On November 17, 2020, PG&E submitted its proposed methodologies for SED’s review and approval. On January 12, 2021, SED responded to PG&E’s proposed methodologies review request. On February 4, 2021, PG&E informed SED about a request from their 3rd Party consultant for the Locate & Mark Field Audit to solicit input from another external party (Exponent) to further develop their sampling methodology. SED responded on February 4, 2021. PG&E submitted its first Locate and Mark (L&M) Annual Report in accordance with Commission Decision (D.) 20-02-036 on April 26, 2021. On June 4, 2021, the Locate and Mark Field Audit consultant submitted their methodology and SED approved this proposed methodology on July 13, 2021. The Locate and Mark Field Audit consultant has performed a pilot Locate and Mark Field Audit and implemented some improvements to the program that are described in a letter submitted to SED on August 25, 2021. On September 16, 2021, the Locate and Mark Field Audit consultant provided SED with the format of the Semi-Annual Report for the compliance audit using field reviews of a random sampling of gas and electric Locate and Mark tickets. On October 15, 2021, PG&E shared with SED their Enhanced Review of Cultural Survey Results, and the Compliance and Ethics Corrective Action Program Audit consultant also provided their audit reports to SED and PG&E.



## ELECTRIC SAFETY AND RELIABILITY BRANCH (ESRB)

The mission of ESRB is to enforce state statutes and regulations regarding the safety and reliability of electric facilities, communication facilities, and power plants that are within the jurisdiction of this Commission in California, to ensure that the facilities are operated and maintained in a safe and reliable manner to protect and promote the public health and safety, and to facilitate an environment inside and outside of the Commission that increases the safety and reliability of these facilities. October activities are below and include:

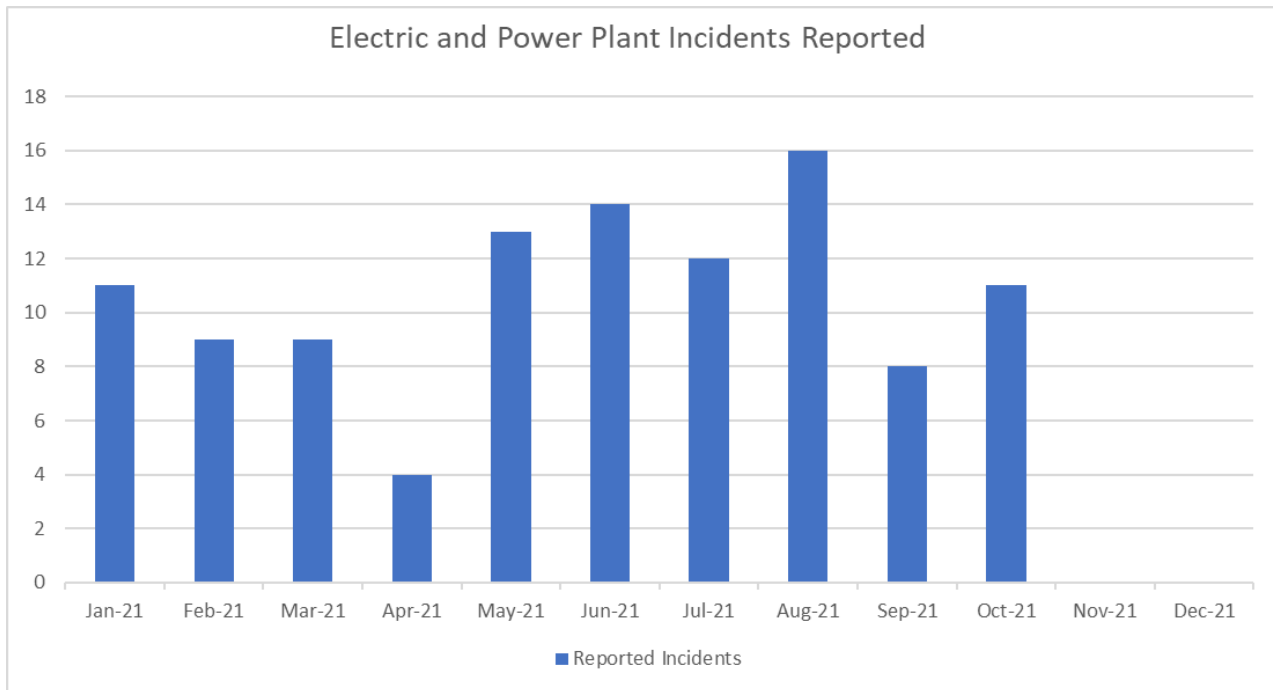
- Conducted 1 Transmission audit, and 2 Power Plant audits.
- Issued 2 Electric Distribution audit reports, 1 Power Plant audit report, and 2 Communication Infrastructure Provider (CIP) audit reports.
- ESRB reviews daily outage reports from power plants. Outages could be forced or planned. ESRB reviews the status and cause of each outage, may issue data requests related to the status and cause of each outage, and will follow up with power plants regarding the outage as necessary. In October 2021, ESRB monitored the status of 19 planned outages and 63 forced outages reported by natural gas and renewable energy power plants.

### Electric Facilities Citations

SED has the authority to issue citations with penalties for electric utilities and Communication Infrastructure Providers (CIPs) for violating Commission General Orders and PU Code rules. Citations may arise from violations discovered during incident investigations, audits, customer complaint investigations, whistleblower investigations, or other safety matters that are brought to the attention of SED. The table below shows the status of citations issued by SED in 2020. SED has not issued any citations in 2021 as of October 31, 2021. All SED citations related to electric and communication facilities can be found on the Commission’s website [here](#).

Citation Number	Utility	Amount	Violations	Date Cited	Description	Status
D.16-09-055E.20-12-001	SCE	\$1,000,000	GO 128, Rules 17.1 and 17.7.  PU Code, Section 451	12/4/2020	Failure to properly mark the location of SCE’s underground facilities which resulted in one injury	Paid in January 2021

Electric and Power Plant Incidents Reported through October 31, 2021  
ESRB received 10 electric incident reports and 1 power plant incident report in September.



## Electric Facilities and Power Plant/Generation Incident Investigations

Incident status		Level 1	Level 2	Level 3	Level 4	Total <sup>2</sup>
Total open incidents	Electric Facilities	3	14	76	60	153
	Generation	1	1	6	1	9
Total incidents reported in 2021	Electric Facilities	2	14	57	30	103
	Generation	1	1	3	1	6
Total incidents closed in 2021	Electric Facilities	8	13	44	42	107
	Generation	0	0	1	1	2
Total open 2021 incidents	Electric Facilities	1	9	48	26	84
	Generation	1	1	2	1	5
Incidents reported in October 2021	Electric Facilities	0	0	6	4	10
	Generation	0	0	0	1	1
Incidents closed in October 2021	Electric Facilities	1	1	4	1	7
	Generation	0	0	0	0	0

The above table shows information about ESRB incident investigations as of September 30, 2021, and incidents reported and closed in October 2021. The level designation indicates increasing severity, with Level 4 as the most severe. See footnote 2 for definitions of each level. The guidelines to close incident reports are similar to those GSRB follows (see Guidelines table on p. 3).

## Customer Safety Complaints

ESRB investigated 17 electric and communication safety and reliability customer complaints.

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<sup>2</sup> Level 1: A safety incident that does not meet Level 2, 3, or 4 criteria. Level 2: Incident involved a power interruption not due solely to outside forces (Level 2 for Generation: Incident that occurred during an Electric Alert, Warning or Emergency. Level 3: Incident involved damage estimated to exceed \$50,000 and caused, at least in part, by the utility or its facilities (Level 3 for Generation: Incident resulted in a significant outage that was due, at least in part, to plant equipment and/or operations). Level 4: Incident resulted in a fatality or injury requiring hospitalization and that was caused, at least in part, by the utility or its facilities or by equipment and/or operations (for Power Plants).

## Notice of Violation Letters

ESRB did not issue any Notice of Violation (NOV) letters in October. ESRB may issue an NOV in response to an investigation or customer complaint for a violation of an applicable law or regulation.

## Electric Safety and Reliability: Proceedings

**PSPS Order Instituting Investigation (I.19-11-013) (Commissioner Batjer/ALJ DeAngelis) (SED Advisory).** This OII was initiated by the Commission on November 13, 2019, to determine whether California’s investor-owned electric utilities prioritized safety and complied with the Commission’s regulations and requirements with respect to their Public Safety Power Shutoff (PSPS) events in late 2019. This OII is a companion to R.18-12-005, the Commission’s rulemaking to examine the practice of utility de-energization of powerlines during dangerous conditions. On November 16, 2020, parties filed reply comments regarding the Phase 2 Scoping Memo and Ruling. SED reviewed the reply comments and continues to provide advisory support. PG&E continues to file bi-weekly progress reports on PSPS corrective actions. On March 10, 2021, the ALJ send an e-mail ruling directing PG&E, SDG&E and SCE to file an accounting of PSPS events in the 2019 and 2020 calendar years, and how the PSPS events impacted revenue collections.

On June 3, 2021, the Commission approved Decision (D. 21-06-014) to address the late 2019 PSPS Events. It directs the three IOUs to forgo collecting a portion of their authorized revenue requirement from customers, hold utility working group meetings to share best practices and lessons learned to improve PSPSs, standardize 10-day post-event reporting, to improve communications with medical baseline customers dependent on electricity to survive, and other directives. On July 7, 2021, the Acton Town Council filed a Rehearing Request thus reopening this proceeding. Reopened.

**PG&E Locate and Mark Practices OII (I.18-12-007) (Commissioner Rechtschaffen/ALJ Allen) (SED Advocacy):** D. 20-02-036 approved several shareholder-funded gas and electric System Enhancement Initiatives but with a longer compliance audit period of four years and a \$110 million total penalty. In November 2020, ESRB staff reviewed PG&E’s One Call Concepts Timely Ticket Review Methodology and PG&E’s Field Review Methodology and provided comments to GSRB for consideration. On June 4, 2021, ICQ Professionals, LLC, submitted “Proposed Objectives, Methodology and Final Report Description for SED Consultation of Field Audits for Locate and Mark OII I.18-12-007 Settlement”. This submittal starts the process of consulting with the CPUC/SED on the objectives, methodology, and the final product description of the Field Audit of PG&E’s Locate and Mark Program by ICQ Professionals, LLC. The primary focus is to provide the sampling methodology that will be utilized to conduct this audit. In September, ESRB reviewed ICQ’s field audit report. On October 21, 2021, PG&E submitted a Self-report Letter to SED and stated that it did not locate and mark some of the empty subsurface electric ducts. PG&E also stated that it is developing a corrective action plan for this issue. ESRB staff reviewed the Self-report Letter and issued a data request to PG&E in order to investigate PG&E’s conduct and its corrective measures. Pending.

**SCE Appeal of Twentynine Palms Citation (K.18-03-008) (ALJ Kim) (SED Advocacy):** ESRB investigated an incident that occurred on August 1, 2015, in Twentynine Palms. On February 12, 2018, SED issued a \$300,000 citation to SCE for violations related to the incident. SCE appealed the citation on March 14, 2018. On October 3, 2018, SED issued an \$8,000,000 amended citation that replaced the \$300,000 citation. The amended citation was the result of new violations. Hearings were held in December 2018, February 2019, and March 2019. Briefs and reply briefs were filed in April 2019. Awaiting a draft Resolution on the Twentynine Palms incident. Pending.

**Creation of a Shared Database or Statewide Census of Utility Poles and Conduit (I.17-06-027); Communications Provider Access to Poles (R.17-06-028) (Commissioner Batjer/ALJ Mason) (SED Advocacy):** In 2017, the Commission opened a proceeding to address the feasibility of establishing a data management platform for pole owners and tenants to access pole data, attachment and conduit data. This investigation is being conducted in three tracks. In the current phase of the proceeding, parties addressed requirements for pole attachments via comments and are awaiting a proposed decision. On December 15, 2020, the Assigned Commissioner's office issued a second amended scoping memo and ruling that outlined next steps in the consolidated Pole OII/OIR proceeding. On March 9, 2021, the ALJ issued a Ruling that requested parties to file comments by April 12, 2021, on a One-Touch-Make-Ready (OTMR) proposal. On August 20, 2021, the commission issued a proposed decision (PD) on Track 2 of the proceeding. The PD requires pole owners to include attachment data points in their pole databases and specifies rules for data management, access, and confidentiality. On September 9, 2021, SED filed opening comments on the proposed decision advocating for database pole terminology that was consistent with General Order 95, Commission clarity on the enforcement of rules, and shared responsibility among pole owners and attachers for the accuracy of pole database information. On October 26, 2021 the Commission issued Decision 21-10-019 which builds on the Commission's Decision 20-07-004 in Track 1 of this proceeding by imposing on the five major pole owners in California the duty to include granular information about each electric attachment and communications attachment to each pole in each major pole owner's data base. Pending.

**Physical Security of the Electric System and Disaster and Emergency Preparedness (R.15-06-009) (Commissioner Rechtschaffen/ALJ Kelly) (SED Advocacy):** On May 22, 2015, the Commission issued an OIR regarding policies and regulation of physical security for electric supply facilities and to establish standards for disaster and emergency preparedness plans. This rulemaking was conducted in two phases. Phase I addressed physical security for electric supply systems and was resolved by D.19-01-018. Phase II addresses disaster and emergency preparedness plans for electrical corporations and regulated water companies.

On July 1, 2020, the Safety Policy Division (SPD) submitted a letter dated June 26, 2020, from the Deputy Executive Director of SED and SPD to enact the Interim Trial Procedures (ITP) to allow Commission staff to receive major utility compliance deliverables. On July 10, 2020, the six IOUs submitted their Preliminary Assessments under the ITP process to SPD and subsequently presented their briefings regarding their Preliminary Assessment submissions to SPD and SED staff. Three IOUs submitted supplemental filings and met with SED and SPD to discuss their filings. On November 19, 2020, the Commission issued D. 20-11-048 which extended the statutory deadline for Phase II to May 30, 2021. On May 21, 2021, the Commission issued Decision (D.)21-05-019 which updates electric utilities' emergency plans under General Order (GO) 166 and water utilities under GO 103-A. Decision 21-05-019 incorporates requirements from PU Code 768.6 in Standard 14 of GO 166. The IOUs' Final Security Plans and the Publicly Owned Utilities' (POU) notice of final plan adoption were due July 2021, 30 months after the adoption of Decision 19-01-018. The Security

Plans and Notices would be submitted to Safety Policy Division. The last decision, D.21-05-019, left the proceeding open to address the petition for modification that was filed concerning Decision 19-01-018 (Phase I Decision) in this proceeding. No substantial updates for October 2021.

## Other ESRB Activities

**Resolution ESRB-9:** On June 24, 2021, the Commission approved Resolution ESRB-9, which modifies General Order 167 to allow Commission staff to issue citations for any violation of General Order 167 and aligns the General Order 167 citation process with an existing Commission electric citation program.

**2019 Wildfires:** In October 2019, California experienced devastating wildfires. In Northern California, the Kincadee Fire burned more than 76,800 acres. The fire destroyed and damaged about 260 structures and caused injuries to two firefighters. In Southern California, the Saddleridge Fire, the Easy Fire, and the Maria Fire, burned more than 17,000 acres. The fires destroyed and damaged many structures and caused one fatality and 8 injuries to firefighters. SED is currently working closely with CAL FIRE and other agencies to investigate PG&E, SCE, and the communications companies' compliance with the Commission's safety rules.

**2020 Wildfires:** In 2020, California again experienced devastating wildfires. In Southern California, the Bobcat Fire, which started in September 2020 burned approximately 116,000 acres, damaged 47 structures, destroyed 170 structures, and resulted in 6 injuries. The Silverado Fire which started in October 2020 near Santiago Canyon Road and Silverado Canyon Road in the unincorporated area of Orange County, burned approximately 14,000 acres and injured two firefighters. ESRB and WSEB are working closely with CAL FIRE, the United States Forest Service (USFS) and other agencies to investigate SCE, and communications companies' compliance with the Commission's safety rules.

In Northern California, the Slater Fire started on September 9, 2020, in Butte County near the town of Happy Camp. The fire killed two people and injured three. It burned approximately 156,000 acres and damaged 197 residences and 243 structures. The Zogg Fire, which began on September 27, 2020, in Igo, Shasta County, resulted in four fatalities, one injury, 27 damaged structures and 204 destroyed structures.

**2021 Wildfires:** WSEB is currently investigating wildfires that are believed to be attributed to utility equipment. In Northern California, the Slope Fire began on May 31, 2021, in Fresno County. It burned 25 acres before being contained on June 2, 2021. The Blue Fire in the Sierra National Forest began on June 30, 2021. On July 13, 2021, the Dixie Fire began in Plumas County. Separately, the Fly Fire began on July 22, 2021, and quickly merged with the Dixie Fire. Both fires are currently under investigation. The Mule Fire started on August 25, 2021 in Shasta County and was contained.

**Compliance with D.20-05-019 regarding PG&E's 2017 and 2018 Wildfires (I.19-06-015):** On May 8, 2020, Decision 20-05-019 was issued approving a settlement agreement, with modifications, between SED, PG&E, Coalition of California Utility Employees (CUE), and the Office of Safety Advocates (OSA). On December 4,

2020, a Final Decision (D.20-12-015) was issued modifying D.20-05-019 and denying rehearing of D.20-05-019. In summary, the decision imposes penalties totaling \$2.137 billion consisting of \$1.823 billion in disallowances for wildfire-related expenditures, \$114 million in shareholder-funded System Enhancement Initiatives, and a \$200 million fine payable to the General Fund (the fine to be suspended). ESRB is monitoring PG&E's work to ensure compliance with the settlement agreement and D. 20-05-019. On February 12, 2021, and consistent with D. 20-05-019, PG&E filed its first semi-annual report which provided updates and an overview of PG&E's progress on each of the 20 corrective actions in the settlement agreement.

On August 16, 2021, PG&E filed its second semi-annual report which provided updates and an overview of PG&E's progress on each of the remaining settlement initiatives that are pending.

**Compliance with D.17-09-024 regarding Long Beach Incident:** D.17-09-024 adopted a Settlement Agreement between SCE and SED. Under the settlement, SCE paid a \$4 million penalty and will spend \$11 million on various system enhancement projects in Long Beach intended to reduce the chance of public injury, reduce the risk of future system failures, and improve the utility's operational awareness and network maintenance. ESRB is monitoring SCE's work to ensure compliance with the settlement agreement.

**Transmission Maintenance Coordination Committee (TMCC):** TMCC is an advisory committee to help the California Independent System Operator (CAISO) develop, review, and revise Transmission Maintenance Standards. TMCC holds quarterly meetings to discuss recent improvements in construction and maintenance processes and techniques, and industry best practices. ESRB is a member of TMCC and attends meetings.

## **WILDFIRE SAFETY AND ENFORCEMENT BRANCH (WSEB)**

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SED's WSEB is dedicated to the enforcement of public utility wildfire safety and Public Safety Power Shutoffs (PSPS) violations. WSEB is the lead investigator for utility incidents relating to wildfire, PSPS events and other aspects related to wildfire events. The staff conducts incident investigations and provides input into policy development. October 2021 activities for WSEB include:

- Currently investigating a total of twelve (12) utility-related wildfires. No fire investigations were completed in October 2021.
- Continued monitoring and coordinating the SCE PSPS Corrective Action Plan implementation.
- Participated in IOU PSPS/Wildfire meetings.

## PSPS Activations, De-energizations & Post Event Reports - October 2021

WSEB	PG&E	SCE	SDG&E	Bear Valley	Pacific Corp	Liberty
Total PSPS Activations	2	4	0	0	0	0
Total PSPS w/ De-energization	2	3	0	0	0	0
Total PSPS Post Event Reports Reviewed	1	0	0	0	0	1

### PSPS Related Proceedings

#### **Rulemaking to Examine Electric Utility De-Energization of Power Lines in Dangerous Conditions (R.18-12-005) (President Batjer/ALJ Poirier /ALJ Stevens/ALJ Kao/ALJ DeAngelis) (SED Advisory):**

This OIR was opened to further examine policies and guidelines adopted in ESRB-8 regarding communication, notification, mitigation and other logistic and implementation issues of a PSPS event. Currently, there are two tracks in this OIR:

1. Continued examination of utility de-energization/PSPS practices and guidelines.
2. An Order to Show Cause (OSC) for why PG&E should not be sanctioned for violations of P.U. Code 451, D.19-05-042 (Phase I) and ESRB-8 concerning three PSPS events in Fall 2019. Briefs were filed in 2020 and the Presiding Officer's Decision (POD) was issued on May 26, 2021.

The POD penalizes PG&E \$106.003 million for its flawed implementation of the Fall 2019 PSPS events, offset by bill credits PG&E has already provided to customers. The penalty includes a PG&E shareholder contribution of \$1.418 million to provide qualifying customers access to backup portable batteries, a bill credit to the general group of customers in the areas affected by the Fall 2019 PSPS events and to the Medical Baseline customers in those affected areas. PG&E and CLECA filed appeals of the POD on June 25, 2021; both were denied.

3. On February 19, 2021, the ALJ issued a Phase 3 Scoping Memo and Ruling to consider whether existing de-energization guidelines (Phase 2) should be further updated, or if new guidelines should be adopted in advance of the 2021 wildfire season. Comments and reply comments were submitted in March 2021.

On June 24, 2021, the Commission approved and adopted the Proposed Decision's Phase 3 PSPS Guidelines. A link to the Phase 3 Guidelines is [here](#).



4. On September 23, the Commission adopted Decision 21-09-026 (the Modified Presiding Officer's Decision) that found violations including failure of PG&E's website, which was unavailable or non-functional during significant portions of the PSPS event; inaccurate online outage maps; inaccessible secure data transfer portals to its public safety partners; and failure to provide advanced notification of de-energization events to approximately 50,000 customers and 1,100 Medical Baseline customers during the three PSPS events in Fall 2019.

The Commission penalized PG&E \$106.003 million to deter future violations and demand accountability for PG&E's flawed implementation of the Fall 2019 PSPS events. The penalty will be offset by \$86 million for bill credits PG&E has already provided to some electric customers in 2019. The net penalty assessed on PG&E is \$20.003 million.

The penalty also includes a PG&E shareholder contribution of \$1.418 million to the PG&E's Disability Disaster Access & Resources Program, which provides qualifying customers access to backup portable batteries through grant, lease-to-own, or low-interest loan options; a PG&E shareholder-funded bill credit of \$12.185 million extended to all electric customers in the geographic areas affected by the Fall 2019 PSPS events; and a PG&E shareholder-funded bill credit of \$6.4 million to the Medical Baseline customers in the geographic areas affected by the Fall 2019 PSPS events.

**PSPS Order Instituting Investigation (I.19-11-013) (Commissioner Batjer/ALJ DeAngelis) (SED Advisory).** This Investigation was initiated to determine whether the IOUs that experienced PSPS events in late 2019 prioritized safety and complied with the Commission's regulations and requirements in ESRB-8 and D.19-05-042 (Phase 1 PSPS Guidelines). A Proposed Decision was issued for public comment on April 20, 2021, with comments due May 10, 2021. On June 7, 2021, Decision 21-06-014 was approved by the Commission. On July 7, 2021, the Acton Town Council filed a Rehearing Request which reopened this proceeding.

In compliance with Ordering Paragraph 61 of Decision 21-06-014 in Investigation 19-11-013 and Decision 19-05-042 in proceeding R.18-12-005, a draft template prepared by SED to be used by electric investor-owned utilities when submitting post-event reports and lessons learned following a Public Safety Power Shutoff (PSPS) was sent out for comment on July 7, 2021. Comments were due August 6, 2021. Completed the comments review in September and revised the draft template based on comments. The final approved template was issued on October 18, 2021.

## **Monitoring the Whistleblower Website and Safety Hotline**

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The Commission regulates privately owned utilities. It serves the public interest by protecting consumers and ensuring that utility services and infrastructure are safe, reliable, and available at reasonable rates. It is charged with ensuring that these regulated service providers comply with the regulations. Whistleblower complaints can result in investigations that may involve safety and other issues. In October 2021, no whistleblower complaints were submitted online to SED. SED received two inquiries from the Safety Hotline inbox.