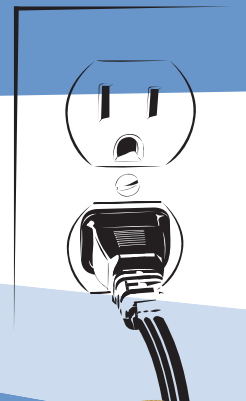
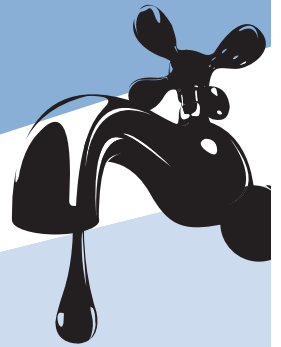




California Public Utilities Commission

2013

Annual Report and Work-Plan 2014



Companies Under CPUC Jurisdiction 2013

Steam Heat Utilities.....	1
Transportation Network Companies.....	3
Electric Utilities.....	6
Gas Utilities.....	7
Pipelines.....	11
Sewers.....	14
Rail Transit Systems.....	14
Common Carrier Vessels.....	18
Energy Service Providers.....	21
Railroads.....	33
Commercial Air Operators.....	107
Water Utilities.....	115
Electric Generators.....	165
Passengers Stages.....	261
For-Hire Vessels.....	272
Telephone Carriers.....	696
Moving Companies.....	1,083
Private Carriers.....	1,495
Charter-Party Carriers.....	7,373



LETTER TO THE GOVERNOR AND LEGISLATURE

Honorable Edmund G. Brown Jr., Governor of the State of California, and distinguished members of the California State Legislature:

I am pleased to present to you the California Public Utilities Commission's (CPUC) 2013 Annual Report. This report highlights major accomplishments and activities of the CPUC in 2013. Additionally, this report will highlight a number of cases that the CPUC will work on in 2014.

In preparing our 2013 Annual Report we looked at our 1983 report to see what has changed and what has remained the same in the past 30 years.

In 1983, four of our five Commissioners were appointed by Governor Brown, similar to 2013. Leonard Grimes was the President of the CPUC and also appointed by Governor Brown. The other Commissioners were Victor Calvo, Dr. Priscilla Grew, Donald Vial, and finally the lone Governor Deukmejian appointee was William Bagley. This is much like 2013, as Commissioners Mike Florio, Catherine J.K. Sandoval, Mark J. Ferron, and Carla J. Peterman have all been appointed to the CPUC by Governor Brown.

In 1983, the San Onofre Nuclear Generating Station's units 2 and 3 became operational. In 2013, those two units were officially shutdown.

In 1983, California had the world's largest solar thermal electric power plant. The 10-megawatt facility was a joint project of the U.S. Department of Energy, Southern California Edison, and the Los Angeles Department of Water and Power. In 2013, California still has the world's largest solar thermal electric power plant. The 377-megawatt facility is a joint project of NRG Energy, BrightSource Energy, Google, and Bechtel.

In 1983, the CPUC imposed an unprecedented fine of \$90,000 on PG&E's San Francisco gas safety division. It was the first time a gas utility was fined for violation of CPUC gas safety standards. In 2013, Edison paid a fine of \$37 million for the 2007 Malibu Canyon Fire.

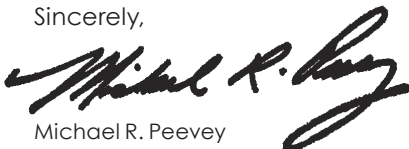
Finally, in 1983 the U.S. Department of Justice reached a settlement with AT&T that required AT&T to divest itself of its 21 Bell operating companies. The CPUC undertook proceedings to implement the changes required by the divestiture in California. In 2013, the CPUC is facing a landscape fundamentally changed by competition in the delivery of local and long distance telephone service, and the bundling of telephone service with other services including video.

The CPUC's goal in the past 30 years has remained unchanged. Our goal is to ensure that Californians receive safe, reliable utility service and infrastructure at reasonable rates, with a commitment to environmental enhancement and a healthy California economy. While we have had much success in pursuit of this goal, the PG&E pipeline rupture in San Bruno in 2010 highlighted the need to redouble our efforts to provide more effective safety oversight.

Our focus on safety and the associated cultural change within the CPUC encompasses all the industries we regulate, not just high-profile gas and electric utilities. For example, in 2013 we established requirements to incorporate safety considerations into every CPUC decision. In every proceeding, the Commissioner and the Administrative Law Judge assigned to the case will seek comment on the specific safety impacts of any proposal under consideration. By implementing this procedural change requiring that safety be discussed on the record in every proceeding, even when a proceeding may not appear to be safety related at first glance, we will ensure that safety remains an important consideration at the CPUC. Additionally, we opened a proceeding in November 2013 to begin integrating a risk-based decision-making process for utility rate requests (i.e., when a utility requests a rate adjustment the request must include testimony on how additional investment will mitigate risks).

The CPUC understands that the success or failure of our cultural transformation will be measured not by staff reassignments or fines collected, but by the ability of Californians to enjoy safe and reliable utility service. I hope you find this Annual Report informative.

Sincerely,



Michael R. Peevey
CPUC President

A digital copy of this report can be found at:
www.cpuc.ca.gov/PUC/aboutus/docs_etc/ann_report/

Editing: Marzia Zafar, Brian Turner, and Terrie Prosper
Design/Layout: Dan Hartmann

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WHAT DOES THE CPUC DO AND HOW DOES IT DO IT?

President



MICHAEL R. PEEVEY

Commissioner



MIKE FLORIO

Commissioner



CATHERINE J.K. SANDOVAL

Commissioner



MARK J. FERRON

Commissioner



CARLA J. PETERMAN

The California Public Utilities Commission (CPUC) is led by five Commissioners and regulates for-profit gas and electric utilities, for-profit water utilities, voice telephone service, and transportation services such as limousines and household goods movers.

The CPUC meets at least once a month at its San Francisco headquarters to discuss and vote on issues. Issues voted on by the CPUC generally take one of four distinct forms depending on the type of proceeding that initiated the CPUC's consideration:

TYPES OF PROCEEDINGS:

Application — Initiated by a regulated entity to request the use and/or modification of ratepayer funds and/or assets.

Advice Letters & Resolutions — An Advice Letter is a written request made by a regulated utility, typically to either implement a CPUC formal decision, or to make a request or proposal on the utility's own initiative to change services or tariffs. When the CPUC issues a decision in a proceeding, the utility tariffs usually must be changed to

implement the decision, or the decision may require some kind of report to be filed. The decision typically orders that a utility file an Advice Letter to implement this tariff change, and may order the utility to file reports by Advice Letter. A utility may also file an Advice Letter to make some type of minor change to its services or tariffs on its own initiative. Depending on the nature of what is being requested in the Advice Letter, it can either be approved through a disposition letter issued by the Director of the relevant CPUC industry division or through a Resolution that is approved by a vote of the five Commissioners.

Complaint — Initiated by a person, business, or governmental entity alleging that a utility has violated the Public Utilities Code or failed to adhere to a CPUC decision or regulation.

Orders Instituting Investigation (OII) — Initiated by the CPUC to examine specific issues as a result of a regulated entity's actions that may lead to new or changed legislation, programs, enforcement, policies, or rates. OIIs are not the first step in an investigation; staff typically initiates investigations immediately. An OII is more like a prosecution

phase of an investigation, once staff has established the fact and seeks enforcement and penalties.

Order Instituting Rulemaking (OIR) — Initiated by the CPUC to establish new rules and regulations.

In all formal proceedings, at least one commissioner and an Administrative Law Judge are assigned to guide the case through the process. Generally, the Administrative Law Judge conducts hearings, meets with the Assigned Commissioner to discuss developments, and prepares and issues a Proposed Decision. Any Commissioner may choose to prepare an Alternate Proposed Decision. Proceedings must be completed within 18 months of the issuance of a Scoping Memo, with certain exceptions.

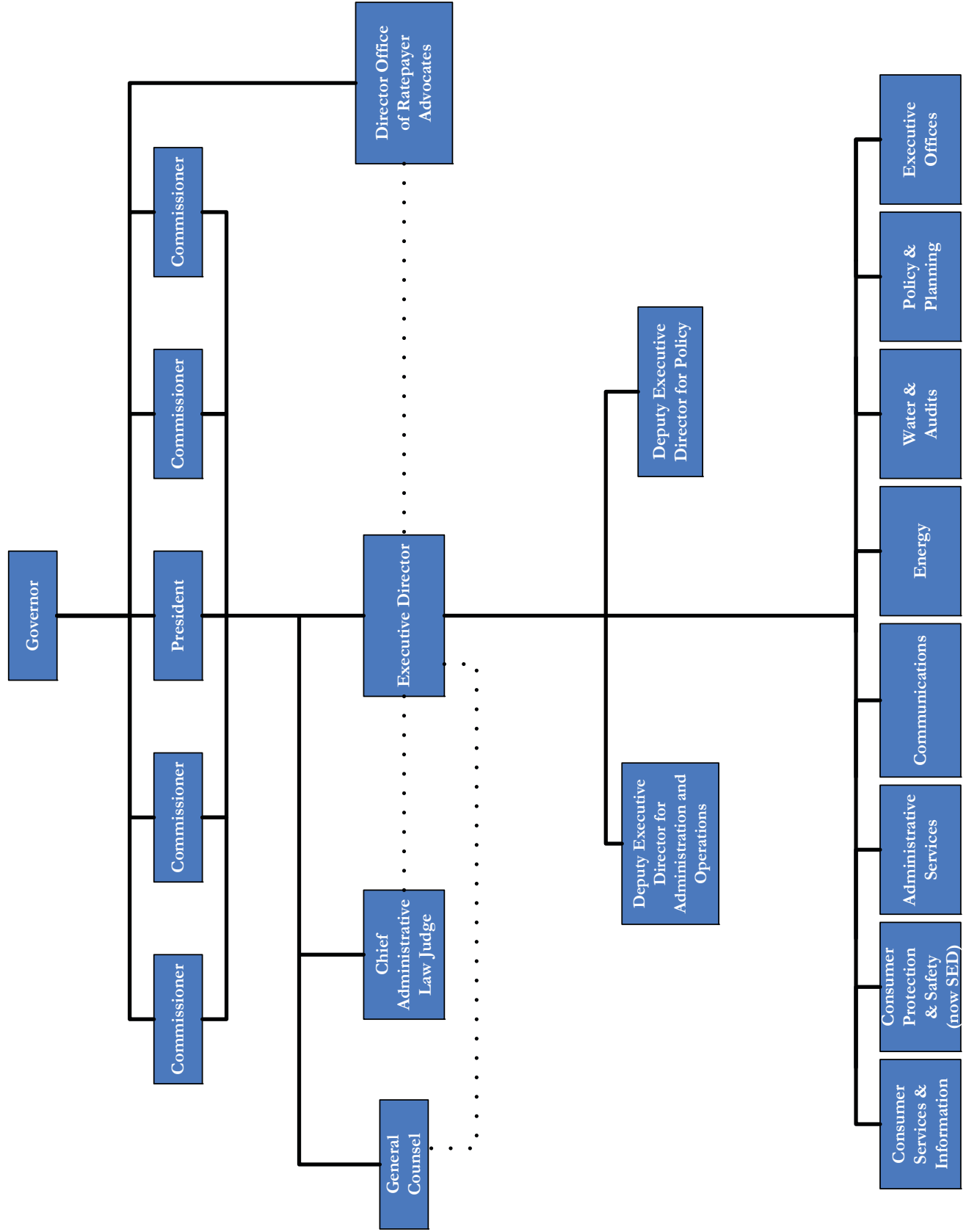
2013 CPUC at a Glance

- 507 decisions were issued and 268 days of hearings were held.
- 274 proceedings were opened and 285 proceedings were closed.
- 3,600 Advice Letters were received and 181 Resolutions were drafted for CPUC consideration.

Any CPUC decision is subject to administrative and judicial review upon a party's written request for a rehearing based on legal error. If the CPUC denies a request, the party may appeal to the California Supreme Court, and any decision may be appealed to the Court of Appeals. The CPUC can also modify a decision in response to a Petition to Modify, which is a pleading citing "changed circumstances" rather than legal error.



THE CPUC STRUCTURE





ORGANIZATIONAL FUNCTIONS

Executive Director

The CPUC's Executive Director is Paul Clanon (paul.clanon@cpuc.ca.gov). The Executive Director reports to the President of the CPUC and is responsible for the executive and administrative duties. These duties involve coordination, supervision, and direction of the CPUC's operations and affairs. Industry Division Directors and other employees report their job activities and performance to the Executive Director.

Administrative Law Judge Division

The Administrative Law Judge Division is led by Chief Administrative Law Judge Karen Clopton (karen.clopton@cpuc.ca.gov). The Administrative Law Judge Division supports CPUC decision-making by processing formal filings, facilitating alternative dispute resolution, conducting hearings, developing an adequate administrative record, preparing timely proposals for CPUC consideration, and preparing and coordinating CPUC Voting Meeting agendas.

Administrative Services

Administrative Services is led by Michelle Cooke (michelle.cooke@cpuc.ca.gov). Administrative Services is responsible for CPUC finance, facilities, contracts, information technology, human resources, and other administrative functions. Administrative Services is also responsible for helping the CPUC achieve its environmental sustainability goals.

Business & Community Outreach

The Business and Community Outreach Group is within the Executive Division and is led by Denise Tyrrell (denise.tyrrell@cpuc.ca.gov). This group provides community outreach and education that focuses on CPUC programs and policies and includes a supplier diversity program that encourages utility supplier diversity, and a small business/disabled veteran-owned business enterprises program that promotes state procurement opportunities.

Communications Division

The Communications Division is led by Ryan Dulin (ryan.dulin@cpuc.ca.gov). The Communications Division assists the CPUC in developing and implementing policies and programs to ensure safe, universally accessible, high quality, affordable advanced communications services to California's consumers and businesses. Additionally,

the Communications Division assists the CPUC in developing clear and fair telecommunications regulations, utility compliance oversight, and protecting the public interest.

Consumer Services & Information Division

The Consumer Services & Information Division is led by Loreen McMahon (lorean.mcmahon@cpuc.ca.gov). The Consumer Services & Information Division acts as the liaison between the community and the CPUC. It is the eyes and ears of the CPUC and includes two branches: the Consumers Affairs Branch (CAB), and Public Advisor's Office. CAB staff helps consumers understand their utility services and bills, and attempts to assist consumers in resolving disputes with their utility company. Consumers may call, write, or file a complaint online with CAB. The Public Advisor's Office provides procedural information, advice, and assistance to individuals and groups who want to participate in CPUC proceedings. It keeps the CPUC informed of issues being raised by consumers, as well as informed of barriers that prevent effective public participation. It also provides consumer education on all CPUC issues and public programs and provides in-language translation and interpretation services.



Energy Division

The Energy Division is led by Edward Randolph (edward.randolph@cpuc.ca.gov). The CPUC's Energy Division plays a vital role in helping to create, implement, and oversee programs and initiatives that have helped make California a national and international leader in clean energy, while maintaining the safety and reliability of the state's utilities. The Energy Division assists the CPUC in its regulation of four types of investor-owned utilities: electric, natural gas, steam, and petroleum pipeline companies. The Energy Division provides technical support to the Commissioners and the Administrative Law Judges in proceedings and other formal matters. The Energy Division also processes, approves, and oversees ministerial requests for changes to utility tariffs through Advice Letters. The Energy Division also prepares Resolutions for Commissioner consideration for more formal, complex requests from utilities. Additionally, Energy Division helps represent the CPUC in Federal Energy Regulatory Commission and court proceedings.

Legal Division

The Legal Division is led by General Counsel Frank Lindh (frank.lindh@cpuc.ca.gov). The Legal Division is directed by statute to represent and appear for the people of the State of California, the CPUC, and the CPUC staff in all actions and proceedings involving any question under the Public Utilities Code or under any order or act of the CPUC. The Legal Division provides legal advice for 1) Energy Procurement, Distribution, and Transmission, 2) Enforcement and Safety, 3) Government, 4) State Appellate, 5) Telecommunications, 6) Transportation, and 7) Water.

News & Public Information Office

The News & Public Information Office is within the Executive Division and is led by Terrie Prosper (terrie.prosper@cpuc.ca.gov). The News & Public Information Office provides information to the news media and the public about the CPUC's many programs, initiatives, and actions. Reporters and consumers from throughout the U.S. and other nations seek out information about CPUC activities and policies.

Office of Governmental Affairs

The Office of Governmental Affairs is within the Executive Division and is led by Lynn Sadler (lynn.sadler@cpuc.ca.gov). The Office of Governmental Affairs represents the CPUC before the California Legislature, Governor's Office, and other state agencies to help guide new policies and laws that affect issues under CPUC jurisdiction. The Office of Governmental Affairs arranges briefings and reports to support CPUC efforts. In 2013, the Office of Governmental Affairs launched the CPUC Academy for legislative staff, providing an educational series on technical subject matter within CPUC jurisdiction as well as focused discussions with staff leadership at the CPUC.

Policy & Planning Division

The Policy & Planning Division is led by Marzia Zafar (marzia.zafar@cpuc.ca.gov). The Policy & Planning Division was formed in 1980 with a mission to look beyond the CPUC's daily responsibilities to analyze emerging policy issues and the impact of a changing regulatory environment (resulting from new economic, financial, institutional, and technological trends) on the role and procedures of the CPUC.

Safety & Enforcement Division

The Safety & Enforcement Division is led by General Jack Hagan (emory.hagan@cpuc.ca.gov). The Safety & Enforcement Division has safety oversight of electric and communications facilities, natural gas and propane gas systems, railroads, light rail transit systems, and highway/rail crossings, licensing, consumer protection, and safety oversight of motor carriers of passengers, household goods, and water vessels, and regulatory oversight of hot air balloons and some air carriers.

Water & Audit Division

The Division of Water & Audits is led by Raminder Kahlon (raminder.kahlon@cpuc.ca.gov). The Water and Sewer Advisory Branch of the Division assists the CPUC in regulating rates and services of water and sewer system utilities with less than 10,000 service connections and monitors compliance with CPUC orders for all water and sewer utilities. The Utility Audit, Finance, and Compliance Branch of the Division performs audits and examinations of all CPUC-regulated industries, provides financial analysis and information, and investigates utility compliance with CPUC orders upon request. It is made up of auditors and financial analysts and also manages the water and sewer utilities' Annual Reports and other financial data.

Office of Ratepayer Advocates

The Office of Ratepayer Advocates (ORA) is led by Joe Como (joe.como@cpuc.ca.gov). ORA is the independent consumer advocacy entity within the CPUC, whose statutory mission is to obtain the lowest possible rates for service consistent with reliable and safe service levels. In fulfilling this goal, ORA also advocates for customer and environmental protections. ORA annually submits its independent report to the California Legislature on January 10th. Appendix C to this report is a sample of the ORA Annual Report. The report can be found at www.ora.ca.gov/ar2013.aspx.





INFORMING AND ENGAGING CALIFORNIA CUSTOMERS

The CPUC operates several channels to inform consumers, communities, and businesses about the services offered by the CPUC, the opportunities to get involved in CPUC processes, the rules affecting industries regulated by the CPUC, and the opportunities for ensuring adherence to these rules and resolving disputes. Specifically, the CPUC provides direct outreach to community and civic organizations, to small business and ethnically diverse suppliers, and to potential parties and non-English speakers regarding CPUC proceedings. CPUC staff also monitors and approves certain communications, such as bill inserts, between the regulated companies and consumers to ensure full and accurate representations are made. Finally, the CPUC operates a robust consumer complaint service, facilitating informal dispute resolution with regulated utilities.

The CPUC's Business & Community Outreach group helps educate and inform California's utility customers through outreach to communities, community local organizations, local governments, and businesses.

In 2013, the CPUC participated in more than 540 events promoting consumer programs such as California Alternate Rates for Energy (CARE), through which eligible consumers receive a 20 percent discount on their electric and natural gas bills; Medical Baseline, through which eligible consumers are billed for natural gas and electricity use at their utility company's lowest residential rate; and California LifeLine, which provides eligible consumers discounted home telephone service.

2013 CAB Data

In 2013, the CPUC's Consumer Affairs Branch received and dealt with the types of consumer complaints shown in the table below.

Consumer Cases Received by CAB	Communications¹	Energy	Water	Other²	Totals
Phone Cases	16,214	9,823	2,333	5,184	33,554
Written Cases	11,612	3,286	485	1,144	16,527
Total	27,826	13,109	2,818	6,328	50,081

Consumer Cases Closed by CAB	Communications¹	Energy	Water	Other²	Totals
Phone Cases	16,214	9,823	2,333	5,184	33,554
Written Cases	12,309	3,468	522	1,152	17,451
Total	28,523	13,291	2,855	6,336	51,005

Consumer Cases Open in CAB at Year's End	Communications¹	Energy	Water	Other²	Totals
Phone Cases	0	0	0	0	0
Written Cases	503	184	9	142	838
Total	503	184	9	142	838

Refunds to Consumers by Utilities	Total	\$1,909,936	\$441,299	\$78,810	\$193	\$2,430,237
Total						

¹ Includes Voice over Internet Protocol (VoIP)-related contacts. Public Utilities Code Section 710 (SB 1161, Padilla 2012), generally prohibits the CPUC from exercising regulatory jurisdiction over VoIP services. However, the law allows the CPUC to informally assist consumers that have complaints about their services provided via VoIP and to track and report those complaints to the Federal Communications Commission and California Legislature.

² Cases received regarding utilities not regulated by the CPUC including municipal utilities and third-party billers.

In 2013, the CPUC organized two summits that brought together public communications officers from electric, gas, water, and telecommunications companies to exchange lessons learned, best practices, and information about available tools that can assist utilities in improving communication with first responders and the public.

The CPUC's Consumer Affairs Branch, housed in the Consumer Services & Information Division, helps consumers understand utility bills and service as well as helps them resolve disputes with their energy, water, and telecommunications utilities. Services are available via:

State-wide toll-free number: 1-800-649-7570

Secure online complaint form: www.cpuc.ca.gov/PUC/CEC/e_complaint/a_utilitycomplaint.htm

U.S. mail: CPUC, Consumer Affairs Branch, 505 Van Ness Ave., San Francisco, CA 94102

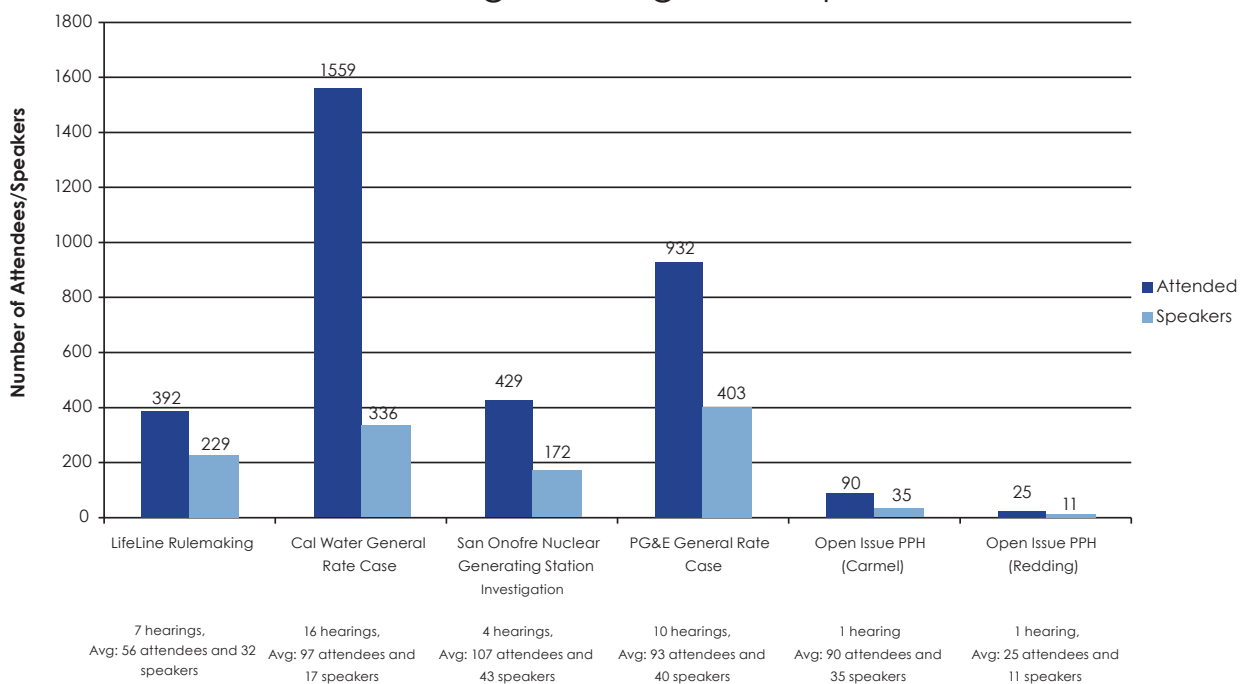
In order to best serve Californians, the Consumer Affairs

Branch assisted more than 50,000 Californians through direct contact via phone calls, written correspondence, and secure online interactions. Issues were raised and problems were solved by providing information, referrals, and complaint resolution assistance.

The CPUC's Public Advisor's Office was created by the California Legislature to **assist people who desire to participate, either informally or formally, in the CPUC's processes and proceedings.** The Public Advisor's Office also provides education and assistance to consumers seeking to understand the CPUC's programs and to get information about the issues before the CPUC. It also coordinates the CPUC's bilingual services to provide translations of CPUC materials as well as language interpreter services (including American Sign Language) and assistance to consumers with disabilities to facilitate participation in CPUC proceedings.

The Public Advisor's Office helps educate consumers by reviewing and approving utility bill inserts/notices related

2013 Public Participation Hearings Hearings with High Participation



In 2013, there were 43 Public Participation Hearings (PPHs) statewide with an accumulative attendance of 3,427 people and 1,186 speakers.

Branch has evolved with the changing regulatory landscape. In 2013, the Consumer Affairs Branch completed a major upgrade of its consumer information database. This upgrade allows the Consumer Affairs Branch to better serve consumers while capturing more detailed and accurate data that can be an aid to CPUC decision-makers and ultimately to the Governor and Legislature.

to utility applications for rate increases and changes in programs, notice of Public Participation Hearings, annual notices about services provided by the telecommunications industry, and other requests. In 2013, the Public Advisor's Office reviewed more than 139 notices, including

28 for telecommunications, 46 for water companies, and 65 for energy utilities.

The Public Advisor's Office facilitates CPUC Public Participation Hearings, assisting the public with signing up to speak and answering questions about informal and formal participation in proceedings. The following tables illustrate the Public Participation Hearings held in 2013.

To support the CPUC decision-makers in staying informed on the public's informal comments on CPUC issues, the Public Advisor's Office tracks, monitors, and reports to the decision-makers the contacts received from the public on CPUC issues. Below are the top issues most commented upon in 2013.



SUPPLIER DIVERSITY AND SMALL BUSINESS PROCUREMENT

Public Utilities Code Section 8283 requires CPUC-regulated gas, electric, telephone, and water utilities, and their regulated subsidiaries and affiliates, with gross annual revenues exceeding \$25 million, to submit annual verifiable plans for increasing procurement from diverse suppliers. This legislation was adopted as General Order 156 of the CPUC. The CPUC is responsible for verifying the status of diverse suppliers' applicants, reporting results of the program, and making recommendations to achieve maximum results in implementing legislative policy.

In 2013, the utilities collectively reported more than \$8 billion procured from diverse suppliers. That represents 32.9 percent of their total procurement needs. The utilities conducted business with more than 3,800 diverse suppliers.

Under Executive Order S-02-06, all California state agencies are required to conduct 25 percent of their procurement and contracts with Small Businesses and 3 percent with Disabled Veteran-Owned Business Enterprises (DVBE). In 2013, the CPUC procured \$18.5 million (15.84 percent) from Small Businesses and \$1.5 Million (1.28 percent) from DVBEs. The CPUC sponsored two small business expos, one in Bakersfield and one in Salinas. The purpose of the expos was to help inform small businesses about contract opportunities and to connect small businesses with utility and government procurement specialists. More than 400 California small business owners attended each expo, which featured a match making forum, four workshops, and more than 30 exhibitors.

Top Consumer Contact Issues of 2013		Contacts Received*
1	Investigation of San Onofre Nuclear Generating Station Units 2 and 3. Issues include rates, operations, practices, services, and facilities of Southern California Edison in regards to San Onofre.	19,800
2	Pacific Gas and Electric Company's General Rate Case. Issues include PG&E's request to increase rates and charges for electric and gas service effective on January 1, 2014.	11,500
3	Rulemaking on Regulation Relating to Passenger Carriers, Ridesharing, and New Online-Enabled Transportation Services.	5,200
4	California-American Water Company's Request for Approval of the Monterey Peninsula Water Supply Project. Issues include utility's request to recover all present and future costs related to the project through rates.	3,200
5	Rulemaking to Integrate and Refine Procurement Policies and Consider Long-Term Procurement Plans. Issues considered are the CPUC's electric resource procurement policies and programs, and how to implement them.	3,100
6	Investigation of the operations and practices of Pacific Gas and Electric Company to determine violations of applicable standards, laws, rules, and regulations in connection with the San Bruno explosion and fire on September 9, 2010.	1,000

* Values listed reflect public contacts received via telephone, electronic mail, and U.S. mail in 2013. These values are approximations and do not reflect the total contacts the Public Advisor's Office has received for each issue.



SAFETY AND ENFORCEMENT

The CPUC oversees the safety of electric and communications facilities, power plants, natural gas and propane gas systems, railroads, light rail transit systems, and highway/rail crossings, and enforces regulations concerning consumer protection, motor carriers of passengers and household goods, and water vessels, hot air balloons and some air carriers. Ultimately, safety is the responsibility of every member of the CPUC staff. Safety oversight is being actively integrated into every aspect of the CPUC decision-making, from CPUC decisions on ratemaking to staff action on applications. But the tip of the spear for ensuring adherence to CPUC safety related regulations lies with the Safety & Enforcement Division.

In 2013, the Safety & Enforcement Division's investigations and oversight included assessing corrosion at power plants, ensuring privacy laws were not violated, assessing gas utility programs, and revising regulations to reduce the fire hazards associated with overhead power line facilities and aerial communication facilities located in close proximity to power lines. Following are a number of actions taken in 2013 to ensure safe and reliable utility service.

Hidden Corrosion Danger at Power Plants

In 2013, the CPUC inspected several power plant outages caused by Corrosion Under Insulation (CUI), which occurs when moisture is trapped underneath insulation on a metal pipe. The insulated environment promotes an accelerated and localized corrosion that aggressively attacks and breaks down steel pipe carrying high temperature gas or liquid. CUI is a hidden danger because the insulation masks the corrosion, making it difficult to detect until a failure occurs that could endanger workers and the public, damage equipment, and keep a plant offline for weeks, which impacts electric service reliability.

Proactively, the CPUC initiated a state-wide assessment of CUI conditions and mitigation measures at jurisdictional power plants greater than 50 megawatts, which allows staff to focus inspection and mitigation efforts on high-risk facilities.



Pipe damage due to hidden corrosion

Investigations at Nuclear Plants

Nuclear power plants supply inexpensive and reliable power to all Californians. However, nuclear safety was called into question after the Fukushima Daiichi meltdown in Japan. In 2013, the CPUC stepped up investigation efforts at San Onofre Nuclear Generation Station and Diablo Canyon Nuclear Power Plant.

CPUC inspectors investigated several safety incidents and outages at both facilities, including a power trip at PG&E's Diablo Canyon caused by an electrical fault at a lightning arrester. CPUC staff directed PG&E to identify root causes and to take remedial action to prevent recurrence.

Prior to Southern California Edison's announcement to retire San Onofre in June 2013, CPUC staff investigated a series of incidents that included a maintenance worker falling into a reactor pool (uranium rods were not present) and an accidental release of radioactive steam into the atmosphere.



CPUC inspector inspects power plant repairs

Wind Farm Investigation

In May 2013, CPUC inspectors investigated a safety incident at a Southern California wind farm. During operation, a 173-foot blade broke off from the spinning turbine and crash-landed on the ground. A flaw in the manufacturing process resulted in an insufficient bond between the fiberglass blade and the blade root that attaches to the turbine hub. The CPUC monitored remedial effort as the plant halted operation, inspected all turbines, and retrofitted blades with reinforcing bolts to strengthen connection to the hub.

Electric Substation Inspection Program

Proper inspection of electric substation operations is critical to identify, document, and resolve many safety and reliability concerns. In 2012, the CPUC approved a new General Order establishing minimum inspection and maintenance standards for electric substation in California. In 2013, the CPUC began an audit of PG&E, Southern California Edison, and San Diego Gas & Electric substations. The substation inspection program will be expanded to include municipal utilities in 2015.

Enhanced Regulations of Electricity and Communication Facilities

In response to several wildfires that were reportedly ignited by power lines, the CPUC is considering several new and revised regulations to reduce the fire hazards associated with overhead power line facilities and aerial communication facilities located in close proximity to power lines. In 2013, the CPUC revised General Order 95 to require Communication Infrastructure Providers to inspect their aerial facilities according to specific inspection cycles and to calculate pole loads based on accurate data. The CPUC's Safety & Enforcement Division, with the assistance of CalFire, is drafting additional revisions to introduce a risk-based approach to increase certain loading and strength requirements of utility facilities in high fire and high wind areas.

Southern California Edison and NextG Fined \$51.5 Million for Malibu Fire

In September 2013, the CPUC approved two settlements between its Safety & Enforcement Division and the remaining two parties in the 2007 Malibu Fire Investigation, Southern California Edison and NextG Networks of California, Inc. High winds knocked down utility power lines, which ignited the dry ground brush. Southern California Edison was fined \$37 million and NextG was fined \$14.5 million. A portion of each settlement funds pole assessment in the Malibu area, and substandard poles identified through the assessment will be remediated.

Edison Pole Loading

Due to the expansion of electric and communications facilities, many are sharing the same poles. The CPUC in late 2012 directed Southern California Edison to determine whether pole loads meet current standards by performing a statistically valid sample of both utility and jointly owned poles.

On July 31, 2013, Southern California Edison delivered to the CPUC the pole loading study and provided pole-by-pole results. The study showed that 22.3 percent of poles sampled did not meet either the requirements of the CPUC's General Order 95 or Southern California Edison's current internal standards. In response to the loading study, on November 7, 2013, the CPUC's Safety & Enforcement Division issued recommendations to improve Southern California Edison's existing pole loading program, including surveys of all poles for safety factor compliance, remediating any failed poles, and to work with other companies with facilities attached to the poles to improve pole loading in the future.

Developing an Electric Citation Program

In 2013, the CPUC's Safety & Enforcement Division developed a Citation Program that, if approved by the CPUC's Commissioners, authorizes staff to cite electrical and communication companies for violations of CPUC rules. Similar to the existing gas citation program, the electric program establishes guidelines for penalties and a process for appeals. The intended outcome is to accelerate necessary system improvements and therefore, system safety; and to offer an enforcement alternative to a formal proceeding. The Safety & Enforcement Division intends to introduce the proposed citation program in early 2014 for Commissioner consideration.

On October 15, 2013, Governor Brown approved Senate Bill 291, which requires the CPUC to develop a safety enforcement program for gas and electrical corporations, including a process to issue citations, by January 1, 2015. The CPUC will consider whether and how to modify the gas and electric citation programs to implement the legislation.

NATURAL GAS PIPELINES

Approving and Enforcing Gas Transmission Pipeline Safety Enhancement Plans

Following the rupture of a PG&E natural gas pipeline in San Bruno in 2010, the CPUC developed multiple new requirements for gas operators. Gas transmission utilities must develop implementation plans to meet these requirements. Among other requirements, natural gas transmission pipelines must:

- Have been pressure tested or replaced;
- Have traceable, verifiable, and complete records readily available;
- Where warranted, be capable of accommodating in-line inspection devices; and
- Where warranted, have automated shut off valves installed capable of quickly isolating pipe sections in the event of an emergency.



In December 2012, the CPUC approved PG&E's implementation plan, now known as the Pipeline Safety Enhancement Plan. By the end of 2013, PG&E tested approximately 480 miles and replaced about 86 miles of transmission pipelines. PG&E plans to complete its testing and replacement of higher priority pipelines in 2014, and expects to complete all testing and replacement of transmission pipelines by 2025. As of September 2013, PG&E installed 98 automated valves in its transmission pipeline system.

In October 2013, the CPUC approved Southwest Gas Corporation's implementation plan to replace 7.1 miles of transmission pipeline, and to automate one valve. Southwest Gas Corporation expects to complete all pipeline replacement and valve automation work in two years.

The CPUC is currently reviewing the joint implementation plan submitted by Southern California Gas Company and SDG&E. The proposed implementation plan includes pressure testing or replacement of 251 miles, and automation of 203 valves. Although the implementation plan has not

yet been approved by the CPUC, Sempra has completed pressure testing 14 miles of transmission pipeline.

The CPUC's Safety & Enforcement Division performs ongoing field inspections and programmatic audits of the utilities' Pipeline Safety Enhancement Plan activities.

Establishing Individualized Gas Safety Programs

Senate Bill 705 (Leno, 2011) required each natural gas company to file a comprehensive plan for the provision of safe and reliable operation of its gas pipelines with the CPUC by the end of 2012. In 2013, CPUC staff evaluated Gas Safety Plans filed by each jurisdictional gas utility and discovered deficiencies. As a result, the CPUC ordered the utilities to work closely with CPUC staff to resolve the deficiencies by June 30, 2013. Since no two gas systems are alike, CPUC staff provided individual direction, comments, and guidance to each utility. The Gas Safety Plans contain existing safety compliance programs and procedures and additional programs established to address specific requirements of Senate Bill 705. CPUC staff reviews the Gas Safety Plans through a combination of general audit activities and specialized programs audits.

Expanding Audits of Natural Gas Pipeline Operators

The CPUC increased the number of audits it conducts to assess gas utility adherence to federal and state natural gas safety laws, up from 34 audits in 2012 to 46 in 2013. By the end of 2013, CPUC staff also partnered with the Federal Pipeline and Hazardous Materials Safety Administration on seven audits of PG&E's Gas Safety Program, as recommended by the National Transportation Safety Board following the tragic San Bruno pipeline explosion in September 2010. The agencies conducted audits on various PG&E programs, such as Transmission and Distribution Integrity Management, Control Room Management, and Public Awareness, as well as local distribution system operations and maintenance.

New Metrics Enable CPUC to Better Evaluate Gas Utility Safety Performance

In early 2013, CPUC staff developed metrics for gas utilities to better assess safety performance. Staff presented the proposed metrics at a public workshop in June 2013. The following performance metrics have been proposed to be added to General Order 112, which would require utilities to report the following to the CPUC annually:

- Number of gas leaks associated with causes, pipeline materials, sizes, and decades of installation and the time it took to repair the leaks;
- Number of events in which the gas pressure exceeded the maximum allowable operating pressure;
- Number of employees by operating unit;
- Excavation damage related data;

- Lost and unaccounted for gas;
- Public liaison activities; and
- Time to respond to reported gas leaks and pipeline damages.

CPUC staff plans to use the data collected from the annual reports to search for and analyze trends, and to prioritize scheduling of gas safety inspections.

RAIL AND TRANSIT

Developing Rules Governing High Speed Rail Electrification

In 2013, the California High-Speed Rail Authority petitioned the CPUC to create regulations governing safety standards for the use of 25 kilovolt (kV) electric lines to power high-speed trains. The CPUC opened a proceeding to establish uniform safety requirements governing the design, construction, operation, and maintenance of overhead 25 kV railroad electrification systems and the specific safety challenges the system presents. The CPUC plans to adopt these safety regulations in 2014.

Assessment of Rail Transit Safety

The CPUC audits Rail Transit Agencies every three years. In 2013, the CPUC's Safety & Enforcement Division conducted comprehensive Triennial Safety and Security Reviews of the AirTrain at the San Francisco International Airport, the L.A. Metro, and the Port of Los Angeles Red Car Line. The 2013 reviews found that the three transit systems and operations were largely in regulatory compliance.



CPUC Investigates 215 Rail Transit Accidents in 2013

Depending upon the circumstances of a rail accident the CPUC will either directly investigate or alternatively review and approve accident investigations conducted by the Rail Transit Agencies. The CPUC investigates directly for accidents with significant actual or potential public safety consequences, for accidents receiving specific or unusual public attention, or for those accidents that raise questions

as to causes and/or contributing factors. When multi-agency investigation is appropriate, the CPUC works cooperatively with the National Transportation Safety Board (NTSB), the Rail Transit Agencies, the Federal Railroad Administration, Caltrans, and local law enforcement.

In 2013, the CPUC partnered with the NTSB to investigate incidents at Bay Area Rapid Transit (BART) and Angels Flight Railway. From January 1, 2013, through December 1, 2013, Rail Transit Agencies reported 215 rail incidents to the CPUC. The incidents resulted in 25 fatalities and 139 injuries on California transit systems. The CPUC ensures that Rail Transit Agencies' corrective action plans address all findings and recommendations resulting from accident investigations, and monitors the status and implementation of those plans.

CONSUMER PROTECTION

Erroneous Billing Practice

In May 2011, the CPUC instituted an investigation into OSP Communications to determine whether the company billed more than 736,000 California consumers more than \$8 million for collect calls they neither received nor authorized – a scheme generally known as "cramming". On September 5, 2013, the CPUC approved a settlement between its Safety & Enforcement Division, OSP Communications, and the company's president under which OSP Communications agreed to pay full reparation to California consumers for \$8.1 million minus \$2.4 million already refunded; plus a penalty of \$2.8 million to the State's General Fund. OSP Communications President John Vogel will personally pay an additional \$100,000 for the purported erroneous billing. Finally, Vogel and OSP Communications will not conduct any telecommunications business in California for 25 years.

Background Checks

The CPUC performs background checks of applicants seeking to operate as a Non-dominant Inter-Exchange Carrier or Competitive Local Carrier telecommunications providers. The CPUC reviews each application to determine the fitness of the applicants and to detect any misrepresentations. Where necessary to protect California consumers, the CPUC's Safety & Enforcement Division files a protest to a company's application, detailing the grounds for its protest within 30 days of the application's appearance on the CPUC's Daily Calendar.

From January 1, 2013, through November 19, 2013, CPUC staff reviewed 19 applications and protested five. Seven proceedings involved such application protests during this period. Out of these seven proceedings, four protests were settled by the parties and approved by the CPUC; three protests continue litigation.



FINANCIAL AND COMPLIANCE AUDITS

The CPUC performs audits of utilities from all regulated industries, providing financial and accounting analysis and information, and investigations of utility compliance with CPUC orders.

Audits Are Essential TO CPUC Oversight of Energy Procurement

In 2013, the CPUC completed 12 quarterly electric and gas procurement audits addressing approximately \$1.2 billion of energy procurement conducted by the three largest electric utilities. The energy utilities' procurement process is a complex endeavor with large sums of money involved. All together, the three utilities spend approximately \$8 billion on purchased power annually, through both bilateral contracts and spot market purchases, all of which is also cleared through the California Independent System Operator energy market. Oversight of the remainder of the utilities' energy procurement, such as expenditures on Cap and Trade instruments, is essential. Auditing the utilities' quarterly procurement compliance reports is one of the tools the CPUC uses for oversight of energy procurement conducted in the spot market or by contract.

In 2013, the CPUC found instances of noncompliance with CPUC directives with each utility, including but not limited to: \$5 million of transaction reporting errors, failure to post information for Procurement Review Group meetings, failure to ensure that all employees sign the utilities' Code of Conduct agreements on a timely basis, and failure to consult with a Procurement Review Group for almost \$40 million of bilateral contracts that exceeded three calendar months.

These audits facilitate the monitoring and oversight of the utilities' energy procurement practices and guide the utilities in correcting their energy procurement compliance deficiencies. In addition, the results of these audits help the CPUC develop and fine-tune its energy procurement policy and framework.

Audits of Energy Public Purpose Programs

In 2013, the CPUC completed four financial, management, and regulatory compliance examinations of the 2009 and 2010 Energy Savings Assistance Programs of PG&E, Southern California Edison, SDG&E, and Southern California Gas Company.

The CPUC examined samples from \$845.7 million in reported expenditures and found that, among other

things, the utilities failed to demonstrate compliance with the CPUC's directives, the statewide Policy and Procedures Manual, and their own internal accounting controls. In addition, the CPUC found that in some instances the utilities failed to maintain adequate documentation to support recorded expenditure costs; misallocated and misclassified recorded expenditure costs; provided measures to unqualified recipients; installed ineligible measures; and reported inaccurate information in their annual reports filed with the CPUC.

At the completion of the audit, the CPUC developed corrective action plans with the utilities. SDG&E and Southern California Gas Company refunded almost \$1.4 million of program funds. The CPUC will follow up in subsequent audits to confirm whether corrective actions taken were appropriate.



In addition, the CPUC completed four financial compliance examinations of PG&E, Southern California Edison, SDG&E, and Southern California Gas Company's 2011 energy efficiency public purpose programs, totaling more than \$1 billion in recorded expenditures. The CPUC examined samples from at least 34 percent of each utility's expenditures. The CPUC found that, among other things, the utilities incorrectly reported expenditure amounts in reports filed with the CPUC; failed to maintain adequate documentation to support recorded expenditure costs; misclassified costs; and failed to comply with CPUC directives.

At the completion of the audit, the CPUC developed corrective action plans with the utilities, and will follow up in subsequent audits to confirm corrective actions were taken. The CPUC used the findings to reduce PG&E's,

Southern California Edison's, and SDG&E's incentives related to their 2011 programs. In 2014, the CPUC will conduct additional reviews to determine whether the utilities' 2012 energy efficiency incentive awards should be reduced in view of the results of the audits.

Based on corrective action plans submitted by the utilities for both energy efficiency public purpose programs and Energy Savings Assistance Programs, the utilities are making changes to their computer systems and internal practices, and will provide more training to their staff. For example, one utility is making changes to its reporting system so that when contractors report their activities, they will be in compliance with the statewide minimum measure installation rules. Another utility plans to ensure that a sufficient breakdown of contracting costs is available for future audits.

CPUC Audits Improved Record Keeping and Reporting of Small Water Utilities

In 2013, the CPUC completed financial audits of seven small water utilities (those with 10,000 or less customers), and initiated an additional three audits. The audits found that four of the water utilities did not properly report financial information related to their Safe Drinking Water Bond Act loans. The utilities will implement the CPUC's suggestions for improving their practices. In addition to conducting the audits, the CPUC is updating illustrative accounting entries for the small water utilities and expects to complete this task in early 2014.

Water utility Refunds of More Than \$1.2 Million

In late 2012 and early 2013, the CPUC determined that four water utilities had over-collected rates dedicated for payment on Safe Drinking Water Bond Act loans and required the utilities to refund the excess funds. These refunds provided economic and financial relief to customers and ensured customer equity. The refunding was completed in 2013, totaling \$1,207,406, of which approximately \$8,600 is being processed in accordance with the state's unclaimed property procedures.



In 2013, the CPUC completed reviewing 29 additional Safe Drinking Water Bond Act trust accounts. Based on the results, the CPUC required a Class A water company to submit a plan in 2014 to refund approximately \$617,700 of excess funds in five of its districts and at the same time request to adjust its surcharge. In addition, the CPUC required and approved three utilities with minimal over-collections to reduce their surcharge rates, and one utility to terminate its surcharge rates. The CPUC also required two utilities with delinquent loans to negotiate and arrange for a loan re-structuring with the California Department of Water Resources and to increase their reporting.



Audits of the California LifeLine Fund

In 2012, the CPUC, through its audit of California LifeLine compliance conducted under contract, determined that four utilities over-claimed and owe \$344,372 to the LifeLine Fund. The CPUC is in the process of collecting the funds. The CPUC extended its contract into 2014 to audit additional years for certain companies that over-claimed funds. The extension will allow the contractor to determine if the audit findings derived from fiscal year 2009-2010 audits can be consistently applied to fiscal years 2008-2009 and 2010-2011.

Audit of the California Teleconnect Fund

In 2013, the CPUC conducted a compliance audit of five telecommunications carriers' California Teleconnect Fund Program claims, totaling \$10,808,000, made during fiscal year 2009-2010. When the CPUC found errors in the claims, it examined 100 percent of those types of claims to assess the total amount over-claimed. This comprehensive audit uncovered potential over claims totaling \$441,274 to the fund. The CPUC is in the process of finalizing these findings and collecting the finalized over-claimed amounts. The CPUC intends to extend its contract in 2014 to audit additional years for certain companies that over-claimed funds.



ENERGY

The CPUC's objectives in regulating energy utilities rest on two key principles:

1. Establishing rules and regulations for safe, reliable, and affordable energy service.
2. Creating energy policies with a commitment to environmental enhancement and a healthy California economy.

The CPUC regulates 70 percent of California's electric sales and 99 percent of the state's natural gas. The CPUC's primary oversight role with the for-profit electricity and natural gas utilities is through the regulation of the utilities' revenue collections and rates setting. This process allows the CPUC to assure that the utilities are meeting their core obligation to provide safe and reliable electricity at just

2013 Energy Division at a Glance

- 900 Advice Letters processed & approved
- 112 Resolutions prepared
- 103 Renewable contracts approved
- 40 Legislative Bills analyzed
- 13 Legislative Annual Reports
- 9 Legislative Specific (not annual) Reports
- 19 White Papers and Staff Reports
- 56 Workshops held

and reasonable rates. The CPUC also oversees the utilities' low income, renewable, energy efficiency, and greenhouse gas reduction programs.

OVERSIGHT OF ELECTRIC RATES

The CPUC thoroughly reviews the costs to the major energy utilities of owning, maintaining, and operating the electric and gas infrastructure. Approximately 50 percent of the total costs are reviewed and authorized in General Rate Cases. General Rate Cases generally occur on a triennial basis and address costs that can be predicted with a fair degree of accuracy over the next three years. The General Rate Case decisions address allocation of costs among various customer classes and rate design and specify how the utilities' authorized revenues are to be adjusted during the years between rate cases. Fuel and purchased power

costs representing approximately 25 percent to 35 percent of utilities' total revenue requirements are recovered in the annual Energy Resource Recovery Account proceedings as these costs are difficult to predict. The CPUC processed the following General Rate Cases-related reviews and fuel and purchased power cost reviews during 2013.

SDG&E General Rate Case Revenues

SDG&E filed its 2012 General Rate Case in December 2010. The CPUC issued a decision in May 2013. The CPUC authorized SDG&E an increase of \$115 million (3.6 percent) in electric and \$8 million (1.3 percent) in gas revenues for 2012. The CPUC ensured that SDG&E would have the necessary funding to maintain and replace electric and gas delivery infrastructure to ensure safe and reliable service to customers, comply with state and federal environmental regulations, and allow SDG&E to install smart grid technologies to better monitor the electric grid to improve reliability. SDG&E filed its 2012 Phase 2 General Rate Case Application on electric marginal costs, revenue allocation, and rate design in October 2011. In November 2013 the Administrative Law Judges issued a Proposed Decision on this application. In November 2013 the CPUC authorized a 2013 Energy Resource Recovery Account revenue requirement of \$945 million for SDG&E. The CPUC expects to decide on SDG&E's 2014 Energy Resource Recovery Account revenue requirement and General Rate Case in 2014.

Southern California Edison General Rate Case Revenues

In November 2012 the CPUC adopted a decision authorizing a 5 percent increase in Southern California Edison's General Rate Case revenue requirement (2 percent increase in total revenue requirements) to provide Southern California Edison the necessary funds to safely and reliably serve customers. In March 2013 the CPUC issued a decision in Southern California Edison's 2012 Phase 2 General Rate Case addressing marginal costs, revenue allocation, and rate design. In November 2013 Southern California Edison filed its 2015 Phase 1 General Rate Case Application in which it requested a \$206 million increase in revenue requirements in 2015 (1.3 percent increase in total revenue requirements). Southern California Edison cites the need for electric distribution investments such as pole replacements as a major factor driving its General Rate Case request. Southern California Edison will file its 2015 Phase 2 General Rate Case Application addressing marginal costs, revenue allocation, and rate design in early 2014. In November 2013 the CPUC adopted a 2013

Energy Resource Recovery Account revenue requirement of \$3.8 billion for Southern California Edison. A CPUC decision is expected in mid-2014 regarding Southern California Edison's 2014 Energy Resource Recovery Account revenue requirement request.

PG&E General Rate Case Revenues

In November 2012 PG&E filed its General Rate Case requesting an increase of \$1.282 billion, consisting of \$587 million for electric distribution, \$486 million for gas distribution, and \$209 million for electric generation for its 2014 revenue requirement. PG&E also requested additional revenue requirement increases for 2015 of \$492 million and for 2016 of \$504 million. PG&E's request would increase its General Rate Case revenue requirements by 14 percent for electric and 37 percent for gas. PG&E cites the need to make expenditures on safety and reliability related projects as major drivers of its General Rate Case request. The CPUC expects to issue a decision on this matter in mid-2014. In addition, PG&E filed its 2014 Phase 2 General Rate Case Application addressing electric marginal costs, revenue allocation, and rate design in April 2013. The CPUC also expects to issue a decision on these Phase 2 issues in mid-2014.

LOW INCOME ENERGY CONSUMERS

The CPUC and statutes require the electric and gas utilities to administer two main programs to assist low income customers, California Alternate Rates for Energy (CARE) and the Energy Savings Assistance Program (ESAP). CARE offers a minimum of a 20 percent bill reduction for customers who earn less than 200 percent of the federal poverty level. The ESAP provides no-cost weatherization services to low income households who meet income and program guidelines.

The CPUC authorized approximately \$5 billion (over the 2012-2014 program cycle) to continue the CARE and the ESAP for the large investor-owned utilities. Based on the 2013 Low Income Needs Assessment study, 32 percent of California investor-owned utility households are income eligible for CARE and the ESAP.

CARE program highlights include program changes to increase participation of eligible customers and decrease participation of potentially ineligible customers. In 2013 the CARE program goal was to enroll 90 percent of eligible customers. In an effort to reduce the potential of ineligible customer from participating the program the CPUC implemented new post enrollment income verification procedures and new procedures for customers that use more than 600 percent of baseline to remain in the program.

The ESAP highlights include an increased focus on the multifamily sector by leveraging other low income and mainstream

energy efficiency programs, a new suite of program measures based on updated cost effectiveness assessment, and new evaluation studies directed to improve program design.

ENERGY EFFICIENCY HAS BECOME THE NEW "BUSINESS AS USUAL" FOR CALIFORNIA

Energy efficiency is the most cost effective, reliable, and environmentally sensitive resource available to meet growing energy demand in California. The CPUC continues to build on California's rich history in energy efficiency and has accelerated its efforts to implement the most ambitious energy efficiency and conservation programs in the U.S. utility industry.

In 2013 Program Administrators began implementing their 2013-2014 energy efficiency portfolios. With \$1.9 billion authorized for the 2013-2014 transition portfolios, the CPUC signaled a transition from short-lived measures towards deep retrofits and market transformation programs such as Energy Upgrade California, made a major \$220 million commitment to financing programs, and authorized \$75 million to new regional energy networks and Community Choice Aggregators. The CPUC has set goals for the portfolios to produce cost-effective gross energy savings of approximately 4500 gigawatt-hours of electricity, 820 peak megawatts of capacity, and 120 million therms of natural gas, and to support 16,000 green jobs. The CPUC also completed the majority of the field work needed to evaluate the 2010-2012 efficiency portfolios; final results will be completed in 2014.

CALIFORNIA SOLAR INITIATIVE

The California Solar Initiative is designed to incentivize 3,000 megawatts of distributed solar generation by 2017, and the program appears on-target to reach this goal. Now in its seventh year, the California Solar Initiative has installed more than 1,300 megawatts of new solar through 2013, with customer-owned solar systems installed at more than 100,000 sites throughout the state's investor-owned utility service territories. Program data shows that total system costs have decreased by 46 percent since 2007. The emergence of third-party ownership models, such as solar leases and power purchase agreements, has been

Year	MW Installed	Installation Costs (\$/W, Q4)	Installation Cost Reduction Since 2007
2007	28	\$10.46	-%
2008	121	\$10.60	-1%
2009	136	\$9.44	10%
2010	155	\$8.52	19%
2011	270	\$7.34	30%
2012	354	\$5.89	44%
2013	317	\$5.67	46%

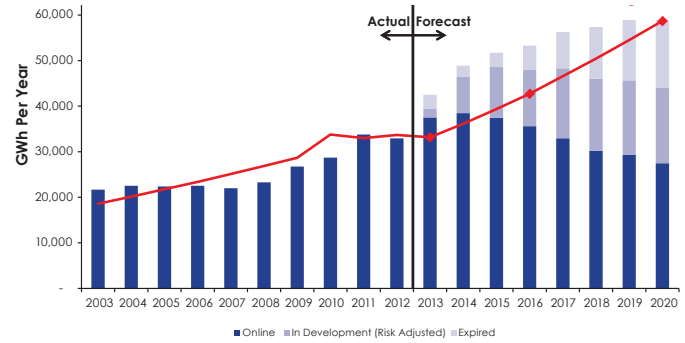
driving demand in the residential sector, which remains robust despite declining state incentives. The California Solar Initiative includes two low income programs, one for single-family homeowners and the other for multifamily properties.

IMPLEMENTING THE CALIFORNIA RENEWABLES PORTFOLIO STANDARD



The CPUC is committed to statewide environmental goals and the role of renewable power in achieving those goals. In 2013, California's three large investor-owned utilities reported that they served 18.6 percent of their retail sales with Renewables Portfolio Standard (RPS)-eligible generation. The CPUC has been focused on both large utility scale projects and smaller distribution generation projects.

Large Investor-Owned Utility New Online RPS Capacity



Utility-Scale Renewable Distributed Generation

The RPS solicitation process is the primary method for the development of utility-scale renewable energy in California and is designed to capture the least-cost best-fit renewable projects. Since the more economically competitive projects tend to be large, take several years to develop, and are often located in remote areas that require new transmission, the CPUC has considered a variety of programs to encourage the small to medium-scale market segment.

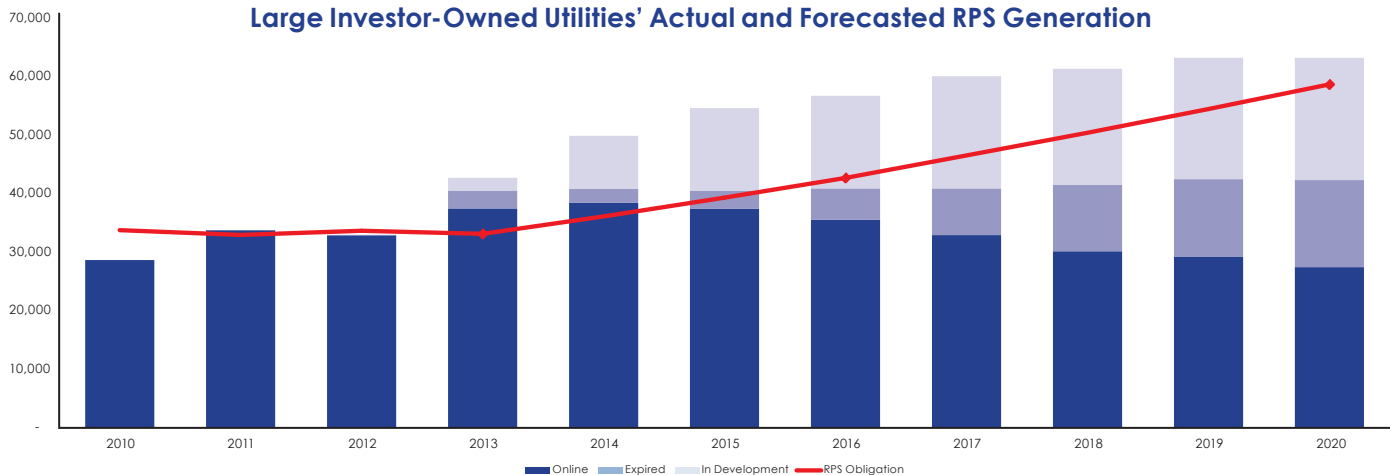
The potential benefits of this market segment include:

- Quick project development timelines;
- Avoidance of new transmission;
- Declining technology prices; and
- Hedging against riskier, large
- Scale renewable projects.

Renewable Auction Mechanism Used to Approve 337 MWs of Renewable Distribution Generation

The Renewable Auction Mechanism is a simplified and market-based procurement mechanism for renewable distributed generation projects up to 20 megawatts on the system side of the meter. The CPUC authorized

Large Investor-Owned Utilities' Actual and Forecasted RPS Generation



the utilities to procure 1,330 megawatts through the Renewable Auction Mechanism by holding five auctions over two years. In 2013, the CPUC approved 21 Distributed Generation contracts representing 337 megawatts of new generation, of which 295 megawatts is solar.

LONG-TERM PROCUREMENT PROCEEDING: ENSURING RELIABILITY AND INTEGRATING RENEWABLES

The CPUC advances studies to understand the impact of renewable generation on the electric system and the corresponding need for flexible resources to ensure reliability. In 2013, the CPUC coordinated with other state agencies to develop uniform planning assumptions and supported cutting edge modeling of system flexibility needs. Four workshops were held in 2013 on the long-term need for flexible resources, while the CPUC implemented a system for ensuring sufficient flexible resources are available in the short-term.

As part of its Long-Term Procurement Proceeding, the CPUC examines resource need in specific locations throughout the state. In 2013, the CPUC authorized Southern California Edison to procure 215-290 megawatts of new generation in the Moorpark sub-area (Ventura County) and 1,400-1,800 megawatts in the West L.A. Basin sub-area (primarily Orange County). Of the authorized new resources, 1,000-1,200 megawatts should be flexible natural gas fueled, 50 megawatts storage, and 150 megawatts preferred resources (e.g., energy efficiency, demand response, and renewables) and up to 600 megawatts of preferred resources and storage. Also, the CPUC authorized 308 megawatts of additional resources in San Diego County.

The retirement of the San Onofre Nuclear Generating Station created a challenge that the CPUC addressed through active coordination with the California Energy Commission, California Independent System Operator, California Air Resources Board, and other state and local agencies. Through an expedited proceeding, including holding a workshop in July 2013 and five days of hearings in October, the CPUC examined the issues and is poised to authorize any resources needed to ensure safe, reliable electric service in Southern California. In addition, the CPUC worked with the California Independent System Operator and Southern California Edison to ensure the obsolete Huntington Beach Power Plant Unit's 1 and 2 were converted to synchronous condensers. Similarly, the CPUC is supporting Southern California Edison's development of a "Living Pilot" to facilitate replacing San Onofre with preferred (low or non-polluting) resources.

200 RESOURCE ADEQUACY COMPLIANCE FILINGS APPROVED IN 2013

The CPUC ensures that utilities plan for and make investments in energy resources necessary to provide California

consumers with reliable service at low and stable prices. Utility procurement of energy resources must be cost effective and consistent with the goals of California's Energy Action Plan. In 2013, the resources procured by regulated load serving entities met electric systems needs with no loss of electric service because of insufficient generation. In 2013, the CPUC approved a decision requiring load serving entities to start acquiring flexible capacity in 2015. This ensures the reliable integration of variable energy resources (wind, solar, storage).

GREENHOUSE GAS POLLUTION COSTS AND THE CALIFORNIA CLIMATE CREDIT

As part of Assembly Bill 32 (AB32), the California Air Resources Board has established a Cap and Trade program that both establishes overarching limits on the total greenhouse gas emissions that can be released into the atmosphere from California sources between 2013 and 2020, as well as a system of tradable permits and offsets to help ensure that emission reductions are achieved at least cost to the California economy. To help further mitigate the cost impacts under this program, the California Air Resources Board allocates a significant share of emis-



sion allowances to each of the state's electric distribution utilities, including the investor-owned utilities. The investor-owned utilities are required to sell the entirety of their allowances at auctions held quarterly by the California Air Resources Board, with the proceeds from those sales to be used for the exclusive benefit of retail ratepayers consistent with the goals of AB32. The proceeds from these auctions are subject to CPUC authority pursuant to the California Air Resources Board's Cap and Trade regulations.

The basic framework for greenhouse gas costs and allowance revenues was decided by the CPUC in December 2012. That framework established that greenhouse gas pollution costs would not flow through to residential rates in the three large investor-owned utilities' territories until those rates could be comprehensively reviewed. Instead, all residents in these territories and the PacifiCorp and Liberty Utilities' territories will receive a biannual "Climate

Credit", an equal (within each territory) per-household bill credit. In addition, small businesses and energy intensive, trade-exposed industries will receive transition assistance with greenhouse gas costs.

In 2013, the CPUC continued fleshing out this framework. In July, staff proposed the specific formulas for delivering transition assistance to energy-intensive, trade-exposed industries, with a final decision expected in early 2014. In December 2013, the CPUC approved the distribution formulas for transition assistance to small business customers. Also in December, the CPUC approved the utilities' forecasts of greenhouse gas costs and allowance revenues expected in 2014. This decision is the final implementation step necessary before greenhouse gas costs will be added to rates, and customers will begin receiving the biannual California Climate Credit in April 2014.

PLUG-IN ELECTRIC VEHICLES

In 2013, CPUC completed the goals of its alternative-fueled proceeding and launching a new proceeding to further the CPUC's efforts around vehicle electrification, anticipate interactions with the electricity grid, and continue supporting the goals of the Governor's Zero Emission Vehicle Action Plan.



The new proceeding includes two tracks: vehicle-grid integration and electric vehicle rates. In June 2013, the CPUC extended the policy of exempting residential customers from paying the cost of distribution upgrade costs associated with PEV charger installation. The CPUC will work with the utilities to continue load research to understand

the impact of this policy, which will last three years. After a January workshop and additional party comments, the CPUC finalized the implementation plan for sub-metering pilots, which will help the CPUC explore the value of vehicle sub-metering.

ELECTRIC PROGRAM INVESTMENT CHARGE

Funding for the Electric Program Investment Charge (EPIC) program runs from 2013-2020 in the amount of approximately \$162 million per year, and is used to support each of the following areas: Applied Research and Development, Technology Demonstration and Deployment, and Market Facilitation. Each of the Program Administrators submitted their respective initial triennial investment plans to the CPUC for consideration and each plan was approved by the CPUC in November. The CPUC will continue to oversee the implementation of the EPIC program and will begin deliberation on the second triennial investment cycle early 2014.

COMBINED HEAT AND POWER

Combined Heat and Power is recognized as a potential source of energy cost savings and greenhouse gas emissions reductions. The CPUC's ongoing oversight of the investor-owned utilities' first Combined Heat and Power-only competitive solicitations will likely yield 1,034 megawatts of newly contracted Combined Heat and Power. The program's other procurement processes yielded additional progress toward the two targets, for a cumulative total of 1,747 megawatts and 1.61 MMT.

INVESTIGATION OF THE OUTAGES AT THE SAN ONOFRE NUCLEAR GENERATING STATION

In November 2012 the CPUC opened an investigation on the outages at the San Onofre Nuclear Generating Station. The San Onofre facility is largely owned by Southern California Edison and SDG&E (78 percent and 20 percent ownership shares, respectively). In early 2012 the facility ceased operating due to leakage and excessive wear found in the recently installed steam generators. Southern California Edison permanently shut down the facility in June 2013. The CPUC's investigation is reviewing Southern California Edison's operational response and costs following the initial shutdown, determining whether to remove San Onofre facilities from rate base pursuant to Public Utilities Code Section 455.5, and examining the reasonableness of the costs of replacement steam generators. The investigation is separated into several phases. Phase 1 addresses expenditures made at San Onofre during 2012 and Phase 2 involves removal of non-operating San Onofre assets from rate base. A Proposed Decision of the Administrative Law Judges was issued in Phase 1 of the investigation in November 2013, and a CPUC decision is expected in 2014. The investigation will continue in 2014.

IMPROVING TRANSMISSION PLANNING AND STATEWIDE RENEWABLE RESOURCE PRIORITIES

The CPUC works closely with the California Independent System Operator and the California Energy Commission to ensure that transmission planning in the state is efficient. The CPUC is working on a detailed study of the operational implications and requirements for achieving a 33 percent Renewables Portfolio Standard by 2020. The CPUC is also closely involved in the Renewable Energy Transmission Planning Process, which extends statewide and takes a more proactive and integrated “big picture” view of transmission and resource priorities.

ENVIRONMENTAL REVIEW OF NEW TRANSMISSION FACILITIES

The California portion (150 miles) of Southern California Edison’s Devers-Palo Verde #2 500 kV line (now called Colorado River-Devers-Valley) approved by the CPUC in November 2009 was energized in 2013. The utilities filed six new electric transmission project permitting applications in 2013, and the CPUC approved two previously filed projects: the Shepherd Substation and South Bay Substation Projects. Additionally, construction of the Eldorado Ivanpah Transmission Project was completed in June 2013 and brought on line. It will enable the interconnection of approximately 1,200 megawatts of renewable generation.

CREATING THE 21ST CENTURY GRID

In 2013, the CPUC made major policies to encourage new technologies and practices to improve distribution reliability and provide ratepayers with new services to better manage energy use that included:

- Modernization of the electric grid;
- Better integration of renewable energy with energy storage;
- Full deployment of advanced metering infrastructure; and
- Streamline and standardize the interconnection process for all types of generation resources, energy storage systems, and customer-side of-the-meter devices.

Energy Storage

In October 2013, the CPUC set a target of 1,325 megawatts of energy storage for the utilities and other load-serving entities to build, buy, or contract by 2020. In addition, the CPUC mandated that Southern California Edison purchase at least 50 megawatts of energy storage to meet L.A. Basin local capacity requirements.

Distributed Generation Interconnection (Rule 21)

In 2013, the CPUC worked on policies that will reduce cost and improve the time for customers and small generators to interconnect to the utilities’ distribution system. This included:

- Automated utility permitting practices;
- Standardized data gathering and reporting; and
- Providing cost certainty.

Smart Grid turns from Vision into Practice

As Smart Grid technologies become more commonplace throughout electricity transmission and distribution, the CPUC’s review of both commercialized and pilot technologies is increasing. In 2013 this effort included:

- Approving \$100 million over four years for utility owned energy storage and another \$42.5 million for SDG&E for other Smart Grid technologies; and
- Approving \$80.8 million for PG&E’s new technologies and monitoring pilot projects;
- Considering approving up to \$255 million in Smart Grid expenditures as part of the 2014 PG&E General Rate Case (a decision is expected in 2014).

Additionally, the CPUC facilitated a Smart Inverter Working Group process to explore advanced capabilities of Advanced Smart Inverters to improve safety for customers, utility personnel, and emergency responders.

Smart Meter Deployment

With advanced metering deployment 99 percent complete, in 2013 the CPUC turned toward activating the ability of customers to employ Home Area Networks (HAN) to monitor energy consumption in real-time. The CPUC adopted new policies to allow customers to voluntarily give third-parties direct access to customer energy consumption data while protecting customer privacy.

FACILITATING REGIONAL TRANSMISSION PLANNING

California has always relied upon and participated in the west-wide interconnected electric system with its diverse electricity supply resources. Accordingly, the CPUC monitors and participates in western transmission planning and related activities with the Western Electricity Coordinating Council and its Transmission Expansion Planning Policy Committee.

ADVOCATING FOR CALIFORNIA CONSUMERS IN TRANSMISSION RATE CASES

The CPUC intervenes in transmission rate cases at the Federal Energy Regulatory Commission (FERC) to ensure just and reasonable rates by providing testimony and by

negotiating and litigating rate cases. In 2013, and going forward, the CPUC's FERC-related work included six transmission rate cases involving PG&E, Southern California Edison, SDG&E, and Trans Bay Cable. The CPUC's work in FERC proceedings is a critical factor in FERC reducing the utilities' requested revenues, which saved California customers more than \$291 million in transmission costs in 2013.

REVIEWING COSTS AND RATES FOR PIPELINE SAFETY ENHANCEMENT PLANS

Evaluation of gas pipeline safety costs continued to be a prime focus at the CPUC during 2013. In August 2011, the major natural gas utilities in the state submitted their natural gas Pipeline Safety Enhancement Plans. To implement the first phase of their proposed plans, the utilities requested approval of budgets that amount to approximately \$4 billion to be spent over the next 3-4 years. The CPUC examined the proposed plans in 2012 and 2013, and their associated costs and impacts on rates. In December 2012, the CPUC approved PG&E's plan, and approved some of the associated costs for recovery from ratepayers. However, the CPUC ordered that much of the costs should be borne by PG&E shareholders. In October 2013, the CPUC approved the Southwest Gas plan and approved most of the costs associated with that plan, but also ordered that Southwest Gas shareholders bear a small portion of the costs. The CPUC expects to issue a decision on the Southern California Gas (SoCalGas)/SDG&E plan in early 2014.

In October 2013, PG&E submitted an update of its revenue requirement for its plan. The CPUC will review that update in 2014.

Setting natural gas utility operational costs and rates

- In late 2010, SoCalGas and SDG&E submitted their 2012 Test Year General Rate Case to request approval of their 2012 revenue requirement. In May 2013, the CPUC approved a new revenue requirement for SoCalGas and SDG&E. The approved revenue requirement resulted in a rate increase for a typical residential gas customer of 5 percent for SoCalGas and 9.6 percent for SDG&E.
- In November 2012, PG&E submitted its 2014 Test Year General Rate Case Application to request its 2014 revenue requirements for its electric department and its gas distribution system. The CPUC reviewed PG&E's request in 2013 and expects to issue a decision in 2014.
- In December 2012, Southwest Gas submitted its 2014 General Rate Case application to request approval of their 2014 revenue requirement. The CPUC reviewed Southwest Gas's request in 2013 and expects to issue a decision in 2014.
- In March 2013, the CPUC adopted a new revenue requirement for West Coast Gas, a small gas utility

that operates gas distribution facilities at the former Mather and Castle air force bases.

COST ALLOCATION AND RATE DESIGN

In November 2011, SoCalGas and SDG&E filed their Triennial Cost Allocation Proceeding application. The utilities application includes proposals regarding allocation of the utilities' revenue requirements to different customer classes, rate design, the costs of transmission and storage, and operational changes. The CPUC reviewed the utilities' proposals made in that application in 2013 and expects to issue a decision in 2014.

ASSURING ADEQUATE GAS STORAGE INFRASTRUCTURE

Gas storage fields are very valuable assets for California's gas utilities and their customers. After an extensive environmental review, in November 2013 the CPUC approved new construction work at the SoCalGas Aliso Canyon gas storage field that would replace obsolete, existing gas compressors with electric compression. In addition to replacing obsolete facilities, this work will increase the injection capacity at the field from 33 million cubic feet per day to 450 million cubic feet per day.

MAKING BIOGAS A POTENTIAL NEW GAS SUPPLY SOURCE



Biogas not only can provide an additional source of natural gas supplies, it can also help reduce greenhouse gas emissions. In February 2013, in response to Assembly Bill 1900 (Gatto, 2012), the CPUC initiated a Rulemaking to adopt gas quality specifications for biogas that would allow biogas to be injected into gas utility pipeline systems. In December 2013, a CPUC Administrative Law Judge issued a Proposed Decision that would adopt the gas quality specifications that would ensure that human health would be protected and that pipeline integrity would not be compromised (the Proposed Decision was adopted by the CPUC in January 2014). The CPUC will conduct a second phase of the Rulemaking to consider allocation of the costs of compliance with the adopted specs and associated monitoring requirements.

In December 2013, the CPUC approved a settlement agreement between parties that would approve an application by SoCalGas to establish a biogas conditioning service that would treat biogas to meet natural gas quality specifications sufficient to be injected into the SoCalGas pipeline system.



COMMUNICATIONS

The CPUC's objectives in regulating telephone companies rest on three key principles:

- Supporting Universal Service;
- Safe and reliable communications services; and
- Ubiquitous deployment of advanced networks and services.

MODERNIZED & EXPANDED CALIFORNIA LIFELINE PROGRAM

In 2013, the CPUC worked to enable eligible low income Californians to access the California LifeLine communications service discount program for wireless voice, text, and data services. The Moore Act, which authorized the LifeLine program recognizes LifeLine as "an important means of achieving universal service by making residential service affordable to low-income citizens through the creation of a lifeline class of service." This effort has launched a transformative opportunity for California's low income residents.

The CPUC's decision, which was adopted in January 2014, provides \$5.75 in reimbursement to the LifeLine carrier from the California LifeLine fund when an eligible subscriber chooses a wireless plan that offers between 501-999 minutes, which could be matched with the federal Lifeline funds of \$9.25. The decision offers \$12.65 a month to the

2013 Communications Division at a Glance

- **2,144 Advice Letters processed & approved**
- **2,321 California Teleconnect Fund applications received (1,581 approved; 639 denied/withdrawn; 101 pending)**
- **30 California Advanced Services Fund Grant Applications (11 approved; 7 denied/withdrawn; 12 pending)**

LifeLine carrier for eligible subscriber participation in wireless plans that offer 1,000 minutes per month or more. When coupled with federal funds, the \$22.40 in monthly support envisioned by the decision for plans offering 1,000 minutes or more increases by orders of magnitude the affordability of robust voice, text, and data plans. These

thresholds incentivize carriers to provide sufficient minutes to help LifeLine subscribers communicate and connect with employers, family and friends, service providers, and public safety.



In addition, the CPUC directed its Communications Division to begin developing a California-only LifeLine program to enable low income consumers without Social Security Numbers to participate in the program if otherwise eligible. Implementation issues associated with this California-only LifeLine expansion will be the subject of subsequent phases of the LifeLine proceeding. Other issues to be addressed in Phase 2 include streamlining the LifeLine application and verification process for eligible subscribers, expediting the process for carriers to participate in federal or state LifeLine, and exploring possible efficiencies in program administration to ensure the program's financial integrity and accountability.

ENHANCED 9-1-1 LOCATION ACCURACY IN MULTI-TENANT BUILDINGS

In July 2013, as a component of the CPUC's commitment to ensure that the public can access reliable 9-1-1 services, the CPUC issued Decision 13-07-019 to address the risk of inaccurate caller location information being delivered to Public Safety Answering Points (PSAPs) from Multi-Line Telephone Systems (MLTS) users, such as hotels, hospitals, and offices occupying multiple floors and/or buildings. To ensure that 9-1-1 calls made from any extension in these buildings will include the accurate building and floor location of the caller, allowing dispatchers to continue to provide the quickest and most reliable emergency response possible, the decision requires local telephone corporations to inform existing and prospective MLTS customers that it is the customer's responsibility to provide to the 9-1-1 database administrator the Automatic Number Identification (ANI) and Automatic Location Identification sub-address information for each telephone station in the building. CPUC staff, in conjunction with telephone corporations, MLTS vendors, 9-1-1 representatives, and other parties to the proceeding, developed a uniform advisory brochure for this purpose. In 2013, the CPUC completed its review of the local telephone corporation tariffs that implement the notice requirement and MLTS operator responsibilities.

CALIFORNIA TELECONNECT FUND

The California Teleconnect Fund provides a 50 percent discount on telephone and Internet services to eligible anchor institutions that play a pivotal role in our economy and society. They include schools, libraries, government and hospital district-owned healthcare facilities, community colleges, nonprofit community-based organizations, and the California Telehealth Network. There are currently more than 9,000 participating entities, including almost 6,000 community-based organizations.

The California Teleconnect Fund is a major element of the CPUC's Universal Service Program. In fiscal year 2013-14, the California Teleconnect Fund's \$92.4 million budget represented more than 16 percent of California's Universal Service budget. The California Teleconnect Fund is the second-largest Universal Service subsidy program, after LifeLine. In 2013, the California Teleconnect Fund received 2,321 applications. Of those, 1,581 were approved, 538 were denied, and others are still under review.

The California Teleconnect Fund budget increased 80 percent within the last five years, from \$60 million in fiscal year 2009-10 to approximately \$108 million in fiscal year 2014-15. Budget drivers include new rules to expand the types of eligible participants, services, and service providers, outreach to community-based organizations, and lack of price or quantity limitations on services. More than 90 percent of the program budget is for direct subsidies for advanced services, and less than 10 percent is for program administration.

Of all carrier discount claims, the community-based organization sector has shown the most growth, now constituting more than half of total users and more than 42 percent of discount dollars spent. The top 50 community-based organization users in fiscal year 2011 included individual affiliates of national nonprofit entities, such as Goodwill Industries, as well as standalone nonprofits. Health care community organizations were also among the top users.

On January 24, 2013, the CPUC opened a Rulemaking to conduct a comprehensive examination of the California Teleconnect Fund. In the proceeding, the CPUC will examine whether the California Teleconnect Fund fulfills its purpose and whether the California Teleconnect Fund's current structure and administrative processes are adequate to further program goals.

CALIFORNIA ADVANCED SERVICES FUND – BROADBAND DEPLOYMENT

The CPUC continued its efforts in 2013 through the California Advanced Services Fund (CASF) to promote broadband deployment, access, and adoption in unserved and underserved areas of the state through local stakeholders and providers.

The CPUC maintained its financial and operational support of 14 regional consortia grantees. The consortia, which operate in 49 of California's 58 counties, undertake activities designed to increase broadband adoption and availability in their respective areas. For example, some consortia train new Internet users and teach basic computer skills to adult learners, while others collaborate with local governments and community organizations to identify broadband infrastructure projects for CASF funding. In addition, the CPUC initiated a solicitation in September 2013 to regional consortia in all areas of the state to provide additional funding, seeking prioritization of geographic areas not currently represented.



In 2013, the CPUC received 30 applications for infrastructure grants pursuant to new 2012 guidelines. Application review, which included a number of challenging submissions, occupied CASF staff during the year. As of December 2013, the CPUC approved 11 applications, bringing broadband services to an additional 9,328 households. The remaining applications, with the potential to bring broadband services to thousands more unserved and underserved households in California, are pending CPUC disposition in 2014.

During the 2013-14 legislative sessions, the CPUC sought and received a legislative amendment through Senate Bill 740 (Padilla, 2013) to expand eligibility for CASF infrastructure grants/loans to non-telephone corporations. The CPUC also participated in the passage of Assembly Bill 1299 (Bradford, 2013), which creates a new Broadband Public Housing Account under CASF to support the deployment of broadband networks and adoption programs in eligible communities. The CPUC will develop specific rules and guidelines to implement both legislative mandates in 2014.

BROADBAND DATA ANALYSIS

The CPUC collects data to assess where broadband infrastructure is available and to assist in identifying priority areas of the State that should receive CASF infrastructure deployment subsidies to improve availability and close the digital divide. To address concerns that service provider-reported availability data may overstate actual

availability at a household location, the CPUC improved its geographic and demographic analysis of broadband deployment and subscription statistics, in particular, for mobile and fixed wireless broadband services that are being deployed in rural areas of the State where wired broadband hasn't been economical. In 2013 the CPUC improved measures of service availability and service quality resulting in more precise analysis of where broadband is lacking.

- In October 2013, the CPUC implemented a consumer feedback survey about broadband conditions in order to gather "ground truth" availability information directly from the public.
- In April 2013, the CPUC published a mobile testing application called CalSpeed, which is available from the Google Play Android app store. CalSpeed was created to provide the ability for California consumers to test their broadband media and voice streaming and data throughput and transmit the data directly into a mapping database. A new CalBroadband mobile application developed for the iPhone provides California consumers information about statewide broadband availability and is available free at the Apple iTunes site.
- In October 2013 the CPUC improved its field test of mobile broadband service quality of the four largest service providers. The number of test points was increased from 1,600 to nearly 2,000 and testers logged more than 80,000 driving miles covering remote rural areas, urban areas, and Tribal lands. The increase in test points increased the accuracy of broadband availability. Data results are available online, at <http://www.broadband-map.ca.gov/> and is being shared with other state agencies.



SPEECH GENERATING DEVICES

In 2013, pursuant to Legislation, the CPUC opened a Rulemaking (R.13-03-008) to establish rules by January 1, 2014, to include Speech Generating Devices in the Deaf and Disabled Telecommunications Program. Public forums were held in January 2013 in Northern California and Southern California in addition to five working groups in May and June 2013 to receive input from Speech Generating Device users, Speech Language Pathologists and other practitioners, Speech Generating Device

providers, and other members of the public. On December 19, 2013, the CPUC adopted additional rules, guidelines, and procedures to govern the access to and distribution of Speech Generating Devices to any subscriber who is certified as having a speech disability requiring this type of device and additional rules to govern the access to and distribution of other assistive devices not addressed in legislation. With these rules the CPUC will start receiving and processing applications for partial or full funding of Speech Generating Devices in February 2014.

A second phase of this proceeding will address whether further guidance is required in the administration of the distribution programs adopted, whether exemptions or expedited procedures should be added to the existing rules when there is a specific need, and an assessment of the sufficiency of funding.

LIMITED ENGLISH PROFICIENCY CUSTOMER SURVEY

In October 2013 the CPUC's Communications Division presented the results of its customer satisfaction survey of 8,271 California customers in the Chinese, English, Korean, Spanish, Tagalog, and Vietnamese languages. The primary objective of this study was to examine customer satisfaction of the limited English proficiency (LEP) population in California with their residential telephone service. A second objective of the study was to examine the reasons LEP non-customers of telephone service give for going without residential telephone service. The survey revealed that LEP customers and English-proficient customers report similar high levels of satisfaction with their telecommunication services. Further, service satisfaction is not related to whether someone speaks English well or not; satisfaction is most directly tied to the customer's experience with their service provider's ability to be reached easily, to resolve problems, to provide clear and complete explanations of the provider's services and fees, and to provide accurate billing.

2-1-1 SERVICES

In 2013, the CPUC received and approved three applications to use the 2-1-1 abbreviated dialing code to provide information and referral (I&R) services to Butte, San Benito, and Tehama counties. As of December 2013, the CPUC approved 2-1-1 I&R services in 23 counties serving approximately 92 percent of California's residents. The CPUC will conduct outreach to the remaining 25 counties that have not yet applied for certification to offer 2-1-1 I&R services to their communities. Generally, 2-1-1 provides telephone and web-based services that promote public safety during non-emergency situations. Additionally, 2-1-1 service providers offer contact information and access to many local, state, and national hotlines including poison control, suicide, child abuse, adult abuse, teen bullying/cyberbullying and other crises, domestic violence, veteran issues, immediate shelter, food and health needs, and many other social services that are not currently addressed by either 9-1-1 or 3-1-1 services.



WATER

The CPUC's objectives in regulating water utilities rest on four key principles:

Safe, high quality water;

1. Highly reliable water supplies;
2. Efficient use of water; and
3. Reasonable rates and viable utilities.

Water is the only utility that is ingested by consumers. Therefore, water quality is vital to the health of consumers. Delivering safe water requires a reliable infrastructure and careful monitoring and enforcement of water quality standards. Water infrastructure in California continues to need significant improvement. The CPUC will encourage financial incentives and direction for investment in infrastructure needed to improve water quality.

2013 Water and Audit Division at a Glance

- **253 Advice Letters approved**
- **28 Resolutions prepared**
- **2 Water Quality Reports Issued**
- **28 Audit Reports Issued**
- **Directed \$1,207,406 of refunds to customers for over-collected accounts**

The CPUC is responsible for ensuring that California's investor-owned water utilities deliver clean, safe, and reliable water to their customers at reasonable rates. There are 115 investor-owned water utilities and 14 investor-owned wastewater utilities under the CPUC's jurisdiction providing water service to about 16 percent of California's residents. Approximately, 95 percent of that total is served by 10 large water utilities each serving more than 10,000 connections. Annual water and wastewater revenues under the CPUC's regulation total \$1.4 billion. The CPUC has conservation and rationing measures in place in the event the utilities need to quickly activate them in 2014 in the event of a drought.

CPUC-SPONSORED LEGISLATION TO STREAMLINE RECEIVERSHIP PROCESS

In 2013, the CPUC sponsored legislation to facilitate utility ownership transfers to a receiver for utilities that are unable or unwilling to adequately serve their customers or have been actually or effectively abandoned by the owners. The proposed legislation addresses safety implications for customers arising from transfer delays between an inadequately operated utility a receiver and interim operator. The proposed legislation will be considered during the 2014 legislative session.

EVALUATING PROPOSED DESALINATION PLANT

In April 2013, the CPUC held three weeks of evidentiary hearings on California American Water

Company's (Cal-Am) proposal to construct facilities associated with its Monterey Peninsula Water Supply Project, which includes a desalination plant with a capacity of up to 9 million gallons per day. The CPUC is currently reviewing the proposal under the mandates of the California Environmental Quality Act and Public Utilities Code for issuance of a Certificate of Public Convenience and Necessity to construct and operate the components of this project. In July 2013, Cal-Am and various parties filed two settlement agreements resolving major aspects of the case. The CPUC held hearings on the settlements in December. A Draft Environmental Impact Report is expected in mid-2014. The CPUC expects to decide this matter in the third-quarter of 2014.

PETITION FOR RECEIVERSHIP OF LIVE OAK SPRINGS

In 2013, the CPUC petitioned the Superior Court of San Diego County to appoint a receiver for Live Oak Springs Water Company to take possession of and operate the utility, which is a subsidiary of Live Oak Holding Company. The owner of the water utility entered into a loan agreement and pledged the assets of the utility as collateral without authorization from the CPUC, as required by statute. The owner defaulted on the loan and before the CPUC submitted its petition, the bank petitioned the Superior Court in the County of San Diego to, among other things, foreclose on the water company and appoint a receiver.

Earlier in 2013, the CPUC issued a decision, which among other things, voided the encumbrance of the property used to collateralize the loan and authorized the CPUC's Legal Division to petition the Superior Court.

CPUC INVESTIGATES RECENT ACQUISITION

In 2013, Valencia Water Company requested a rate increase and informed the CPUC that the Castaic Lake Water Agency recently acquired Valencia's common stock. The CPUC opened an investigation to fully examine factual and legal issues associated with the acquisition. Topics under consideration include whether Castaic Lake Water Agency's condemnation of Valencia is within Castaic's legal authority and is effective, and whether the CPUC has jurisdiction over Valencia post-condemnation. The CPUC intends to issue a decision on this and other legal and jurisdictional matters in 2014.

NEW PRICING MECHANISMS UNDER CPUC CONSIDERATION

One objective of the CPUC's Water Action Plan is to set rates that balance investment, conservation, and affordability. The CPUC is considering whether to establish new guidelines for uniform or system-wide rates across water districts, or some variation of a high-cost fund within the multi-district water utilities as a means to advance the CPUC's objective. Since the proceeding was opened in 2012 the CPUC has conducted two workshops and published a staff white paper to examine these issues. A decision is expected in 2014.

WATER QUALITY MONITORING ASSISTS IN ASSESSING CAPITAL IMPROVEMENTS AND PROTECTING CUSTOMERS

In 2013, CPUC staff presented water quality reports on Valencia and Cal-Am, complying with the CPUC's requirement for staff to research and report on water quality issues at least once every three years for each of the 10 large water utilities. Each water quality report addresses any non-compliance with the federal and state safe drinking water standards and provides specific findings and conclusions on utility requests associated with water quality. The reports also advise and make recommendations regarding any capital improvements necessary to maintain water quality in the future.

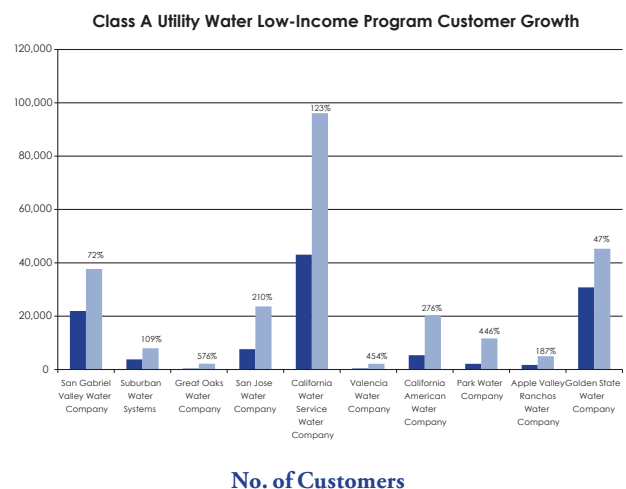
Valencia was found to be in full compliance with the federal and state agencies that regulate public drinking water. However, Valencia and the Castaic Lake Water Agency are working on the remediation and clean-up of perchlorate in their groundwater basin area. Valencia and others have undertaken litigation against the responsible parties to ensure they recover the cost of remediation and clean-up of perchlorate.

Cal-Am was found to be in compliance with the federal and state agencies that regulate public drinking water for two of its three divisions. For its Coastal Division, Cal-Am received a notice of violation from the Health Department for failure to report turbidity levels when an on-line turbidity device was not working appropriately. In addition, Cal-Am was in violation of CPUC orders when it failed to notice the CPUC when the Health Department directed Cal-Am to issue three boil water notices to its customers in its Northern District. These notices were the result of breaks in its distribution system and low pressure.

INCREASED ENROLLMENT IN WATER LOW INCOME PROGRAMS

Beginning in 2006, the CPUC established low income programs offering monthly or bimonthly discounts to qualified customers for all 10 Class A water utilities and has improved the programs ever since. In 2011, the CPUC established an automatic enrollment program that required large energy and water utilities to exchange data on customers participating in their respective low income assistance programs and to enroll the other utilities' participating customers. Customers can also choose to opt out.

In 2013, water utilities reported substantial incremental enrollments of qualified customers in their low income programs, and associated most of the increases to the automatic enrollment program. The following table demonstrates the tremendous growth in participation in the water low income programs.



CPUC ENSURES JUST AND REASONABLE WATER RATES AND ADEQUATE SERVICE

In 2013, the CPUC received 283 water-related Advice Letters and resolved 253 requests. Most of these Advice Letters are water utility requests for rate adjustments. For 10 of the smaller utilities, the CPUC evaluated whether the utilities should be afforded interim rate

relief while waiting the processing of their rate requests and found that these utilities were financially able to pay for current obligations and did not provide interim rate increases.

In addition to rate adjustments, in 2013 the CPUC approved the establishment of an account to track remediation costs associated with Chromium-6, rejected increases for affiliate services that weren't tarified, and is now allowing the payment of water bills by credit or debit cards for three utilities, including a transaction fee that can be charged by third-party payment vendors to water utility customers. The CPUC also facilitated necessary water system improvements by approving two small water utility filings requesting authority to issue debt and encumber utility assets totaling \$570,000. A request for a \$110,000 loan addition to existing debt authority of \$2 million is currently being processed.



RECYCLED WATER POLICY UNDER CONSIDERATION

Recent state policy, legislation, and regulations concerning the production and use of recycled water recognizes the increased importance of recycled water as a resource to augment the water supply portfolios of publicly- and investor-owned water utilities, meet California's future water demand, and promote sustainable use of our ground and surface water supplies.¹ In early 2013, the State Water Resource Control Board (SWRCB) established a goal to increase California's use of recycled water over 2002 levels by at least one million acre-feet per year by 2020, and by at least two million by 2030.²

The CPUC recognizes that an effective recycled water policy requires strong inter-agency coordination with the California Department of Public Health, the California

¹ Senate Bill 918 (2010), Assembly Bill 32 (2006), Water Code Sections 13510-13512, 13515, 13520-13529.4, 13550-13557, 13560-13569, and 13575-13583; California Department of Public Health Code of Regulations Title 17 and 22 Water Recycling Criteria.

² See SWRCB Resolution No. 2009-0011 (May 14, 2009) as amended by Resolution 2013-0003 (April 25, 2013).

Department of Water and Resources, the SWRCB, and the Regional Water Quality Control Boards concerning: 1) Development and implementation of recycled water regulations; 2) Use of recycled water in the state's integrated regional resource planning and management; 3) Public funding for recycled water project; 4) Developments in water treatment technologies; 5) Changes to permitting requirements for recycled water projects; and 6) Appropriate changes in the development of inter-agency guidelines for the economic analysis and evaluation of recycled water projects.

In 2013, the CPUC issued a workshop report on policy issues surrounding the production, distribution, and use of recycled water, and solicited comments on the results of the workshop. The CPUC is considering issues associated with: 1) Recycled water planning; 2) The cost allocation of recycled water projects between customers, utilities, and public agencies; 3) Rate design structures for recycled water, customer incentives, and funding of infrastructure for recycled water use by customers; 4) Inter-agency coordination to address inter- and intra-regional situations where competing beneficial uses may exist; 5) Environmental matters; and 6) Accountability and recycled water goals.



The CPUC is also considering the establishment of minimum criteria for reviewing and evaluating the reasonableness of recycled water project proposals and contractual agreements between private and public recycled water purveyors, including an expedited review process for small scale recycled water project proposals meeting all of the environmental and water use permitting requirements. In 2014, the CPUC plans to adopt a recycled water policy framework addressing the production, cost, distribution, and use of recycled water by its regulated Class A and B investor-owned water and comparably-sized sewer utilities.³

³ Class A and B water utilities are companies with more than 10,000 and 2,000 service connections, respectively.



TRANSPORTATION

The CPUC's regulatory objective in the transportation industry rests on one key principle:

1. Hands-on safety regulation.

The CPUC regulates the safety of railroads and grade-level rail crossings, rail transit systems, moving companies, and passenger carriers such as limousines and airport shuttles. When analyzing transportation industry safety, the CPUC considers the safety of the passengers/customers, the transportation industry employees, third-parties sharing a transportation corridor (e.g., drivers required to navigate a grade-level rail crossing), and third-parties living near a transportation corridor (e.g., residents who might be affected by a hazardous material spill). Safety regulation in the transportation industry is hands-on, and CPUC staff regularly visit rail properties and passenger carrier transportation hubs, such as airports, to ensure that infrastructure, equipment, and vehicles are in good working order, and that transportation companies comply with licensing requirements and state and federal law.

ESTABLISHING RULES FOR TRANSPORTATION NETWORK COMPANIES

In 2013, the CPUC took action to ensure that public safety is not compromised by the operation of transportation services that use an online-enabled platform to connect passengers with drivers who use their personal, non-commercial vehicles.

The CPUC determined that companies such as Lyft, SideCar, and UberX are charter party passenger carriers subject to CPUC jurisdiction. The CPUC created the category of Transportation Network Company (TNC) to apply to companies that provide prearranged transportation services for compensation using an online-enabled application (app) or platform to connect passengers with drivers using their personal vehicles.

The CPUC established 28 rules and regulations for TNCs. The rules include the requirements that TNCs must:

- Obtain a license from the CPUC to operate in California;
- Require each driver to undergo a criminal background check;
- Establish a driver training program;

- Implement a zero-tolerance policy on drugs and alcohol;
- Hold a commercial liability insurance policy that is more stringent than the CPUC's current requirement for limousines, requiring a minimum of \$1 million per-incident coverage for incidents involving TNC vehicles and drivers while providing TNC services, regardless of whether personal insurance allows for coverage; and
- Conduct a 19-point car inspection.

As this is a relatively new market, the CPUC's decision anticipated the need to consider how its regulations are working and if any adjustments or additions are needed to promote public safety. In 2014, the CPUC will open the second phase of its proceeding to update not only TNC rules, but also rules for limousines and other charter party carriers under CPUC jurisdiction.

RAIL TRANSIT EXPANDS TO MEET GROWING RIDERSHIP

In 2013, the CPUC approved multiple transit systems sponsored expansion projects, now in various stages of design or construction, which will take passengers to places they actually want and need to go. Popular new



routes will benefit commuters, shoppers, tourists, and the local economies. New stations and tracks will improve intersections and traffic patterns. New lines will allow one continuous trip between Downtown L.A. and Santa Monica; Claremont and Long Beach; and Mid-City to L.A. International Airport. Northern California projects include BART extensions to San Jose and East Contra Costa, the Oakland Airport People Mover, and the San Francisco Central Subway.

The CPUC requires that Rail Transit Agencies perform safety and security certification of new transit projects and extensions, and submit those certification plans to the CPUC for review and approval. Rail Transit Agencies must submit reports verifying compliance to the Safety Certification Plan at the completion of system construction and testing.



OPERATION LIFESAVER

CPUC rail safety inspectors and support staff volunteer for Operation Lifesaver, a federally funded activity that presents to schools, community organizations, driver's education classes, bus driving workshops, trucking organizations, and the general public at civic events with the goal of ending collisions and the resulting fatalities and injuries at highway-rail grade crossings and on-railroad rights of way.



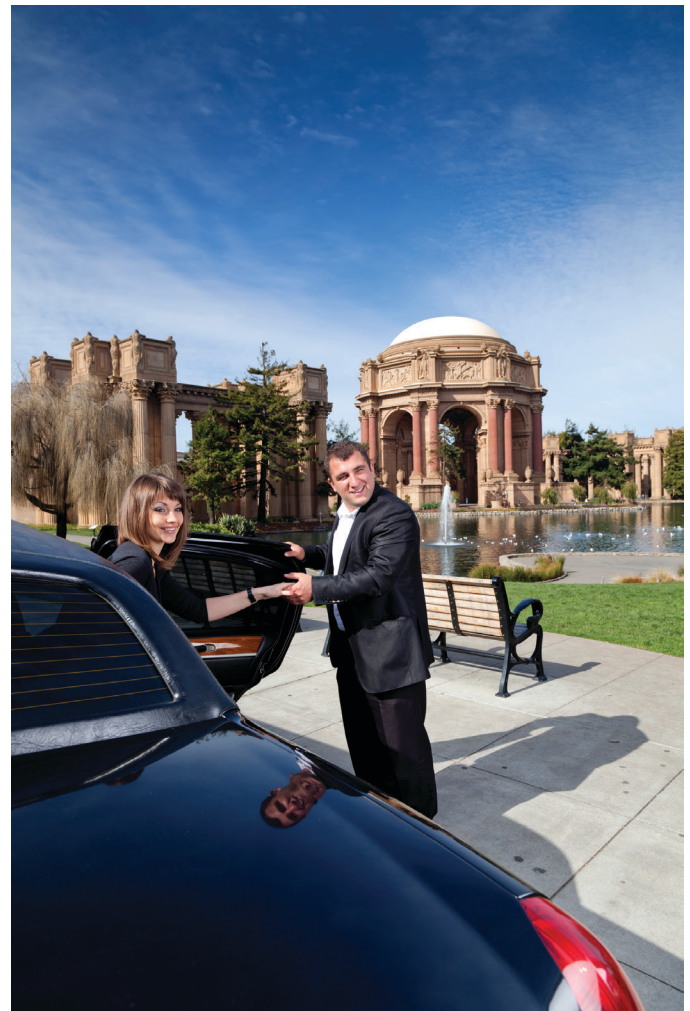
CPUC Rail Safety Inspector delivers an Operation Lifesaver presentation at El Centro School

Presentations and participated in 13 special events, including educational booths, enforcement activities, and safety fairs, reaching a total of 8,960 people. Presentations target areas where new train traffic will be introduced in the near future to raise awareness that

formerly unused tracks will become active with train traffic again.

ELECTRONIC WAYBILLS FOR CHARTER-PARTY CARRIERS

The CPUC licenses household goods carriers (movers) and for-hire passenger carriers, and registers private passenger carriers. Each class of carrier must maintain evidence of one or more types of insurance coverage on file with the CPUC. In 2013, the CPUC required that information relating to automobile liability, cargo, and workers' compensation insurance policies for motor carriers of passengers and household goods be filed in electronic format. This change significantly reduces processing time and provides more accurate and timely service or the carriers.





2014 WORK-PLAN

Pursuant to Public Utilities Code 321.6, the CPUC must develop, publish, and annually update an annual Work-Plan that describes scheduled ratemaking proceedings and other decisions that may be considered by the CPUC during the calendar year. In 2014, the CPUC will deal with at least 380 formal proceedings. These proceedings range from individual complaints to utility applications, CPUC-initiated investigations, and CPUC-initiated Rulemakings. A full list of all proceedings is attached as Appendix B to this report. Additionally, pursuant to Public Utilities Code 321.6 the CPUC also files a separate report titled, "Timely Resolutions of Proceedings and Commissioner Presence at Hearings". In this report the CPUC lists every decision that was issued in 2013.

In 2014, some of the cases that may be of interest to the legislature and the public at large are highlighted below.

A. HIGHLIGHTS OF ENERGY PROCEEDINGS FOR 2014

1. Electric Rate Reform and Traditional

Ratemaking: The CPUC will pursue traditional ratemaking cases to ensure the reasonableness of rates while at the same examining reform of residential electric rate structures, as allowed by Assembly Bill 327 (2013). This recent legislation lifts certain restrictions on residential rate design while protecting certain classes of customers. In Rulemaking 12-06-013, the CPUC is conducting a comprehensive examination of electric utility residential rate design, including the tier structure in effect for residential customers, and potential pathways for a transition to time varying rates. Also in 2014, the CPUC, pursuant to its General Rate Case Plan for reviewing electric rates, will examine the cost of service and the reasonableness of rates for both PG&E (A.12-11-009) and Southern California Edison (A.13-11-003), the two biggest electric utilities in California. The CPUC will also review PG&E's Application to revise gas transmission and storage rates (A.13-12-012). These proceedings, which are core to the CPUC's statutory responsibility, will require a commitment of immense resources throughout the entire agency.

2. Ensuring Adequate Power Supply throughout California, Including Power Reliability in Light of the Retirement of the San Onofre Nuclear Generating Station: The CPUC will continue to work on two Rulemakings to ensure the

adequacy of power supplies in California, including ensuring reliability in Southern California after the shutdown of the San Onofre Nuclear Generating Station. The CPUC's Resource Adequacy proceeding (R.11-10-023) will ensure local reliability statewide on a one-year forward basis, including flexible capacity needs. The current Long-Term Procurement Plan proceeding (R.12-03-014) will ensure adequate resources, including clean resources, are procured to ensure sufficient capacity in Southern California through 2022. In addition, a new Long-Term Procurement Plan proceeding (R.13-12-010) will examine long-term reliability needs statewide through 2024. Finally, the CPUC will initiate a Rulemaking to consider implementation of a conceptual agreement between the CPUC, California Energy Commission, and California Independent System Operator, which was discussed and ratified by the CPUC in December 2013. This Rulemaking will consider a) Two and three year resource adequacy requirements, and b) Structure of a future Independent System Operator power market for residual electric demand.

3. Investigation of the San Onofre Nuclear Generating Station (I.12-10-013 and consolidated cases): The CPUC is reviewing Southern California Edison's operational response and costs following the initial shutdown of the San Onofre Nuclear Generating Station and determining whether to remove the San Onofre Nuclear Generating Station from rate base.

4. Improving Safety of California Gas Operations: The CPUC will continue its work on several cases involving natural gas pipeline safety, such as Rulemaking 11-02-019 to establish new safety and reliability regulations for gas transmission and distribution pipelines, and several investigations, including a major investigation into PG&E's pipeline rupture in San Bruno (I.12-01-007) and related investigations of PG&E's practices concerning the operations of its natural gas transmission system in locations with higher population density (I.11-11-009) and PG&E's gas transmission record-keeping (I.11-02-016).

5. Preparing California Energy Infrastructure to Meet Electric Vehicle Demands (R.13-11-007): This Rulemaking seeks to develop policies that facilitate the use of electric vehicles in California

in ways that continue to ensure the reliability of electric service and the California grid.

6. Meeting California's Target for Renewable Energy Generation (the Renewables Portfolio Standard (RPS) Rulemaking (R.11-05-005):

Achieving a renewable power portfolio that totals 33 percent of total procured energy by 2020 has raised numerous policy issues and resulted in new legislation that requires regulatory implementation. In 2014, the CPUC plans to develop compliance and enforcement rules to comply with statutory requirements. In addition, new statutory requirements that seek to limit expenditures on the procurement of renewable energy (Senate Bill 2(1x)) also require the development of rules to ensure that renewable energy does not have a "disproportionate rate impact." This will require mechanisms for tracking expenditure on renewable energy and modeling their impacts on rates. Senate Bill



1122 also requires the development of a feed-in tariff for small scale bio-energy producers of electricity.

7. Integrating California Greenhouse Gas reduction program into utility operations and rates (R.11-03-012 and related cases):

The CPUC will adopt the necessary formulas and marketing plans to implement the return of greenhouse gas revenues to ratepayers arising from the auction of greenhouse gas allowances by the utilities (between \$500 million and \$1 billion for 2013-2014 for electric customers). In addition, the CPUC will adopt policies giving utilities authority to sell Low Carbon Fuel Standard credits and policies to distribute revenues from the sale of those credits to utility customers. The CPUC will also need to adopt similar policies and regulations for natural gas fuels in anticipation of natural gas coming under the Cap and Trade regulation in 2015.

8. Facilitating Deployment and Interconnection of Rooftop Solar and Other Distributed Generation Technologies (R.12-11-005):

In 2006, the CPUC created the California Solar Initiative to encourage the generation of power from rooftop solar systems. The California Solar Initiative was enhanced and codified by legislation later that year (Senate Bill 1). The CPUC's Self-Generation

Incentive Program (SGIP) has been in place since 1998 to provide customer incentives for Distributed Generation from technologies other than solar. Customers who participate in California Solar Initiative and SGIP benefit from "Net Energy Metering" tariffs that allow credits for the customer's monthly bill for the power produced by their solar or Distributed Generation system. The CPUC's current Rulemaking is conducting an ongoing review and evaluation of these programs and developing new policies to implement Assembly Bill 327 (Perea, 2013), and its provisions that require the CPUC to develop a new standard contract or tariff, which may include Net Energy Metering, by December 2015.

9. Making Demand Response and Conservation a Source of Power Capacity in California (R.13-09-011):

The CPUC will pursue a Rulemaking to determine whether and how to bifurcate current utility-administered, ratepayer-funded demand response programs into demand-side and supply-side resources. If feasible, conservation-based demand response programs will be able to compete with generation facilities to provide capacity to the energy market, thereby meeting the state's long-term clean energy goals while maintaining system and local reliability.

10. Improving the Efficiency of Energy Use in California and Evaluating the Effectiveness of Current Energy Efficiency Programs (R.13-11-005):

With the initiation of this Rulemaking in November 2013, the CPUC began considering a significant redesign of ratepayer-funded energy efficiency programs away from a triennial planning and budgeting framework and toward "rolling portfolios" of longer-term measures. In 2014, the CPUC will work to ensure the transition of the current structure through 2015, and the CPUC expects to consider the redesigned rolling portfolio framework in Fall 2014.

B. HIGHLIGHTS OF COMMUNICATIONS PROCEEDINGS FOR 2014

1. Expand and Strengthen the California Advanced Services Fund (R.12-10-012):

Initially authorized in 2007, the California Advanced Services Fund (CASF) provides grants to bridge the digital divide in unserved and underserved areas in the state. The CPUC originally initiated the current Rulemaking in November 2012 to revise the eligibility requirements for participation and to permit an entity which is not a "telephone corporation," as defined under the Public Utilities Code, [1] to apply for CASF infrastructure grants and loans. With the passage of Senate Bill 740 (Padilla) in 2013, this expansion of the project, along with new funding and goals, will be

subject to CPUC action in 2014. Further expansion of the CASF will continue through 2014 as the CPUC also implements Assembly Bill 1299 (Bradford) to expand CASF eligibility to publicly supported housing communities.

- 2. Comprehensive Review of the California Teleconnect Fund (R.13-01-010):** The California Teleconnect Fund provides a 50 percent discount on telephone and Internet services to eligible anchor institutions that play a pivotal role in our economy and society. These include schools, libraries, government and hospital district-owned healthcare facilities, community colleges, nonprofit community-based organizations, and the California Telehealth Network. There are currently more than 9,000 participating entities, including almost 6,000 community-based organizations. The California Teleconnect Fund is a major element of the CPUC's Universal Service Program. In fiscal year 2013-14, the California Teleconnect Fund's \$92.4 million budget represents more than 16 percent of California's Universal Service budget. The California Teleconnect Fund is the second-largest



Universal Service subsidy program, after LifeLine.

On January 24, 2013, the CPUC voted to open a Rulemaking to conduct a comprehensive examination of the California Teleconnect Fund. In the proceeding, the CPUC will examine whether the California Teleconnect Fund fulfills its purpose and whether the California Teleconnect Fund's current structure and administrative processes are adequate to further program goals. Phases 1 and 2 of the proceeding are expected to conclude by Summer 2014.

- 3. Establishing Procedures for Implementing the Franchise Renewal Provisions of the Digital Infrastructure and Video Competition Act of 2006 (DIVCA) (R.13-05-007):** To promote video service competition in California, the Legislature created a new state video franchising process. In so doing, the Legislature found that increasing competition for video and broadband services is a matter of statewide concern. This Rulemaking is to amend General Order 169 and to establish procedures for implementing the franchise renewal provisions.
- 4. Complaint of The Utility Reform Network regarding Basic Service Rates of AT&T (C.13-12-005):** In addition to applications filed by utilities and

Rulemakings and Investigations initiated by the CPUC itself, there is also a process where anyone can file a formal complaint alleging that a utility has violated the Public Utilities Code or failed to adhere to a CPUC decision or regulation. In this case, The Utility Reform Network (TURN) filed this complaint because it feels that there have been dramatic increases to the rates for AT&T's residential flat and measured rate basic exchange service since the CPUC began relying on market forces to constrain rates.

- 5. Ensuring Compliance with Service Quality Standards and to Re-evaluate the Existing Service Quality Measures and Standards (R.11-12-001):** The CPUC initiated this Rulemaking in December 2011 to review telecommunications carriers' performance in meeting General Order 133-C service quality performance standards and to assess whether the existing General Order 133-C service quality standards and measures meet the goals of the CPUC, are relevant to the current regulatory environment and market, and whether there is a need to establish a penalty mechanism for substandard service quality performance. In March 2013, the CPUC authorized a \$1 million study of AT&T and Verizon's telecommunications network infrastructure, facilities, policies, and practices as a "foundational" component of this proceeding. Following review and discussion of this report, a Proposed Decision is expected in 2014.

C. HIGHLIGHTS OF WATER PROCEEDINGS FOR 2014

- 1. Monitoring and responding to water conditions:** On January 17, 2014, Governor Brown declared a Drought State of Emergency in California. Along with other federal, state, and local agencies, the CPUC will be diligent in doing everything it can to help residents and businesses weather the challenges of drought. Water utilities under CPUC jurisdiction serve only 16 percent of the state's residents, and the water supply vulnerability of those utilities varies widely, yet the CPUC reviews and approves both voluntary and mandatory water conservation programs for all private water utilities and will keep in close contact to ensure that utilities, especially small private systems, are adequately prepared.
- 2. Traditional Ratemaking:** The CPUC will pursue traditional ratemaking cases to ensure the reasonableness of rates. For many smaller water utilities or for minor rate adjustments, ratemaking can be accomplished through the Advice Letter process. The CPUC's Division of Water and Audits processes more than 200 such requests annually. For larger utilities and more significant rate increases, the CPUC regulates rates through adjudicated proceedings and CPUC decisions. For instance, in 2014, the CPUC is expected to decide California Water Service Company's

Application (A.12-07-007) that requests: 1) Authorization to increase rates for water service in 23 districts by \$92,765,000 or 19.4 percent in test year 2014; 2) Authorization to increase rates on January 1, 2015, by \$17,240,000 or 3 percent and on January 1, 2016, by \$16,950,000 or 2.9 percent in accordance with the Rate Case Plan; and 3) Adopting other related rulings and relief necessary to implement the CPUC's ratemaking policies.

3. **Considering the Possibility of a Desalination Plant in the Monterey Area (A.12-04-019):** In 2014, the CPUC will continue its consideration of California-American Water Company's application for approval of the Monterey Peninsula Water Supply Project. The proposed Monterey Peninsula Water Supply Project includes a request for authorization to construct a water desalination facility of up to 9.6 million gallons per day capacity. Phase 1 of the proceeding will consider the Environmental Impact Report and Certificate of Public Convenience and Necessity for the desalination facility.
4. **Examining New Pricing Mechanisms (R.11-11-008):** In 2014, The CPUC will continue its consideration of policies to help achieve the objective of the CPUC's Water Action Plan to set rates that balance investment, conservation, and affordability. The CPUC is considering whether to establish new guidelines for uniform or system-wide rates across water districts, or some variation of a high-cost fund within the multi-district water utilities as a means to advance the CPUC's objective. Since the proceeding was opened in 2012 the CPUC has conducted two workshops and published a staff white paper to examine these issues. A decision is expected in 2014.



5. **Calculating efficiency at the Energy-Water Nexus (R.13-12-011):** At its December 19, 2013, Voting Meeting, the CPUC opened a Rulemaking in an area of intensive research in recent years: the "energy-water nexus". Though yet to be fully scoped, with this proceeding the CPUC intends to take a focused look at how it can and should calculate the cost-efficiency of projects that achieve both energy savings and water conservation, including the energy savings from reduced water production, transportation, use, and treatment, and the water system benefits of energy conservation. This proceeding will also examine how the costs of such

projects should be allocated between energy and water utilities, and how policy and organizational barriers to cooperation between energy and water utilities and regulatory bodies can be overcome.

6. **Water Recycling Rulemaking (R.10-11-014):** The CPUC is developing a comprehensive policy framework to increase and promote the development, production, and use of recycled water for approved non-potable and potable reuse by water utilities with more than 2,000 service connections. The CPUC is evaluating processes to streamline the review of select categories of recycled water projects and is considering establishing minimum criteria for reviewing recycled water project proposals. The CPUC anticipates deciding these matters by Spring 2014.

D. HIGHLIGHTS OF TRANSPORTATION PROCEEDINGS IN 2014

1. **Implementing Legislation to Develop a High-Speed Rail System (R.13-03-009):** The CPUC initiated a Rulemaking into whether to adopt, amend, or repeal regulations governing safety standards for the use of 25 kilovolt electric lines to power high-speed trains. In doing so, the CPUC carries out the intention of the Legislature, expressed in legislation, creating the authority and financing the initial stages of construction to develop a high-speed rail system providing an alternative to air and automobile transportation between population centers in northern and southern California. The current intercity passenger rail services operated by Amtrak in California are based on diesel propulsion at speeds not exceeding 90 miles per hour. By contrast, the high-speed trains to be constructed by the Authority are designed to travel at speeds of up to 220 miles per hour. Because of the speed of the trains and the high voltage necessary to power them, high-speed trains present safety challenges different from those posed by both conventional and light rail systems. In this Rulemaking the CPUC will determine whether existing electrical safety standards developed in connection with conventional and light rail systems are adequate for the operation of high-speed rail systems and, if not, whether the CPUC can render them adequate by amending them or whether it must adopt a new General Order specifically dealing with the safety challenges presented by electrified high-speed rail systems.
2. **Considering Roadway Worker Protections by Transit Agencies in California (R.09-01-020):** The CPUC will continue its work to determine 1) Whether current protections for rail transit agency roadway workers are adequate; 2) Whether the California should implement a General Order implementing new rules for rail transit agency protection of

maintenance-of-way, track, signal, operating employees, and others engaged in roadway work; and 3) If new protections are needed, a description of the protections to be required by rail transit agencies and included in the General Order.

E. CROSS-CUTTING PROCEEDINGS

1. Risk-Based Decision-Making Framework

(R.13-11-006): The CPUC opened this Rulemaking in November 2013 to determine whether and how the CPUC should formalize rules to ensure the effective use of a risk-based decision-making framework to evaluate safety and reliability improvements presented in General Rate Case Applications, develop necessary performance metrics and evaluation tools, and modify the Rate Case Plan documentation requirements accordingly. It is anticipated that this Rulemaking will affect not just General Rate Cases, but will inform other Rulemakings and potentially every decision before the CPUC. In 2014, the CPUC Safety & Enforcement Division, Policy and Planning Division, Energy Division, and Administrative Law Judge Division will collectively work on developing a risk framework, beginning with workshops in March 2014.

F. OTHER HIGHLIGHTS IN 2014

In addition to working on active proceedings such as those highlighted above, Industry Division staff also work on advisory support to the Commissioners and the Administrative Law Judge Division.

- 1. Reports to the Legislature:** CPUC staff will also issue a number of reports pursuant to legislation. Among the many legislatively required reports are the Renewables Portfolio Standard quarterly report, the annual report on Trusts and Entities created by the CPUC, the Electric & Gas Utility Cost Report, the Smart Grid Report, The Padilla Report (i.e., the costs of all electricity procurement contracts for eligible renewable resources, including unbundled renewable energy credits, and all costs for utility-owned generation approved by the CPUC), the Solar



Initiative Program Assessment Report, and the Energy Efficiency Evaluation report. In addition to the energy reports, CPUC staff also issues broadband reports, supplier diversity reports, the Water Action Plan, and limited English proficiency reports.

- 2. Audits:** In 2014, the CPUC's financial audit branch will complete 36 new audits ranging from auditing energy efficiency programs to the audit of the California Teleconnect Fund. And, the CPUC staff will complete at least three water quality reports.

HOW TO PARTICIPATE

Members of the public can gain access to the CPUC's ratemaking process and obtain information regarding matter before the CPUC through the Public Advisor's Office. The CPUC's Public Advisor's Office was created by the California Legislature to **assist people who desire to participate, either informally or formally, in the CPUC's processes and proceedings.** The Public Advisor's Office provides education and assistance to consumers seeking to understand the CPUC's programs and to get information about the issues before the CPUC. As explained in more detail in this report, the Public Advisor's Office is available to assist the public and other stakeholders in explaining how to get involved in formal proceedings before the CPUC. The Public Advisor is Karen Miller. Her email is Karen.Miller@cpuc.ca.gov and the contact number is 866-849-8390 or 415-703-2074. More information is available at <http://www.cpuc.ca.gov/PUC/aboutus/Divisions/CSID/Public+Advisor/>.

NEXT STEPS

The CPUC's goal continues to be to ensure that Californians receive safe, reliable utility service and infrastructure at reasonable rates, with a commitment to environmental enhancement and a healthy California economy.

California customers on average pay about \$92 for their monthly electricity bills and \$40 for their gas bills.¹ According to the Edison Electric Institute, California's average monthly bill is the 15th lowest bill in the country. Further, according to the American Gas Association's recent study, the average monthly bill for the country as a whole is about \$60 while California is at \$40.

The CPUC regulated water utilities on average charge water customers a monthly charge of \$68. Broadband has reached over 95 percent of California residents.

The CPUC along with the leadership of legislation continues to find itself a leader in utility policy. However, the CPUC will continue to make improvements on its administrative processes and continue to embed safety of utility infrastructure into the utility corporate culture and that of the CPUC.

¹ Average monthly electricity data is Edison Electric Institute's (EII) most recent study, which reports 2012 data. The monthly natural gas data is American Gas Association's (AGA) most recent study, which reports 2011 data.



APPENDIX A

SUMMARY OF DECISIONS, INVESTIGATIONS, AND RULEMAKINGS ISSUED IN 2013

MONTH	DECISIONS	INVESTIGATIONS	RULEMAKINGS	TOTALS
JANUARY	41	0	1	42
FEBRUARY	38	0	2	40
MARCH	33	1	2	36
APRIL	37	1	0	38
MAY	39	0	1	40
JUNE	24	1	0	25
JULY	54	0	0	54
AUGUST	26	0	0	26
SEPTEMBER	50	1	1	52
OCTOBER	76	1	0	77
NOVEMBER	30	0	3	33
DECEMBER	60	0	2	62
TOTALS	508	5	12	525



APPENDIX B

LIST OF ACTIVE AND REOPENED PROCEEDINGS

NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY	
COMMUNICATIONS					
1	A0712026	20-DEC-2007	CALAVERAS TELEPHONE COMPANY ET AL. - RATEMAKING DETERMINATION REGARDING DISSOLUTION OF RURAL TELEPHONE BANK	REOPENED	Communications
2	A0903002	02-MAR-2009	DUCOR TELEPHONE CO. - FOR REHEARING OF RES. T-17157	ACTIVE	Communications
3	A0903006	02-MAR-2009	CALAVERAS TELEPHONE CO. - FOR REHEARING OF RES T-17184	ACTIVE	Communications
4	A0903007	03-MAR-2009	NEXTG NETWORKS OF CALIFORNIA, INC. - FOR AUTHORITY TO ENGAGE IN GROUND-DISTURBING OUTSIDE PLANT CONSTRUCTION	REOPENED	Communications
5	A0903008	05-MAR-2009	THE PONDEROSA TELEPHONE CO. - FOR REHEARING OF RES. T-17132	ACTIVE	Communications
6	A0906016	16-JUN-2009	CALAVERAS TELEPHONE CO, CAL-ORE TELEPHONE CO. ET AL. - FOR MODIFICATION OF RES T-17002	ACTIVE	Communications
7	A1002009	01-FEB-2010	CHANNEL ISLANDS TELEPHONE CO. - TO EXPAND ITS EXISTING CPCN	ACTIVE	Communications
8	A1011004	03-NOV-2010	STI PREPARD, LLC AND VIVARO CORP. - FOR AUTHORITY TO TRANSFER CONTROL	ACTIVE	Communications
9	A1104009	04-APR-2011	CUSTOM TELECONNECT, INC. - FOR A CPNC TO OPERATE AS A PROVIDER OF RESOLD LOCAL EXCHANGE SERVICE	ACTIVE	Communications
10	A1106012	10-JUN-2011	VIRGIN MOBILE USA - FOR REHEARING OF RESOLUTION T-17284	ACTIVE	Communications
11	A1109015	21-SEP-2011	COX CALIFORNIA TELCOM, LLC - TO DISCONTINUE SERVICE	ACTIVE	Communications
12	A1112011	28-DEC-2011	KERMAN TELEPHONE CO. - TO REVIEW INTRASTATE RATES AND CHARGES AND RATE OF RETURN FOR TELEPHONE SERVICE FURNISHED WITHIN CALIFORNIA	ACTIVE	Communications
13	A1207021	25-JUL-2012	CEBRIDGE TELECOM LLC, CEQUEL COMMUNICATIONS HOLDINGS LLC, NESPRESSO ACQUISITION CORP. - EXPEDITED APPROVAL OF INDIRECT TRANSFER OF CONTROL	ACTIVE	Communications
14	A1210008	15-OCT-2012	MIRACLE COMMUNICATIONS, INC. - NDIEC REGISTRATION	ACTIVE	Communications
15	A1211025	27-NOV-2012	VIASAT, INC. - NDIEC REGISTRATION	ACTIVE	Communications

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
16	A1301007	08-JAN-2013	GOLDEN BEAR BROADBAND LLC - FOR A CPCN TO PROVIDE LIMITED FACILITIES-BASED AND RESOLD COMPETITIVE LOCAL EXCHANGE SERVICES	ACTIVE	Communications
17	A1301009	23-JAN-2013	METRO STAR NETWORKS, INC - NDIEC REGISTRATION	ACTIVE	Communications
18	A1301014	31-JAN-2013	NOBELTEL, LLC - NDIEC REGISTRATION	ACTIVE	Communications
19	A1302007	05-FEB-2013	CROWN CASTLE NG WEST, INC. - FOR AUTHORITY TO CONSTRUCT AND DEVIATE FROM PUBLIC UTILITIES CODE SECTION 320 FOR SAN MATEO COUNTY DAS FACILITIES	ACTIVE	Communications
20	A1302027	26-FEB-2013	NMG TELECOM LLC - FOR A CPCN TO PROVIDE RESOLD AND LIMITED FACILITIES BASED LOCAL EXCHANGE AND INTEREXCHANGE TELECOMMUNICATIONS SERVICES	ACTIVE	Communications
21	A1303006	01-MAR-2013	LOGICAL TELECOM, LP - FOR A CPCN TO PROVIDE INTEREXCHANGE CARRIER TELEPHONE SERVICES PURSUANT TO THE PROVISIONS OF PUBLIC UTILITIES CODE SECTION 1001	ACTIVE	Communications
22	A1303010	04-MAR-2013	BRIGHT FIBER NETWORK, LLC - CPCN TO PROVIDE BROADBAND SERVICES	ACTIVE	Communications
23	A1303016	19-MAR-2013	CYBERNET COMMUNICATIONS, INC. - TO EXPAND ITS CPCN TO PROVIDE FULL FACILITIES-BASED COMPETITIVE LOCAL EXCHANGE SERVICE	ACTIVE	Communications
24	A1303019	26-MAR-2013	ATC OUTDOOR DAS, LLC - FOR A CPCN TO PROVIDE LIMITED FACILITIES-BASED, FULL FACILITIES-BASED AND RESOLD SERVICES	ACTIVE	Communications
25	A1304018	04-APR-2013	GLOBAL TELCO GROUP INC - FOR A CPCN TO PROVIDE RESOLD AND LIMITED FACILITIES-BASED INTEREXCHANGE TELECOMMUNICATIONS SERVICES	ACTIVE	Communications
26	A1305014	31-MAY-2013	TELCO CONNECTION, LLC - NDIEC REGISTRATION	ACTIVE	Communications
27	A1307019	23-JUL-2013	SURFNET COMMUNICATIONS, INC. - NDIEC REGISTRATION	ACTIVE	Communications
28	A1309018	23-SEP-2013	DIAL WORLD COMMUNICATIONS, LLC - TO OBTAIN A CPCN AS A TELEPHONE CORPORATION	ACTIVE	Communications
29	A1309019	30-SEP-2013	TRANSBEAM, INC. - FOR A CPCN TO PROVIDE LOCAL EXCHANGE AND INTEREXCHANGE TELECOMMUNICATIONS SERVICES	ACTIVE	Communications
30	A1310010	14-OCT-2013	CALLFIRE INC. - FOR AUTHORITY TO PROVIDE LIMITED-FACILITIES BASED AND RESOLD COMPETITIVE LOCAL EXCHANGE AND INTEREXCHANGE TELECOMMUNICATIONS SERVICES	ACTIVE	Communications
31	A1310019	25-OCT-2013	TIME WARNER CABLE INFORMATION SERVICES (CALIFORNIA) - FOR DESIGNATION AS AN ELIGIBLE TELECOMMUNICATIONS CARRIER	ACTIVE	Communications
32	A1310022	22-OCT-2013	VODAFONE GLOBAL ENTERPRISE INC. - NDIEC REGISTRATION	ACTIVE	Communications

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
33	A1311004	04-NOV-2013	CHANNEL ISLANDS TELEPHONE CO. - FOR REHEARING OF PORTION OF RES T-17402 THAT RESULTED IN THE DENIAL OF THE RURAL TELECOMM. INFRASTRUCTURE GRANT PROGRAM REQUEST	ACTIVE	Communications
34	A1311014	06-NOV-2013	ANGEL AMERICAS, LLC - NDIEC REGISTRATION	ACTIVE	Communications
35	A1312003	04-DEC-2013	1 800 COLLECT, INC - FOR A CPCN TO PROVIDE RESOLD COMPETITIVE LOCAL EXCHANGE	ACTIVE	Communications
36	A1312004	02-DEC-2013	WORLDVOX CORPORATION - TO OBTAIN A CPCN AS A TELEPHONE CORPORATION	ACTIVE	Communications
37	A1312016	24-DEC-2013	SMART CARD SERVICES, INC. - NDIEC REGISTRATION	ACTIVE	Communications
38	A1312017	26-DEC-2013	TELECOM NORTH AMERICA INC. - NDIEC REGISTRATION	ACTIVE	Communications
39	A1401009	14-JAN-2014	IPC NETWORK SERVICES, INC. - NDIEC REGISTRATION	ACTIVE	Communications
40	A1401012	22-JAN-2014	SAGE COMMUNICATIONS, INC. - FOR A CPCN TO PROVIDE RESOLD COMPETITIVE LOCAL EXCHANGE AND INTEREXCHANGE SERVICE	ACTIVE	Communications
41	A8705049	26-MAY-1987	OMNIPHNE, INC - FOR REHEARING OF RES T-12015	REOPENED	Communications
42	A8804004	01-APR-1988	PACIFIC BELL - TO ESTABLISH AN INFORMATION CALLING SERVICE	REOPENED	Communications
43	C0709010	20-SEP-2007	PAC-WEST TELECOMM, INC. VS. COMCAST PHONE OF CALIFORNIA, LLC. - DISPUTE ON CHARGES FOR TERMINATION SERVICES AND FINDINGS OF VIOLATION OF PU CODE SECTION 702	REOPENED	Communications
44	C0804037	23-APR-2008	CITY OF HUNTINGTON BEACH VS NEXTG NETWORKS OF CALIFORNIA, INC. - FOR AN ORDER TO ENFORCE CEQA AND TO ORDER NEXTG TO CEASE AND DESIST CLAIMS OF AUTHORITY TO INSTALL NEW POLES, ANTENNA FACILITIES, ETC.	REOPENED	Communications
45	C0808006	01-AUG-2008	QWEST COMMUNICATIONS CORP. VS MCIMETRO ACCESS TRANSMISSION SERVICES, LLC. - DISPUTE ON VIOLATIONS OF CALIFORNIA LAW	REOPENED	Communications
46	C0912014	09-DEC-2009	PAC-WEST TELECOMM, INC. VS SPRINT SPECTRUM, L.P. ET AL DBA SPRINT PCS - COMPLAINT REGARDING RATES FOR TERMINATION OF SWITCHED ACCESS AND LOCAL TRAFFIC	REOPENED	Communications
47	C1001005	19-JAN-2010	CITY OF SANTA BARBARA VS. VERIZON CALIFORNIA, INC. - DISPUTE ON PAYMENT OF PRO-RATA SHARE OF THE INSTALLATION OF A NO MORE THAN 100 FEET OF UNDERGROUND SERVICE CONNECTIONS FACILITY	ACTIVE	Communications
48	C1001019	25-JAN-2010	PAC-WEST TELECOMM, INC. VS CRICKET COMMUNICATIONS, INC. - DISPUTE ON TERMINATION OF TRAFFIC FROM CRICKET DUE TO APPROVED TARIFFED RATES FOR TEMINATION OF SWITCHED ACCESS AND LOCAL TRAFFIC	REOPENED	Communications

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
49	C1001020	25-JAN-2010	PAC-WEST TELECOMM, INC. VS OMNIPOINT COMMUNICATIONS, INC. ET AL. - DISPUTE ON TERMINATION OF TRAFFIC FROM T-MOBILE DUE TO APPROVED TARIFFED RATES FOR TERMINATION OF SWITCHED ACCESS AND LOCAL TRAFFIC	REOPENED	Communications
50	C1001021	25-JAN-2010	PAC-WEST TELECOMM, INC. VS VERIZON WIRELESS, LLC ET AL. - DISPUTE ON TERMINATION OF TRAFFIC FROM VERIZON CARRIERS DUE TO APPROVED TARIFFED RATES FOR TERMINATION OF SWITCHED ACCESS AND LOCAL TRAFFIC	REOPENED	Communications
51	C1012001	03-DEC-2010	VAYA TELECOM, INC. VS PAC BELL DBA AT&T CALIFORNIA - DISPUTE REGARDING APPLICABLE LAWS UNDER ICA VOIP TRAFFIC	ACTIVE	Communications
52	C1102015	17-FEB-2011	PAC BELL VS VAYA TELECOM, INC. - FOR A FINDING ON A BREACH OF INTERCONNECTION AGREEMENT AND RELATED RELIEF	ACTIVE	Communications
53	C1209006	06-SEP-2012	VAYA TELECOM, INC. VS NORTH COUNTY COMMUNICATIONS CORP. - FOR RELIEF FROM SWITCHED ACCESS CHARGES AND RELATED RELIEF	ACTIVE	Communications
54	C1212018	21-DEC-2012	XO COMMUNICATIONS SERVICES, LLC VS VAYA TELECOM, INC. DOES 1-100 - FOR AN ORDER THAT VAYA PAY ALL INVOICES SENT BY XO, ATTORNEYS FEES AND RELATED RELIEF	ACTIVE	Communications
55	C1302005	07-FEB-2013	CITY OF SAN JACINTO VS. VERIZON CALIFORNIA, INC. - FOR RELIEF FROM THE FAILURE TO PROCEED ON AN UNDERGROUNDING PROJECT PURSUANT TO TARIFF RULE 40.A.1	ACTIVE	Communications
56	C1304008	11-APR-2013	O1 COMMUNICATIONS, INC. VS VERIZON COMMUNICATIONS, INC. ET AL - TO IMMEDIATELY PAY ALL AMOUNT INVOICED BY COMPLAINANT TO DEFENDANTS INCLUDING LATE PAYMENT CHARGES, INTEREST AND RELATED RELIEF	ACTIVE	Communications
57	C1304009	12-APR-2013	INTERSTATE BATTERY OF SAN DIEGO, INC. VS. CONVERGENCE SYSTEMS, INC. - FOR REFUND OF MONEY AND TERMINATION OF CONTRACTS	ACTIVE	Communications
58	C1304013	22-APR-2013	(ECP) HAIGLER VS. CONSUMER CELLULAR INCORPORATED - FOR REFUND OF BANK CHARGES FOR INSUFFICIENT FUNDS CAUSED BY DEFENDANT'S FAILURE TO TIMELY PROCESS ORIGINAL BILL PAYMENT	ACTIVE	Communications
59	C1307003	11-JUL-2013	(ECP) RHODES VS PACIFIC BELL TELEPHONE COMPANY - FOR RELIEF FROM UNLAWFUL CHANGE IN TERMS AND PRICE	ACTIVE	Communications
60	C1307004	12-JUL-2013	(ECP) ROSENBERG VS PAC BELL - FOR RELIEF FROM FAILURE TO PROVIDE TELEPHONE SERVICE	ACTIVE	Communications
61	C1311008	20-NOV-2013	(ECP) DURKEE VS AT&T MOBILITY WIRELESS OPERATIONS HOLDING - FOR REPARATIONS ASSOCIATED WITH BILLING PROBLEMS	ACTIVE	Communications
62	C1311010	20-NOV-2013	(ECP) SMITH AND LOAIZA VS AT&T MOBILITY WIRELESS OPERATIONS HOLDINGS - FOR RELIEF FROM ERRONEOUS BILLING OF AN EARLY TERMINATION FEE	ACTIVE	Communications

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
63	C1312005	06-DEC-2013	TURN VS. PAC BELL - FOR RELIEF FROM UNREASONABLE BASIC EXCHANGE SERVICE RATES	ACTIVE	Communications
64	C1401014	08-JAN-2014	CREATIVE PROPERTY SERVICES VS. PAC BELL - DISPUTE OVER A REFUND	ACTIVE	Communications
65	C1401015	23-JAN-2014	(ECP) DAVIS MOTOR SERVICE VS. PAC BELL - FOR RELIEF FROM VIOLATIONS OF PRIVACY RIGHTS REGARDING BANK ACCOUNT INFORMATION	ACTIVE	Communications
66	C1401016	23-JAN-2014	(ECP) CHERNICK VS. AT&T MOBILITY WIRELESS OPERATIONS HOLDINGS, INC. - FOR RELIEF FROM OVERBILLING	ACTIVE	Communications
67	I1012010	16-DEC-2010	CPUC - OII INTO THE OPERATIONS, PRACTICES, AND CONDUCT OF TELSEVEN, LLC, CALLING 10 LLC DBA CALIFORNIA CALLING 10, AND PATRICK HINES	ACTIVE	Communications
68	I1105028	26-MAY-2011	CPUC - OII INTO THE OPERATIONS AND CONDUCT OF OSP COMMUNICATIONS, LLC. (OSP) AND WHETHER THE BILLING RESOURCE LLC AND THE BILLING DBA INTEGRETTEL SHOULD REFUND ALL MONIES COLLECTED ON BEHALF OF OSP	ACTIVE	Communications
69	I1106009	09-JUN-2011	CPUC - OII INTO THE PLANNED PURCHASE AND ACQUISITION BY AT&T INC. OF T-MOBILE USA, INC., AND ITS EFFECT ON CALIFORNIA RATEPAYERS AND THE CALIFORNIA ECONOMY	REOPENED	Communications
70	I1310003	03-OCT-2013	CPUC - OII INTO THE OPERATIONS, PRACTICES, AND CONDUCT OF COMCAST PHONE OF CALIFORNIA LLC AND ITS RELATED ENTITIES RE UNAUTHORIZED DISCLOSURE OF PUBLICATION OF COMCAST SUBSCRIBERS	ACTIVE	Communications
71	I8504047	17-APR-1985	CPUC - OII INTO 976 INFORMATION ACCESS SERVICE	REOPENED	Communications
72	P1312009	17-DEC-2013	AT&T MOBILITY WIRELESS OPERATIONS HOLDINGS, INC., ET AL. - PETITION TO ADOPT, AMEND, OR REPEAL A REGULATION PURSUANT TO PU CODE SECTION 1708.5	ACTIVE	Communications
73	R0306020	19-JUN-2003	CPUC - TELECOMMUNICATIONS - OIR TO ESTABLISH RULES GOVERNING THE TRANSFER OF CUSTOMERS	REOPENED	Communications
74	R0610006	05-OCT-2006	CPUC - TELECOMMUNICATION - OIR INTO THE APPLICATION OF THE CALIFORNIA ENVIRONMENTAL QUALITY ACT TO APPLICATIONS OF JURISDICTIONAL TELECOMMUNICATIONS UTILITIES TO OFFER SERVICE AND CONSTRUCT FACILITIES	REOPENED	Communications
75	R0906019	18-JUN-2009	CPUC - OIR REGARDING REVISIONS TO THE CALIFORNIA HIGH COST FUND B PROGRAM	ACTIVE	Communications
76	R1103013	24-MAR-2011	CPUC - OIR REGARDING REVISIONS TO THE CALIFORNIA UNIVERSAL TELEPHONE SERVICE (LIFELINE) PROGRAM	ACTIVE	Communications
77	R1111006	10-NOV-2011	CPUC - OIR TO REVISE THE CERTIFICATION PROCESS FOR TELEPHONE CORPORATIONS AND REGISTRATION PROCESS FOR WIRELESS CARRIERS	ACTIVE	Communications
78	R1111007	10-NOV-2011	CPUC - OIR INTO THE REVIEW OF THE CALIFORNIA HIGH COST FUND-A PROGRAM	ACTIVE	Communications

NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY	
79	R1112001	01-DEC-2011	CPUC - OIR TO EVALUATE TELECOMMUNICATIONS CORPORATIONS SERVICE QUALITY PERFORMANCE AND CONSIDER MODIFICATION TO SERVICE QUALITY RULES	ACTIVE	Communications
80	R1210012	25-OCT-2012	CPUC - OIR TO CONSIDER MODIFICATIONS TO THE CALIFORNIA ADVANCED SERVICES FUND	ACTIVE	Communications
81	R1301010	24-JAN-2013	CPUC - OIR TO CONDUCT A COMPREHENSIVE EXAMINATION OF THE CALIFORNIA TELECONNECT FUND	ACTIVE	Communications
82	R1303008	21-MAR-2013	CPUC - OIR TO ADD SPEECH GENERATING DEVICES TO THE DEAF AND DISABLED TELECOMMUNICATIONS PROGRAM	ACTIVE	Communications
83	R1305007	23-MAY-2013	CPUC - OIR FOR ADOPTION OF A GENERAL ORDER AND PROCEDURES TO IMPLEMENT THE FRANCHISE RENEWAL PROVISIONS OF THE DIVCA OF 2006	ACTIVE	Communications
ELECTRIC					
1	A0506028	16-JUN-2005	PG&E - TO INCREASE REVENUE REQUIREMENTS	REOPENED	Electric
2	A0701031	16-JAN-2007	EDISON - TO CONSTRUCT ELECTRICAL FACILITIES WITH VOLTAGES BETWEEN 50 KV AND 200 KV	REOPENED	Electric
3	A0704028	30-APR-2007	EDISON - TO CONSTRUCT ELECTRICAL FACILITIES WITH VOLTAGES BETWEEN 50KV AND 200KV - FOGARTY SUBSTATION PROJECT	REOPENED	Electric
4	A0706031	29-JUN-2007	EDISON - CPCN CONCERNING THE TEHACHAPI RENEWABLE TRANSMISSION PROJECT (SEGMENTS 4 THROUGH 11)	REOPENED	Electric
5	A0803015	27-MAR-2008	EDISON - TO IMPLEMENT AND RECOVER IN RATES THE COST OF ITS PROPOSED SOLAR PHOTOVOLTAIC PROGRAM	REOPENED	Electric
6	A0806001	02-JUN-2008	EDISON - FOR APPROVAL OF DEMAND RESPONSE PROGRAMS, GOALS AND BUDGETS FOR 2009 - 2011	REOPENED	Electric
7	A0806002	02-JUN-2008	SDG&E - FOR APPROVAL OF DEMAND RESPONSE PROGRAMS AND BUDGETS FOR YEARS 2009 THROUGH 2011	REOPENED	Electric
8	A0806003	02-JUN-2008	PG&E - FOR APPROVAL OF 2009 - 2011 DEMAND RESPONSE PROGRAMS AND BUDGETS	REOPENED	Electric
9	A0812023	22-DEC-2008	EDISON - FOR A PERMIT TO CONSTRUCT ELECTRICAL FACILITIES - PRESIDENTIAL SUBSTATION PROJECT	ACTIVE	Electric
10	A0904001	01-APR-2009	PG&E - FOR APPROVAL OF A POWER PURCHASE AGREEMENT WITH MARIPOSA ENERGY, LLC.	REOPENED	Electric
11	A0904006	03-APR-2009	DRA AND TURN - APPLICATION FOR REHEARING OF RES. E-4227A	REOPENED	Electric
12	A0909015	22-SEP-2009	EDISON - FOR APPROVAL OF TRANSACTIONS RELATED TO A RENEWABLES PORTFOLIO STANDARD REPLACEMENT AGREEMENT	REOPENED	Electric
13	A0909022	30-SEP-2009	EDISON - TO CONSTRUCT ELECTRICAL FACILITIES WITH VOLTAGES BETWEEN 50KV AND 200KV OR NEW OR UPGRADED SUBSTATIONS WITH HIGH SIDE VOLTAGES EXCEEDING 50 kV: ALBERHILL SYSTEM PROJECT	ACTIVE	Electric

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
14	A1002028	26-FEB-2010	PG&E - FOR APPROVAL OF ITS 2010 RATE DESIGN WINDOW PROPOSAL FOR 2-PART PEAK TIME REBATE AND RECOVERY OF INCREMENTAL EXPENDITURE REQUIRER FOR IMPLEMENTATION	ACTIVE	Electric
15	A1003014	22-MAR-2010	PG&E - TO REVISE ITS ELECTRIC MARGINAL COSTS, REVENUE ALLOCATION AND RATE DESIGN	REOPENED	Electric
16	A1004024	21-APR-2010	PG&E - FOR A PERMIT TO CONSTRUCT THE WINDSOR SUBSTATION PROJECT	ACTIVE	Electric
17	A1007009	06-JUL-2010	SDG&E - FOR APPROVAL OF ITS PROPOSALS FOR DYNAMIC PRICING AND RECOVERY OF INCREMENTAL EXPENDITURES REQUIRED FOR IMPLEMENTATION	REOPENED	Electric
18	A1008005	09-AUG-2010	PG&E - TO DEFER CONSIDERATION OF DEFAULT RESIDENTIAL TIME-VARIANT PRICING UNTIL ITS NEXT GRC PHASE 2 PROCEEDING	ACTIVE	Electric
19	A1008024	30-AUG-2010	CALIFORNIA PACIFIC ELECTRIC CO. - TO CONSTRUCT ELECTRICAL FACILITIES THE 625 AND 650 LINE UPGRADE PROJECT	ACTIVE	Electric
20	A1009012	20-SEP-2010	CALIFORNIANS FOR RENEWABLE ENERGY, INC. - TO MODIFY DECISION 06-07-027	REOPENED	Electric
21	A1012017	29-DEC-2010	EDISON - FOR A PERMIT TO CONSTRUCT ELECTRICAL FACILITIES WITH VOLTAGES BETWEEN 50kV AND 200 kV: FALCON RIDGE SUBSTATION PROJECT	ACTIVE	Electric
22	A1102011	15-FEB-2011	PG&E - FOR REVIEW OF ENTRIES TO THE ENERGY RESOURCE RECOVERY ACCOUNT AND RENEWABLES PORTFOLIO STANDARD COST MEMORANDUM ACCOUNT	ACTIVE	Electric
23	A1102012	14-FEB-2011	TURN AND CITY OF OXNARD - FOR REHEARING OF E-4392	ACTIVE	Electric
24	A1103001	01-MAR-2011	PG&E - FOR APPROVAL OF DEMAND RESPONSE PROGRAMS, PILOTS AND BUDGETS FOR YEARS 2012-2014	REOPENED	Electric
25	A1103003	01-MAR-2011	EDISON - FOR APPROVAL OF DEMAND RESPONSE PROGRAMS, ACTIVITIES AND BUDGETS FOR YEARS 2012-2014	REOPENED	Electric
26	A1103015	24-MAR-2011	UCAN - FOR MODIFICATION OF D07-04-043 SO AS TO NOT FORCE RESIDENTIAL CUSTOMERS TO USE SMART METERS	ACTIVE	Electric
27	A1104001	01-APR-2011	EDISON - FOR A FINDING THAT ITS PROCUREMENT-RELATED AND OTHER OPERATIONS FOR RECORD PERIOD JANUARY/2010 TO DECEMBER 2010 COMPLIED WITH ADOPTED PROCUREMENT PLAN	ACTIVE	Electric
28	A1105023	19-MAY-2011	SDG&E - TO ENTER INTO PURCHASE POWER TOLLING AGREEMENT WITH ESCONDIDO ENERGY CENTER, PIO PICO ENERGY CENTER AND QUAIL BRUSH POWER	REOPENED	Electric
29	A1106003	01-JUN-2011	SDG&E - FOR APPROVAL OF CONTRACT ADMINISTRATION, LEAST COST DISPATCH AND POWER PROCUREMENT ACTIVITIES AND COSTS RELATED TO THOSE ACTIVITIES	ACTIVE	Electric

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
30	A1106016	15-JUN-2011	PACIFICORP - FOR BUDGET APPROVAL OF THE 2012-2014 CARE AND ENERGY SAVINGS ASSISTANCE PROGRAM	ACTIVE	Electric
31	A1106018	15-JUN-2011	GOLDEN STATE WATER CO./ BEAR VALLEY ELECTRIC SERVICE DIVISION - FOR AN ORDER APPROVING ITS ENERGY SAVINGS ASSISTANCE PROGRAM	ACTIVE	Electric
32	A1106020	15-JUN-2011	PG&E - FOR APPROVAL OF LOW-INCOME ASSISTANCE PROGRAMS AND BUDGETS FOR PROGRAM YEARS 2012-2014	ACTIVE	Electric
33	A1107009	18-JUL-2011	ALAMEDA COUNTY RESIDENTS CONCERNED ABOUT SMART METERS - FOR MODIFICATION OF D.06-07-027	ACTIVE	Electric
34	A1107020	26-JUL-2011	COUNTY OF SANTA BARBARA, THE CONSUMERS POWER ALLIANCE ET AL. - FOR MODIFICATION OF D08-09-039 AND AN ORDER REQUIRING EDISON TO FILE AN APPLICATION FOR A SMART METER OPT-OUT PLAN	ACTIVE	Electric
35	A1201008	17-JAN-2012	SDG&E - AUTHORITY TO IMPLEMENT OPTIONAL PILOT PROGRAM TO INCREASE CUSTOMER ACCESS TO SOLAR GENERATED ELECTRICITY	ACTIVE	Electric
36	A1201012	25-JAN-2012	PG&E - PERMIT TO CONSTRUCT SANTA CRUZ 115 KV REINFORCEMENT PROJECT PURSUANT TO GO 131-D	ACTIVE	Electric
37	A1201014	31-JAN-2012	PG&E, EDISON, AND SDG&E - FOR ADOPTION OF ELECTRIC REVENUE REQUIREMENTS	ACTIVE	Electric
38	A1202013	16-FEB-2012	GOLDEN STATE WATER CO. ON BEHALF OF ITS BEAR VALLEY ELECTRIC SERVICE DIVISION - FOR APPROVAL OF COSTS AND AUTHORITY TO INCREASE GENERAL RATES AND OTHER CHARGES FOR ELECTRIC SERVICE	ACTIVE	Electric
39	A1202020	29-FEB-2012	PG&E - FOR APPROVAL OF ITS 2012 RATE DESIGN WINDOW PROPOSALS	ACTIVE	Electric
40	A1203001	01-MAR-2012	PG&E - FOR APPROVAL OF ECONOMIC DEVELOPMENT RATE FOR YEARS 2013-2017	REOPENED	Electric
41	A1204009	16-APR-2012	PG&E - ADOPTION OF ELECTRIC REVENUE REQUIREMENTS AND RATES ASSOCIATED WITH THE MARKET REDESIGN AND TECHNOLOGY UPGRADE (MRTU) INITIATIVE	ACTIVE	Electric
42	A1204020	24-APR-2012	PG&E - TO ESTABLISH A GREEN OPTION TARIFF	ACTIVE	Electric
43	A1205020	18-MAY-2012	SDG&E - CPCN FOR THE SOUTH ORANGE COUNTY RELIABILITY ENHANCEMENT	ACTIVE	Electric
44	A1208001	01-AUG-2012	EDISON - FOR APPROVAL OF ITS FORECAST 2013 ERRA PROCEEDING REVENUE REQUIREMENT	REOPENED	Electric
45	A1209004	07-SEP-2012	PG&E - FOR APPROVAL OF AGGREGATOR MANAGED DEMAND RESPONSE AGREEMENTS	REOPENED	Electric
46	A1209007	07-SEP-2012	EDISON - FOR APPROVAL OF FIVE THRID-PARTY DEMAND RESPONSE RESOURCE PURCHASE AGREEMENTS	REOPENED	Electric
47	A1210009	17-OCT-2012	SDG&E - PERMIT TO CONSTRUCT ELECTRICAL FACILITIES: CLEVELAND NATIONAL FOREST POWER LINE REPLACEMENT PROJECTS	ACTIVE	Electric

NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY	
48	A1210018	26-OCT-2012	EDISON - PERMIT TO CONSTRUCT ELECTRICAL FACILITIES WITH VOLTAGES BETWEEN 50KV AND 200KV: SANTA BARBARA COUNTY RELIABILITY PROJECT	ACTIVE	Electric
49	A1211001	01-NOV-2012	CALIFORNIA ENERGY COMMISSION - APPROVAL FOR ELECTRIC PROGRAM INVESTMENT CHARGE PROPOSED 2012 THROUGH 2014 TRIENNIAL INVESTMENT PLAN	REOPENED	Electric
50	A1211002	01-NOV-2012	SDG&E - APPROVAL FOR ELECTRIC PROGRAM INVESTMENT CHARGE TRIENNIAL PLAN FOR YEARS 2012-2014	REOPENED	Electric
51	A1211003	01-NOV-2012	PG&E - APPROVAL OF ITS 2012-2014 ELECTRIC PROGRAM INVESTMENT CHARGE INVESTMENT PLAN.	REOPENED	Electric
52	A1211004	01-NOV-2012	EDISON - APPROVAL OF ITS TRIENNIAL INVESTMENT PLAN FOR ELECTRIC PROGRAM INVESTMENT CHARGE PROGRAM	REOPENED	Electric
53	A1211007	09-NOV-2012	EDISON - PERMIT TO CONSTRUCT ELECTRICAL FACILITIES WITH VOLTAGES BETWEEN 50KV AND 200KV; SUNSHINE CANYON LANDFILL 66KV SUBTRANSMISSION LINE	ACTIVE	Electric
54	A1211011	15-NOV-2012	EDISON - PERMIT TO CONSTRUCT ELECTRICAL FACILITIES WITH VOLTAGES BETWEEN 50KV AND 200 KV: BANDUCCI SUBSTATION PROJECT	ACTIVE	Electric
55	A1211026	29-NOV-2012	EDWARD HASBROUCK - REHEARING OF RESOLUTION E-4533	ACTIVE	Electric
56	A1212002	03-DEC-2012	PG&E - FOR 2013 RATE DESIGN WINDOW PROCEEDING	ACTIVE	Electric
57	A1212007	13-DEC-2012	SDG&E - PERMIT TO CONSTRUCT THE TL 6931 FIRE HARDENING/WIND INTERCONNECT PROJECT	ACTIVE	Electric
58	A1212012	21-DEC-2012	PG&E - APPLICATION IN ITS 2012 NUCLEAR DECOMMISSIONING COST TRIENNIAL PROCEEDING	ACTIVE	Electric
59	A1212013	21-DEC-2012	EDISON AND SDG&E - FOR THE 2012 NUCLEAR DECOMMISSIONING COST TRIENNIAL PROCEEDING TO SET CONTRIBUTIONS LEVELS	ACTIVE	Electric
60	A1212027	28-DEC-2012	EDISON AND THE CITY OF LONG BEACH - FOR APPROVAL OF AN INFRASTRUCTURE AND RATE PROPOSAL FOR MARITIME ENTITIES IN THE PORT OF LONG BEACH	ACTIVE	Electric
61	A1212028	31-DEC-2012	EDISON - FOR RECOVERY OF MCGRATH PEAKER CONSTRUCTION COSTS	ACTIVE	Electric
62	A1301016	31-JAN-2013	EDISON - FOR A REASONABLENESS DETERMINATION OF 2012 COSTS RECORDED IN THE SAN ONOFRE GENERATING STATION MEMORANDUM ACCOUNT	ACTIVE	Electric
63	A1302023	28-FEB-2013	PG&E - FOR COMPLIANCE REVIEW OF UTILITY OWNED GENERATION OPERATIONS, ELECTRIC ENERGY RESOURCE RECOVERY ACCOUNT ENTRIES	ACTIVE	Electric
64	A1303003	13-MAR-2013	SDG&E - FOR A PERMIT TO CONSTRUCT THE TIE-LINE 637 WOOD-TO-STEEL PROJECT	ACTIVE	Electric
65	A1303005	15-MAR-2013	EDISON - FOR INCLUSION OF THE STEAM GENERATOR REPLACEMENT PROGRAM COST PERMANENTLY IN RATES	ACTIVE	Electric

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
66	A1303013	19-MAR-2013	SDG&E - FOR A REASONABLENESS DETERMINATION OF 2012 COSTS RECORDED IN THE SAN ONOFRE NUCLEAR GENERATING STATION MEMORANDUM ACCOUNT	ACTIVE	Electric
67	A1303014	18-MAR-2013	SDG&E - FOR INCLUSION OF THE STEAM GENERATOR REPLACEMENT PROJECT COST PERMANENTLY IN RATES	ACTIVE	Electric
68	A1304001	02-APR-2013	EDISON - FOR A COMMISSION FINDING THAT ITS PROCUREMENT-RELATED AND OTHER OPERATIONS FOR THE RECORD PERIOD JANUARY 1 THROUGH DECEMBER 31, 2012 COMPLIED	ACTIVE	Electric
69	A1304017	30-APR-2013	SDG&E - EXPEDITED APPLICATION UNDER THE ENERGY RESOURCE RECOVERY ACCOUNT TRIGGER MECHANISM	ACTIVE	Electric
70	A1305016	31-MAY-2013	SDG&E - FOR APPROVAL OF: CONTRACT ADMINISTRATION, LEAST COST DISPATCH, AND POWER PROCUREMENT ACTIVITIES IN 2012	ACTIVE	Electric
71	A1306012	13-JUN-2013	EDISON - FOR APPROVAL OF AN AMENDMENT TO A POWER PURCHASE AGREEMENT BETWEEN THE UTILITY AND MAMMOTH-PACIFIC, L.P.	ACTIVE	Electric
72	A1306015	21-JUN-2013	SDG&E - TO FILL LOCAL CAPACITY REQUIREMENT NEED IDENTIFIED IN D.13-03-029	ACTIVE	Electric
73	A1306018	28-JUN-2013	GOLDEN STATE WATER CO. - FOR PRE-APPROVAL OF POWER PURCHASE AGREEMENTS WITH EDF TRADING NORTH AMERICA	ACTIVE	Electric
74	A1307001	01-JUL-2013	PG&E - FOR THE RECOVERY OF COSTS ASSOCIATED WITH THE ACQUISITION AND TRANSFER OF THE ASSETS OF THE HERCULES MUNICIPAL UTILITY	ACTIVE	Electric
75	A1307015	22-JUL-2013	PACIFICORP - TO REACTIVATE THE SURCHARGE TO FUND PUBLIC PURPOSE PROGRAMS AND OTHER MATTERS	ACTIVE	Electric
76	A1307021	30-JUL-2013	EDISON - FOR APPROVAL OF AN AMENDMENT TO A POWER PURCHASE AGREEMENT WITH CHEVRON U.S.A.	ACTIVE	Electric
77	A1308001	01-AUG-2013	PACIFICORP - FOR AUTHORITY TO UPDATE ITS RATES PURSUANT TO ITS ENERGY COST ADJUSTMENT CLAUSE EFFECTIVE JANUARY 1, 2014	ACTIVE	Electric
78	A1308002	01-AUG-2013	EDISON - FOR APPROVAL OF GREENHOUSE GAS CAP-AND-TRADE PROGRAM COST AND REVENUE ALLOCATION	ACTIVE	Electric
79	A1308003	01-AUG-2013	PG&E - APPLICATION SETTING FORTH FORECAST GREENHOUSE GAS EMISSIONS COMPLIANCE COSTS AND ALLOWANCE REVENUES FOR 2014	ACTIVE	Electric
80	A1308004	01-AUG-2013	EDISON - FOR APPROVAL OF ITS FORECAST 2014 ERRA PROCEEDING REVENUE REQUIREMENT	ACTIVE	Electric
81	A1308005	01-AUG-2013	SDG&E - TO RETURN REVENUES FROM THE SALE OF GREENHOUSE GAS ALLOWANCES AND TO RECOVER FORECASTED COSTS ASSOCIATED WITH GREENHOUSE GAS EMISSION OF CALIFORNIA	ACTIVE	Electric

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
82	A1308007	01-AUG-2013	PACIFICORP - TO ESTABLISH FORECAST GREENHOUSE GAS COSTS FOR 2014 AND TO ESTIMATE GREENHOUSE GAS ALLOWANCE REVENUES	ACTIVE	Electric
83	A1308008	01-AUG-2013	LIBERTY UTILITIES LLC - APPLICATION SETTING FORTH FORECAST GREENHOUSE GAS ALLOWANCE REVEUE AND COSTS	ACTIVE	Electric
84	A1308014	13-AUG-2013	PG&E - TO CONSTRUCT THE MISSOURI FLAT - GOLD HILL 115 kV POWER LINE RECONDUCTORING PROJECT	ACTIVE	Electric
85	A1308022	28-AUG-2013	EDISON - FOR RECOVERY OF A \$368.6 MILLION UNDER-COLLECTION IN ITS ENERGY RESOURCE RECOVERY ACCOUNT	ACTIVE	Electric
86	A1308023	28-AUG-2013	EDISON - FOR A CPCN FOR THE COOLWATER-LUGO TRANSMISSION PROJECT	ACTIVE	Electric
87	A1308026	30-AUG-2013	SDG&E - FOR APPROVAL OF CUSTOMER OUTREACH PLAN FOR YEARS 2014 and 2015	ACTIVE	Electric
88	A1308027	30-AUG-2013	EDISION - FOR APPROVAL OF YEARS 2014-2015 GREENHOUSE GAS ALLOWANCE CUSTOMER OUTREACH PLAN	ACTIVE	Electric
89	A1309001	03-SEP-2013	PACIFICORP - FOR APPROVAL OF PROPOSED CUSTOMER OUTREACH PLAN FOR YEARS 2014 AND 2015	ACTIVE	Electric
90	A1309002	03-SEP-2013	PG&E - FOR APPROVAL OF PROPOSED CUSTOMER OUTREACH AND EDUCATION PLAN FOR YEARS 2014 AND 2015	ACTIVE	Electric
91	A1309003	04-SEP-2013	LIBERTY UTILITIES (CALPECO ELECTRIC) LLC - FOR APPROVAL OF PROPOSED CUSTOMER OUTREACH PLAN FOR YEARS 2014 AND 2015	ACTIVE	Electric
92	A1309004	05-SEP-2013	EDISON - TO ISSUE, SELL AND DELIVER ONE OR MORE SERIES OF DEBT SECURITIES TO FINANCE ITS DIESEL FUEL, NATURAL GAS AND NUCLEAR FUEL INVENTORIES	ACTIVE	Electric
93	A1309005	05-SEP-2013	EDISON - TO ISSUE, SELL, AND DELIBER ONE OR MORE SERIES OF DEBT SECURITIES AND GUARANTEE THE OBLIGATIONS OF OTHERS IN RESPECT OF THE ISSUANCE OF THAT PREFERRED OR PREFERENCE STOCK	ACTIVE	Electric
94	A1309014	25-SEP-2013	SDG&E - FOR A PERMIT TO CONSTRUCT THE SALT CREEK SUBSTATION PROJECT	ACTIVE	Electric
95	A1309016	30-SEP-2013	EDISON - FOR AUTHORITY TO RECOVER COST RELATED TO 2009 FIRESTORM AND 2010 RAINSTORM AND RECORDED IN THE CATASTROPHIC EVENT MEMORANDUM ACCOUNT	ACTIVE	Electric
96	A1309017	27-SEP-2013	SDG&E - FOR ADOPTION OF ITS 2014 ENERGY RESOURCE RECOVERY ACCOUNT REVENUE REQUIREMENT ET AL.	ACTIVE	Electric
97	A1310020	25-OCT-2013	" EDISON - FOR A CERTIFICATE OF PUBLIC CONVENIENCE AND NECESSITY FOR THE WEST OF DEVERS UPGRADE PROJECT AND FOR AN INTERIM DECISION "	ACTIVE	Electric

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
98	A1310021	28-OCT-2013	EDISON - FOR A PERMIT TO CONSTRUCT ELECTRICAL FACILITIES WITH VOLTAGES BETWEEN 50 KV AND 200 KV: MOORPARK-NEWBURY	ACTIVE	Electric
99	A1311003	12-NOV-2013	EDISON - AUTHORITY TO, AMONG OTHER THINGS, INCREASE ITS AUTHORIZED REVENUES FOR ELECTRIC SERVICE IN 2015, AND TO REFLECT THAT INCREASE IN RATES	ACTIVE	Electric
100	A1312006	12-DEC-2013	PG&E - FOR APPROVAL OF AMENDMENTS OF ITS HANFORD, HENRIETTA, AND TRACY POWER PURCHASE AND SALE AGREEMENTS WITH GWF ENERGY, LLC	ACTIVE	Electric
101	A1312015	24-DEC-2013	EDISON - FOR APPROVAL OF ITS 2013 RATE DESIGN WINDOW PROPOSALS	ACTIVE	Electric
102	A1401007	10-JAN-2014	EDISON - FOR APPROVAL OF OPTIONAL GREEN RATE	ACTIVE	Electric
103	C1002026	25-FEB-2010	LAWRENCE VS PG&E - FOR THE ILLEGAL PLACEMENT OF AN EXISTING LINE EXTENSION AND THE REFUSAL TO BEAR THE COSTS TO RELOCATE	REOPENED	Electric
104	C1010010	13-OCT-2010	HETHERINGTONS VS PG&E - DISPUTE ON RELOCATION OF SMART METER AND REFUND OF RELATED OVERCHARGES	ACTIVE	Electric
105	C1106024	27-JUN-2011	THE SARALES VS PG&E - DISPUTE OVER TREE TRIMMING	ACTIVE	Electric
106	C1208015	23-AUG-2012	DAVIS VS. EDISON - RELIEF FROM AN INCORRECT APPLICATION OF TARIFF RULE 21 BY DEFENDANT	REOPENED	Electric
107	C1209002	05-SEP-2012	ACTON TOWN COUNCIL VS EDISON - RELIEF FROM VIOLATIONS OF ENVIRONMENTAL MITIGATION CONDITIONS	REOPENED	Electric
108	C1302013	19-FEB-2013	ALLIANCE FOR NUCLEAR RESPONSIBILITY VS EDISON - FOR RELIEF FROM VIOLATIONS OF RULE 1.1, CONTEMPT UNDER PU CODE 2113 FOR MISREPRESENTING THE COST FOR SAN ONOFRE STEAM GENERATOR REPLACEMENT PROJECT	ACTIVE	Electric
109	C1304005	05-APR-2013	HERMOSA HOTEL VS. EDISON - FOR RELIEF AND REFUND FROM INCORRECT BILLING AT A COMMERCIAL RATE RATHER THAN AT THE RATE FOR RESIDENTIAL APARTMENTS	ACTIVE	Electric
110	C1304010	17-APR-2013	(ECP) SANG VS. EDISON - FOR ACCOUNTING AND RELIEF FROM UNLAWFUL CHARGES FOR ELECTRIC SERVICE AND FOR A REFUND AND REIMBURSEMENT OF COSTS	ACTIVE	Electric
111	C1305001	06-MAY-2013	(ECP) LUBELL AND PIONESSA VS EDISON -FOR RELIEF FROM ERRONEOUS ELECTRICITY BILLINGS	ACTIVE	Electric
112	C1307006	12-JUL-2013	(ECP) GRIFFIN VS EDISON - FOR RELIEF FROM OVERBILLING FOR ELECTRIC SERVICE	ACTIVE	Electric
113	C1307007	12-JUL-2013	(ECP) HIGMAN VS EDISON - FOR RELIEF FROM FAILURE TO PROVIDE ELECTRICAL SERVICE	ACTIVE	Electric
114	C1307014	15-JUL-2013	THE FENHOLTS VS. EDISON - DISPUTE FOR DAMAGES CAUSED BY ELECTRICAL WIRING DUE TO DEFECTIVE POWER POLE EQUIPMENT	ACTIVE	Electric

NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY	
115	C1307016	23-JUL-2013	WINDING CREEK SOLAR LLC, ET AL. VS PG&E - FOR AN ORDER DIRECTING PG&E TO EXECUTE POWER PURCHASE AGREEMENTS WITH COMPLAINANTS	ACTIVE	Electric
116	C1308020	22-AUG-2013	(ECP) TRI-VILLA TRUST, ANN MARIE LEWIS, TRUSTEE VS EDISON - FOR RELIEF FROM OVERBILLING IN 2011 AND 2012	ACTIVE	Electric
117	C1309008	17-SEP-2013	(ECP) ED VANDER VOUDE VS EDISON - FOR RELIEF FROM METER INACCURACIES, INCORRECT BASELINE CALCULATIONS AND FOR OVER CHARGING	ACTIVE	Electric
118	C1309021	30-SEP-2013	(ECP) GALASSO VS EDISON - FOR REFUND FOR OVERBILLING DUE TO ESTIMATED METER READS AND TO APPLY CARE DISCOUNT	ACTIVE	Electric
119	C1310012	30-OCT-2013	(ECP) HALL VS EDISON - FOR RELIEF FROM OVERBILLING OF ELECTRIC CHARGES	ACTIVE	Electric
120	C1311002	12-NOV-2013	DAVIS VS EDISON - FOR DEFENDANT VIOLATION OF PU CODE SECTION 2827(C)(1)	ACTIVE	Electric
121	C1311009	20-NOV-2013	(ECP) JAQUETTE VS PG&E - FOR RELIEF FROM ADDITIONAL FEES TO RETAIN THE TIME OF USE ELECTRIC METER	ACTIVE	Electric
122	C1311011	20-NOV-2013	(ECP) HAKIMIAN VS EDISON - FOR RELIEF FROM OVERBILLING OF ELECTRIC METER CHARGES OF A VACANT PROPERTY	ACTIVE	Electric
123	C1311012	20-NOV-2013	EGELSTON AND AMEN-EGELSTON VS PG&E - FOR UNLAWFUL BILLING OF THE INSTALLATION OF A GUY WIRE ON A POLE LOCATED IN THE PROPERTY OF COMPLAINANTS	ACTIVE	Electric
124	C1311013	20-NOV-2013	MOHIT VS EDISON - FOR FAILURE TO GRANT AN EXTENSION OF THE RESERVATION FOR A REBATE	ACTIVE	Electric
125	C1312019	27-DEC-2013	(ECP) DICKINSON VS PG&E - FOR RELIEF FROM OVERBILLING OF ELECTRIC CHARGES DUE TO DEFECTIVE SMART METER	ACTIVE	Electric
126	C1312021	27-DEC-2013	(ECP) QUEST PLAZA VS EDISON - FOR RELIEF FROM OVERBILLING FOR ELECTRIC CHARGES	ACTIVE	Electric
127	C1312022	27-DEC-2013	(ECP) ZUCCOLILLO VS PG&E - FOR RELIEF FROM INCORRECT CHARGES ASSESSED DUE TO BILLING ERRORS	ACTIVE	Electric
128	C1401010	21-JAN-2014	HOLLISTER SOLAR LLC VS. EDISON - FOR RELIEF FROM FAILURE TO EXECUTE POWER PURCHASE AGREEMENTS	ACTIVE	Electric
129	I1204010	19-APR-2012	CPUC - PG&E - OII INTO THE OPERATIONS AND PRACTICES OF PACIFIC GAS & ELECTRIC COMPANY REGARDING ANTI-SMART METER CONSUMER GROUPS	REOPENED	Electric
130	I1210013	25-OCT-2012	CPUC - OII INTO THE RATES, OPERATIONS, PRACTICES, SERVICES AND FACILITIES OF SCE AND SDG&E ASSOCIATED WITH THE SAN ONOFRE NUCLEAR GENERATING UNITS 2 & 3	ACTIVE	Electric
131	I1303007	21-MAR-2013	CPUC - OII INTO THE RATES, OPERATIONS, PRACTICES, SERVICE AND FACILITIES OF PACIFIC GAS AND ELECTRIC COMPANY	ACTIVE	Electric

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
132	P1309006	11-SEP-2013	CITIES OF CONCORD, TAFT, MADERA, KERMAN, AND CLOVIS AND PG&E - TO ADOPT, AMEND OR REPEAL A REGULATION PURSUANT TO PU CODE SECTION 1708.5	ACTIVE	Electric
133	R0110024	25-OCT-2001	PUC - GENERATION PROCUREMENT AND RENEWABLE RESOURCE DEVELOPMENT	REOPENED	Electric
134	R0310003	02-OCT-2003	CPUC - OIR TO IMPLEMENT PORTIONS OF AB 117 CONCERNING COMMUNITY CHOICE AGGREGATION	REOPENED	Electric
135	R0811005	06-NOV-2008	CPUC - OIR TO REVISE AND CLARIFY COMMISSION REGULATIONS RELATING TO SAFETY OF ELECTRIC UTILITY AND COMMUNICATIONS INFRASTRUCTURE PROVIDERS FACILITIES	ACTIVE	Electric
136	R0812009	18-DEC-2008	CPUC - OIR TO CONSIDER SMART GRID TECHNOLOGIES PURSUANT TO FEDERAL LEGISLATION	ACTIVE	Electric
137	R0901019	29-JAN-2009	CPUC - OIR TO EXAMINE COMMISSION'S ENERGY EFFICIENCY RISK/REWARD INCENTIVE MECHANISM	ACTIVE	Electric
138	R1102018	24-FEB-2011	CPUC - OIR INTO TRANSFER OF MASTER-METER/SUBMETER SYSTEMS AT MOBILEHOME PARKS AND MANUFACTURED HOUSING COMMUNITIES TO ELECTRIC AND GAS CORPORATIONS	ACTIVE	Electric
139	R1103012	24-MAR-2011	CPUC - OIR TO ADDRESS ELECTRIC UTILITY COST AND REVENUE ISSUES ASSOCIATED WITH GREENHOUSE GAS EMISSIONS	ACTIVE	Electric
140	R1105005	05-MAY-2011	CPUC - OIR TO CONTINUE IMPLEMENTATION AND ADMINISTRATION OF CALIFORNIA RENEWABLES PORTFOLIO STANDARD PROGRAM	ACTIVE	Electric
141	R1109011	22-SEP-2011	CPUC - OIR TO IMPROVE DISTRIBUTION LEVEL INTERCONNECTION RULES AND REGULATIONS FOR CERTAIN CLASSES OF ELECTRIC GENERATORS AND ELECTRIC STORAGE RESOURCES	ACTIVE	Electric
142	R1110023	20-OCT-2011	CPUC - OIR TO OVERSEE THE RESOURCE ADEQUACY PROGRAM, CONSIDER PROGRAM REFINEMENTS, AND ESTABLISH ANNUAL LOCAL PROCUREMENT OBLIGATIONS.	ACTIVE	Electric
143	R1203014	22-MAR-2012	CPUC - OIR TO INTEGRATE AND REFINE PROCUREMENT POLICIES AND CONSIDER LONG-TERM PROCUREMENT PLANS	ACTIVE	Electric
144	R1206013	21-JUN-2012	CPUC - OIR TO CONDUCT A COMPREHENSIVE EXAMINATION OF INVESTOR OWNED ELECTRIC UTILITIES' RESIDENTIAL RATE STRUCTURES, THE TRANSITION TO TIME VARYING AND DYNAMIC RATES, AND OTHER STATUTORY OBLIGATIONS	ACTIVE	Electric
145	R1211005	08-NOV-2012	CPUC - OIR REGARDING POLICIES, PROCEDURES AND RULES FOR THE CALIFORNIA SOLAR INITIATIVE, THE SELF-GENERATION INCENTIVE PROGRAM AND OTHER DISTRIBUTED GENERATION ISSUES	ACTIVE	Electric
146	R1302019	28-FEB-2013	CPUC - OIR TO CONSIDER THE ANNUAL REVENUE REQUIREMENT DETERMINATION OF THE CALIFORNIA DEPARTMENT OF WATER RESOURCES AND RELATED ISSUES	ACTIVE	Electric

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
147	R1303009	21-MAR-2013	CPUC - OIR REGARDING WHETHER TO ADOPT, AMEND, OR REPEAL REGULATIONS GOVERNING SAFETY STANDARDS FOR THE USE OF 25 KV ELECTRIC LINES TO POWER HIGH SPEED TRAINS	ACTIVE	Electric
148	R1309011	19-SEP-2013	CPUC - OIR TO ENHANCE THE ROLE OF DEMAND RESPONSE IN MEETING THE STATE RESOURCE PLANNING NEEDS AND OPERATIONAL REQUIREMENTS	ACTIVE	Electric
149	R1311005	14-NOV-2013	CPUC - OIR CONCERNING ENERGY EFFICIENCY ROLLING PORTFOLIOS, POLICIES, PROGRAMS, EVALUATION, AND RELATED ISSUES	ACTIVE	Electric
150	R1311006	14-NOV-2013	CPUC - OIR TO DEVELOP A RISK-BASED DECISION-MAKING FRAMEWORK TO EVALUATE SAFETY IMPROVEMENTS AND REVISE THE GENERAL RATE CASE PLAN FOR ENERGY UTILITIES	ACTIVE	Electric
151	R1311007	14-NOV-2013	CPUC - OIR TO CONSIDER ALTERNATIVE-FUELED VEHICLE PROGRAMS, TARIFFS, AND POLICIES	ACTIVE	Electric
152	R1312010	19-DEC-2013	CPUC - OIR TO INTEGRATE AND REFINE PROCUREMENT POLICIES AND CONSIDER LONG-TERM PROCUREMENT PLANS	ACTIVE	Electric
GAS					
1	A0809023	29-SEP-2008	SOCAL GAS CO. - FOR APPROVAL OF ADVANCED METERING INFRASTRUCTURE	REOPENED	Gas
2	A1012006	15-DEC-2010	SOCAL GAS - FOR AUTHORITY TO UPDATE ITS GAS REVENUE REQUIREMENT AND BASE RATES EFFECTIVE JANUARY 1, 2012	REOPENED	Gas
3	A1106019	15-JUN-2011	SOUTHWEST GAS CORP. - FOR APPROVAL OF LOW-INCOME PROGRAMS AND BUDGETS FOR PROGRAM YEARS 2012-2014	ACTIVE	Gas
4	A1106021	15-JUN-2011	WEST COAST GAS CO. - FOR APPROVAL OF PROGRAM YEARS 2012-2014 LOW-INCOME ASSISTANCE PROGRAM BUDGETS	ACTIVE	Gas
5	A1107015	21-JUL-2011	ALPINE NATURAL GAS OPERATION CO. NO. 1 LLC. - FOR APPROVAL OF LOW-INCOME PROGRAMS AND BUDGETS FOR PROGRAM YEARS 2012-2014	ACTIVE	Gas
6	A1111002	01-NOV-2011	SDG&E AND SOCAL GAS - TO REVISE THEIR RATES EFFECTIVE JANUARY 1, 2013	ACTIVE	Gas
7	A1111011	03-NOV-2011	SOCAL GAS CO. - TO ESTABLISH A COMPRESSION SERVICES TARIFF	REOPENED	Gas
8	A1205016	11-MAY-2012	SOCAL GAS - TO ESTABLISH AN ADVANCED METER OPT-OUT PROGRAM	ACTIVE	Gas
9	A1212024	20-DEC-2012	SOUTHWEST GAS CORP. - AUTHORITY TO INCREASE RATES AND CHARGES FOR GAS SERVICE IN CALIFORNIA, EFFECTIVE JANUARY 1, 2014	ACTIVE	Gas
10	A1306011	13-JUN-2013	PG&E - TO SET NEW CORE INTERSTATE PIPELINE CAPACITY PLANNING RANGE	ACTIVE	Gas
11	A1306013	14-JUN-2013	SOCAL GAS - APPLICATION REGARDING YEAR 19 (2012-2013) OF ITS GAS COST INCENTIVE MECHANISM	ACTIVE	Gas
12	A1309010	16-SEP-2013	SOCAL GAS CO. - FOR APPROVAL OF THE BRANCH OFFICE OPTIMIZATION PROCESS	ACTIVE	Gas

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
13	A1309015	30-SEP-2013	PG&E - FOR RECOVERY OF COSTS OF NATURAL GAS SUPPLIER	ACTIVE	Gas
14	A1310017	29-OCT-2013	PG&E - TO UPDATE PIPELINE SAFETY ENHANCEMENT PLAN	ACTIVE	Gas
15	A1312012	19-DEC-2013	"PG&E - PROPOSING COST OF SERVICE AND RATES FOR GAS TRANSMISSION AND STORAGE SERVICES FOR THE PERIOD 2015 - 2017 "	ACTIVE	Gas
16	A1312013	20-DEC-2013	"SOCALGAS AND SDG&E - FOR AUTHORITY TO RECOVER NORTH-SOUTH PROJECT REVENUE REQUIREMENT IN CUSTOMER RATES "	ACTIVE	Gas
17	A1401011	21-JAN-2014	WEST COAST GAS CO. - TO ESTABLISH MEMORANDUM ACCOUNT TO TRACK AND RECORD CALIFORNIA AIR RESOURCES BOARD ASSEMBLY BILL 32 COST OF IMPLEMENTATION FEES	ACTIVE	Gas
18	C1204004	10-APR-2012	CONOCOPHILLIPS COMPANY VS. SFPP, L.P. - FOR AN ORDER THAT THE COMMISSION SHOULD INITIATE A HEARING TO EXAMINE WHETHER THE CURRENT RATES OF SFPP SET FORTH IN TARIFFS	ACTIVE	Gas
19	C1310004	07-OCT-2013	(ECP) ORSAY VS SOCAL GAS - FOR RELIEF FROM FAILURE TO TURN ON AND TURN OFF THE GAS PILOT AND TO CREDIT THE ACCOUNT FOR THE ENERGY SAVINGS ASSISTANCE PROGRAM	ACTIVE	Gas
20	C1401006	10-JAN-2014	UNITED ENERGY TRADING, LLC VS. PG&E - FOR RELIEF FROM VIOLATION OF GAS RULES NOS. 11 AND 23	ACTIVE	Gas
21	I1102016	24-FEB-2011	CPUC - OII INTO THE OPERATIONS AND PRACTICES OF PG&E WITH RESPECT TO FACILITIES RECORDS FOR ITS NATURAL GAS TRANSMISSION SYSTEM PIPELINES	ACTIVE	Gas
22	I1111009	10-NOV-2011	CPUC - OII INTO THE OPERATIONS AND PRACTICES OF PG&E'S NATURAL GAS TRANSMISSION PIPELINE SYSTEM IN LOCATIONS WITH HIGH POPULATION DENSITY	ACTIVE	Gas
23	I1201007	12-JAN-2012	CPUC - PG&E - OII INTO THE OPERATIONS AND PRACTICES OF PG&E TO DETERMINE VIOLATIONS OF PU CODE SECTION 451, GO 112, AND OTHER APPLICABLE REGULATIONS IN CONNECTION WITH THE SAN BRUNO EXPLOSION AND FIRE	ACTIVE	Gas
24	R1102019	24-FEB-2011	CPUC - OIR TO ADOPT NEW SAFETY AND RELIABILITY REGULATIONS FOR NATURAL GAS TRANSMISSION AND DISTRIBUTION PIPELINES AND RELATED RATEMAKING MECHANISMS	ACTIVE	Gas
25	R1302008	13-FEB-2013	CPUC - OIR TO ADOPT BIOMETHANE STANDARDS AND REQUIREMENTS, PIPELINE OPEN ACCESS RULES, AND RELATED ENFORCEMENT PROVISIONS	ACTIVE	Gas
MISCELLANEOUS					
1	A0003044	16-MAR-2000	SFPP, L.P. - RATE JUSTIFICATION FOR INTRASTATE TRANSPORTATION OF REFINED PETROLEUM PRODUCTS	REOPENED	Miscellaneous
2	A0302027	21-FEB-2003	SFPP, L.P. - APPLICATION IN COMPLIANCE WITH RESOLUTION O-0043	ACTIVE	Miscellaneous

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
3	A0411017	16-NOV-2004	SFPP, L.P. - TO INCREASE RATES FOR PIPELINE TRANSPORTATION PURSUANT TO SECTION 455.3	ACTIVE	Miscellaneous
4	A0601015	26-JAN-2006	SFPP, L.P. - TO INCREASE RATE FOR PIPELINE TRANSPORTATION SERVICES	ACTIVE	Miscellaneous
5	A0608028	25-AUG-2006	SFPP, L.P. - FOR AUTHORITY TO INCREASE RATES FOR PIPELINE TRANSPORTATION SERVICES	ACTIVE	Miscellaneous
6	A0806008	06-JUN-2008	SFPP, L.P. - FOR THE TEST YEAR 2009 GRC	ACTIVE	Miscellaneous
7	A0806009	06-JUN-2008	CALNEV PIPE LINE, L.L.C. - FOR THE TEST YEAR 2009 GRC	ACTIVE	Miscellaneous
8	A0809024	30-SEP-2008	SAN PABLO BAY PIPELINE CO. LLC. - FOR APPROVAL OF TARIFFS FOR THE SAN JOAQUIN VALLEY CRUDE OIL PIPELINE	REOPENED	Miscellaneous
9	A0905014	12-MAY-2009	SFPP, L.P. - TO INCREASE ITS RATES FOR PIPELINE TRANSPORTATION SERVICES	ACTIVE	Miscellaneous
10	A1010002	04-OCT-2010	PLAINS WEST COAST TERMINALS LLC - TO DISPOSE OF CERTAIN REAL PROPERTY LOCATED IN THE CITY OF HUNTINGTON BEACH	ACTIVE	Miscellaneous
11	A1201015	30-JAN-2012	SFPP, LP - FOR AUTHORITY PURSUANT TO PU CODE SEC. 455.3, CHANGE ITS RATES FOR PIPELINE TRANSPORTATION SERVICES WITHIN CALIFORNIA	ACTIVE	Miscellaneous
12	A1211027	30-NOV-2012	CHEVRON PIPE LINE COMPANY - AUTHORIZATION TO INCREASE ITS RATES AND CHARGES FOR CRUDE OIL TRANSPORTATION AND GATHERING SERVICES ON ITS CALIFORNIA PIPELINES	ACTIVE	Miscellaneous
13	A1304012	18-APR-2013	PG&E - TO REVISE ITS ELECTRIC MARGINAL COSTS, REVENUE ALLOCATION, AND RATE DESIGN	ACTIVE	Miscellaneous
14	A1401003	02-JAN-2014	SAN PABLO BAY PIPELINE COMPANY LLC - FOR AUTHORITY TO INCREASE ITS RATES FOR PIPELINE TRANSPORTATION SERVICES	ACTIVE	Miscellaneous
15	C0004013	10-APR-2000	ARCO PRODUCTS CO. AND MOBILE OIL CORP. VS SFPP, LP - DISPUTE OVER UNJUST AND UNREASONABLE RATES	REOPENED	Miscellaneous
16	C0612031	27-DEC-2006	TESORO REFINING AND MARKETING CO. VS SFPP, L.P. - FOR A REFUND DUE TO UNJUST RATES AND OVERCHARGES	ACTIVE	Miscellaneous
17	C0803021	27-MAR-2008	CHEVRON PRODUCTS CO. VS. EQUILON ENTERPRISES LLC - COMPLAINT ON VIOLATIONS OF PUC RULES AND REQUEST FOR AN OIL	REOPENED	Miscellaneous
18	C0902007	13-FEB-2009	TESORO REFINING AND MARKETING CO. VS EQUILON ENTERPRISES, L.L.C., DBA AS SHELL OIL PRODUCTS (US) ET AL. - FOR VIOLATION OF PU CODES AND GO 96-A IN FAILING TO FILE TARIFF FOR ITS PIPELINE SERVICES	REOPENED	Miscellaneous
19	C0903027	23-MAR-2009	VALERO MARKETING AND SUPPLY CO. VS EQUILON ENTERPRISES, LLC. - FOR DETERMINATION OF JUST AND REASONABLE RATES FOR TRANSPORTATION OF CRUDE OIL	REOPENED	Miscellaneous
20	C1203005	05-MAR-2012	TESORO REFINING AND MARKETING CO. V. SFPP, L.P. SFPP - FOR AN ORDER THAT RATE PROPOSAL IS UNJUST AND UNREASONABLE	ACTIVE	Miscellaneous

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
21	C1203006	05-MAR-2012	BP WEST COAST PRODUCTS LLC V. SFPP, L.P. - FOR DETERMINATION THAT SFPP'S RATES FOR INTRASTATE TRANSP. OF REFINED PRODUCTS ARE UNJUST	ACTIVE	Miscellaneous
22	C1203007	05-MAR-2012	EXXON MOBIL OIL CORPORATION V. SFPP, L.P. - FOR DETERMINATION THAT SFPP'S RATES FOR INTRASTATE TRANSP. OF REFINED PRODUCTS ARE UNJUST	ACTIVE	Miscellaneous
23	C1204006	17-APR-2012	CHEVRON PRODUCTS COMPANY AND SOUTHWEST AIRLINES CO. VS. SFPP, L.P. - FOR A DETERMINATION OF THE JUST AND REASONABLE RATES FROM MARCH 1, 2012 FORWARD	ACTIVE	Miscellaneous
24	C1204007	17-APR-2012	VALERO MARKETING AND SUPPLY COMPANY AND ULTRAMAR INC VS. SFPP L.P. - FOR AN ORDER THAT THE COMMISSION SHOULD INITIATE A HEARING TO EXAMINE WHETHER THE CURRENT RATES	ACTIVE	Miscellaneous
25	C9704025	07-APR-1997	ARCO PRODUCTS CO. , MOBIL OIL CORP., AND TEXACO REFINING AND MARKETING INC. VS SFPP, L.P. - VIOLATION OF PU CODE 451 BY CHARGING RATES THAT ARE NOT JUST	REOPENED	Miscellaneous
26	P1311001	06-NOV-2013	THE NEVADA HYDRO COMPANY - PETITION TO ADOPT, AMEND OR REPEAL A REGULATION PURSUANT TO PU CODE SECTION 1708.5	ACTIVE	Miscellaneous
MULTIPLE TYPES					
1	A1012005	15-DEC-2010	SDG&E - FOR AUTHORITY TO INCREASE RATES AND CHARGES FOR ELECTRIC AND GAS SERVICE EFFECTIVE ON JANUARY 1, 2012	REOPENED	Multiple Types
2	A1103002	01-MAR-2011	SDG&E - FOR APPROVAL OF DEMAND RESPONSE PROGRAMS AND BUDGETS FOR YEARS 2012 -2014	REOPENED	Multiple Types
3	A1103014	24-MAR-2011	PG&E - FOR APPROVAL OF MODIFICATIONS TO ITS SMARTMETER PROGRAM AND INCREASED REVENUE REQUIREMENTS TO RECOVER THE COSTS OF THE MODIFICATIONS	ACTIVE	Multiple Types
4	A1105017	16-MAY-2011	EDISON - FOR APPROVAL OF ITS 2012-2014 CARE AND ENERGY SAVINGS ASSISTANCE PROGRAMS AND BUDGETS	ACTIVE	Multiple Types
5	A1105018	16-MAY-2011	SOCAL GAS - FOR APPROVAL OF LOW-INCOME ASSISTANCE PROGRAMS AND BUDGETS FOR PROGRAM YEARS 2012-2014	ACTIVE	Multiple Types
6	A1105019	16-MAY-2011	PG&E - FOR APPROVAL OF THE 2012-2014 ENERGY SAVINGS ASSISTANCE AND CARE PROGRAMS AND BUDGETS	ACTIVE	Multiple Types
7	A1105020	16-MAY-2011	SDG&E - FOR APPROVAL OF LOW-INCOME ASSISTANCE PROGRAMS AND BUDGETS FOR YEARS 2012-2014	ACTIVE	Multiple Types
8	A1107008	18-JUL-2011	PG&E, SDG&E, AND EDISON - TO INCREASE ELECTRIC RATES AND CHARGES TO RECOVER COSTS OF RESEARCH AND DEVELOPMENT AGREEMENT WITH LAWRENCE LIVERMORE NATIONAL LABORATORY FOR 21ST CENTURY ENERGY SYSTEMS	REOPENED	Multiple Types

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
9	A1211009	15-NOV-2012	PG&E - FOR AUTHORITY, AMONG OTHER THINGS, TO INCREASE RATES AND CHARGES FOR ELECTRIC AND GAS SERVICE EFFECTIVE ON JANUARY 1, 2014	ACTIVE	Multiple Types
10	R0808009	21-AUG-2008	CPUC - OIR TO CONTINUE IMPLEMENTATION AND ADMINISTRATION OF CALIFORNIA RENEWABLES PORTFOLIO STANDARD PROGRAM	ACTIVE	Multiple Types
RAILROAD/GRADE CROSSINGS					
1	A0312017	09-DEC-2003	S.F. BAY AREA RAPID TRANSIT DIST. - FOR CONSTRUCTION OF AN ELEVATED STRUCTURE CROSSING ABOVE BART TRACKS	REOPENED	Railroad/Grade Crossings
2	A1108004	02-AUG-2011	CITY OF SAN CLEMENTE - FOR AN ORDER AUTHORIZING THE ALTERATION AND IMPROVEMENT OF SEVEN EXISTING SAN CLEMENTE BEACH TRAIL AT-GRADE CROSSINGS	ACTIVE	Railroad/Grade Crossings
3	A1211018	16-NOV-2012	LOS ANGELES COUNTY METROPOLITAN TRANSP. AUTHORITY - TO CONSTRUCT TWO-TRACK AT-GRADE CROSSINGS AND PEDESTRIAN GRADE SEPARATED X-ING IN THE CITY OF INGLEWOOD	ACTIVE	Railroad/Grade Crossings
4	A1212029	24-DEC-2012	LOS ANGELES COUNTY METROPOLITAN TRANSP. AUTHORITY - FOR AUTHORITY TO CONSTRUCT TWO-TRACK AT-GRADE CROSSINGS FOR THE CRENSHAW/LAX CORRIDOR PROJECT LIGHT RAIL LINE	ACTIVE	Railroad/Grade Crossings
5	A1212030	12-DEC-2012	FOR AN ORDER AUTHORIZING THE CONSTRUCTION OF TWO-TRACK AT-GRADE CROSSINGS FOR THE CRENSHAW/LAX TRANSIT CORRIDOR PROJECT LIGHT RAIL LINE ACROSS OAK ST, CEDAR AVE, IVY AVE AND HIGH ST CITY OF INGLEWOOD.	ACTIVE	Railroad/Grade Crossings
6	A1301012	23-JAN-2013	LOS ANGELES COUNTY METROPOLITAN TRANSP. AUTH. - FOR AN ORDER AUTHORIZING THE CONSTRUCTION OF TWO-TRACK AT-GRADE CROSSINGS FOR THE CRENSHAW/LAX TRANSIT CORRIDOR PROJECT LIGHT RAIL LINE	ACTIVE	Railroad/Grade Crossings
7	A1302025	11-FEB-2013	LOS ANGELES COUNTY METROPOLITAN TRANSP. AUTH. - FOR AN ORDER AUTHORIZING THE CONSTRUCTION OF TWO-TRACK GRADE SEPARATED CROSSINGS	ACTIVE	Railroad/Grade Crossings
8	A1305009	23-MAY-2013	SOUTHERN CALIFORNIA REGIONAL RAIL AUTHORITY - TO CONSTRUCT A PEDESTRIAN UNDERPASS FOR THE NORTHRIDGE STATION, METROLINK VENTURA SUBDIVISION POST 449.31	ACTIVE	Railroad/Grade Crossings
9	A1306017	21-JUN-2013	CITY OF FREMONT - TO CONSTRUCT A NEW PUBLIC PEDESTRIAN/BICYCLE PATH AND SERVICE VEHICLE	ACTIVE	Railroad/Grade Crossings
10	A1308006	01-AUG-2013	CITY OF SANTA CLARA - TO CONSTRUCT A NEW AT-GADE CROSSING	ACTIVE	Railroad/Grade Crossings
11	A1308025	28-AUG-2013	CITY OF OAKLAND - TO INSTALL THREE AT-GRADE CROSSINGS AND FOUR GRADE CROSSINGS AS PART OF THE OAKLAND GLOBAL TRADE AND LOGISTICS CENTER	ACTIVE	Railroad/Grade Crossings

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
12	A1309020	23-SEP-2013	SONOMA-MARIN AREA RAIL TRANSIT DISTRICT - COST ALLOCATION FOR REQUIRED IMPROVEMENTS, INCLUDING INSTALLATION OF QUADRANT GATES AT A PRIVATE CROSSING	ACTIVE	Railroad/Grade Crossings
13	A1312023	27-DEC-2013	" STATE OF CALIFORNIA - FOR AN ORDER AUTHORIZING THE CONSTRUCTION OF A PEDESTRIAN BRIDGE OVER MTS LIGHT RAIL TRACKS WITHIN THE CITY OF SAN DIEGO - SAN DIEGO CENTRAL COURTHOUSE PROJECT.	ACTIVE	Railroad/Grade Crossings
14	A1401013	08-JAN-2014	EXPOSITION METRO LINE CONSTRUCTION AUTHORITY - FOR AUTHORITY TO CONSTRUCTION OF TWO ONLY TWO-TRACK, AT-GRADE PEDESTRIAN CROSSINGS OF THE MID-CITY EXPOSITION LIGHT RAIL TRANSIT LINE	ACTIVE	Railroad/Grade Crossings
15	C0610015	10-OCT-2006	PASADENA AVENUE MONTEREY ROAD COMMITTEE VS. LOS ANGELES COUNTY METROPOLITAN TRANSP. AUTHORITY, ET AL. - FOR FAILURE TO COMPLY WITH D05-02-032 AS MODIFIED BY D05-09-040	ACTIVE	Railroad/Grade Crossings
16	C1212006	14-DEC-2012	O.P. MURPHY PRODUCE COMPANY INC. VS. UNION PACIFIC RAILROAD COMPANY - FOR A FINDING THAT THE DEFENDANT HAS VIOLATED THE VESTED LEGAL PROPERTY RIGHTS OF COMPLAINANT AND RELATED RELIEF	ACTIVE	Railroad/Grade Crossings
17	C1308011	05-AUG-2013	FLETCHER VS UNION PACIFIC RAILROAD - FOR AN ORDER THAT DEFENDANT PROVIDE A SAFE CROSSING AT THE EXISTING PRIVATE CROSSING	ACTIVE	Railroad/Grade Crossings
18	C1310008	10-OCT-2013	DRY CREEK RANCHERIA BAND OF POMO INDIANS VS SONOMA-MARIN AREA RAIL TRANSIT DISTRICT AND NORTH COAST RAILROAD AUTHORITY - FOR DEFENDANTS TO ESTABLISH AND MAINTAIN SAFE RAILROAD CROSSING A THEIR EXPENSE	ACTIVE	Railroad/Grade Crossings
19	I1306014	27-JUN-2013	CPUC - OII TO ESTABLISHING A LIST FOR YRS 2014-15 AND 2015-16 OF EXISTING CROSSINGS OF CITY STREETS, COUNTY ROAD OR STATE HIGHWAYS IN NEED OF SEPARATION, ALTERATIONS OR RECONSTRUCTION	ACTIVE	Railroad/Grade Crossings
20	R0901020	29-JAN-2009	CPUC - OIR TO CONSIDER ROADWAY WORKER PROTECTIONS BY TRANSIT AGENCIES IN CALIFORNIA	ACTIVE	Railroad/Grade Crossings
TRANSPOTATION					
1	A1008025	30-AUG-2010	SAN FRANCISCO DELUXE SIGHTSEEING, LLC - PSC TO TRANSPORT PASSENGERS AND THEIR BAGGAGE ON A REGULARLY SCHEDULED BASIS AND TO ESTABLISH A ZORF	REOPENED	Transportation
2	A1009005	03-SEP-2010	SF NAVIGATOUR, INC. DBA SUPER SIGHTSEEING TOURS - FOR AUTHORITY TO OPERATE AS A PSC AND TO ESTABLISH A ZORF	REOPENED	Transportation
3	A1010008	12-OCT-2010	CITYSIGHTSEEING CORP. - CPCN TO OPERATE AS A PSC PROVIDING SCHEDULED SERVICE IN SAN FRANCISCO AND MARIN COUNTIES AND TO ESTALISH A ZORF	REOPENED	Transportation

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
4	A1208022	31-AUG-2012	BAY TRANSIT SHUTTLE - FOR A CPCN TO OPERATE AS AN ON-CALL, DOOR TO DOOR PASSENGER STATE SERVICE	ACTIVE	Transportation
5	A1212009	14-DEC-2012	CALNEV PIPE LINE LLC - FOR AUTHORITY PURSUANT TO PUB. UTIL. CODE SECTION 455.3, TO INCREASE ITS RATES FOR INTRASTATE PIPELINE TRANSPORTATION SERVICES	ACTIVE	Transportation
6	A1310014	25-OCT-2013	AM/PM SHUTTLE SERVICE, LLC - FOR A CPCN TO PROVIDE DOOR-TO-DOOR PSC SERVICE AND TO ESTABLISH A ZORF	ACTIVE	Transportation
7	A1310015	30-OCT-2013	AMERICAN SHUTTLE - TO EXPAND ITS CPCN AND TO ESTABLISH A ZORF	ACTIVE	Transportation
8	A1310016	30-OCT-2013	LUCKY SHUTTLE - TO EXPAND ITS CPCN AND TO ESTABLISH A ZORF	ACTIVE	Transportation
9	A1310023	23-OCT-2013	SILVERADO STAGES, INC. - TO ABANDON SERVICE IN CONTRA COSTA AND ALAMEDA COUNTY AND TO ESTABLISH A ZORF	ACTIVE	Transportation
10	A1311015	22-NOV-2013	CITYWIDE SHUTTLE & LIMOUSINE SERVICE - TO TRANSFER PARTNERSHIP INTEREST, EXPAND SERVICE AREAS, ESTABLISH A ZORF AND FARE INCREASES	ACTIVE	Transportation
11	A1312001	03-DEC-2013	FANTASY TRANSPORTATION, LLC - FOR A CPCN TO OPERATE AS A SCHEDULED PSC AND TO ESTABLISH A ZORF	ACTIVE	Transportation
12	A1312007	05-DEC-2013	CHAINCHAL SINGH UPPAL - TO EXPAND ITS PSC AND TO ESTABLISH A ZORF AND FARE INCREASES	ACTIVE	Transportation
13	A1312014	23-DEC-2013	RIDEPAL, INC. - TO OPERATE AS A PSC AND ARRANGE TRANSPORTATION THROUGH A CLOUD-BASED DELIVERY PLATFORM	ACTIVE	Transportation
14	A1401018	23-JAN-2014	GOLDENCARE MEDICAL TRANSPORTATION, LLC - FOR A CPCN TO OPERATE AS AN ON-CALL, DOOR-TO-DOOR PSC AND TO ESTABLISH A ZORF	ACTIVE	Transportation
15	I1309012	19-SEP-2013	CPUC - OII INTO THE FATAL ACCIDENT AT THE SAN FRANCISCO MUNI'S MISSION ROCK STATION ON DECEMBER 1, 2012	ACTIVE	Transportation
16	I1401005	16-JAN-2014	CPUC - OII INTO THE OPERATIONS, PRACTICES, AND CONDUCT OF SAN FRANCISCO MUNICIPAL TRANSPORTATION AGENCY, REGARDING ONGOING PUBLIC SAFETY ISSUES	ACTIVE	Transportation
17	P1310009	16-OCT-2013	THE GREATER CALIFORNIA LIVERY ASSOCIATION - PETITION TO ADOPT, AMEND OR REPEAL A REGULATION PURSUANT TO PU CODE SECTION 1708.5	ACTIVE	Transportation
18	R0912001	03-DEC-2009	CPUC - OIR TO EXAMINE WHETHER TO REVISE THE CURRENT PROCEDURES FOR PROCESSING APPLICATIONS TO OBTAIN OR TRANSFER A PASSENGER STAGE CORPORATION CERTIFICATE (PSC), TO ACQUIRE OR CONTROL A PSC	ACTIVE	Transportation
19	R1212011	20-DEC-2012	CPUC - OIR ON REGULATIONS RELATING TO PASSENGER CARRIERS, RIDESHARING, AND NEW ONLINE-ENABLED TRANSPORTATION SERVICES	ACTIVE	Transportation
WATER/SEWER					
1	A0701014	05-JAN-2007	GOLDEN STATE WATER CO. - SANTA MARIA DIST. - TO INCREASE RATES FOR WATER SERVICE IN YEARS 2008, 2009, AND 2010	REOPENED	Water

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
2	A0807010	01-JUL-2008	GOLDEN STATE WATER CO. - GRC FOR ITS REGION II AND REGION III FOR YEARS 2010, 2011, AND 2012.	REOPENED	Water
3	A0808022	29-AUG-2008	GOLDEN STATE WATER CO. - CPCN TO CONSTRUCT AND OPERATE A WATER SYSTEM IN SUTTER COUNTY	ACTIVE	Water
4	A0908004	06-AUG-2009	GOLDEN STATE WTR. CO. - TO IMPLEMENT CORRECTIVE MEASURES FOR WATER QUALITY AND FLOURIDATE THE WATER IN THE BAY POINT SERVICE AREA AND TO INCREASE RATES	REOPENED	Water
5	A1004019	12-APR-2010	CALIFORNIA-AMERICAN WATER CO. - FOR THE RECOVERY OF COSTS FOR THE LEASE OF THE SAND CITY DESALINATION FACILITY AND ASSOCIATED OPERATING AND MAINTENANCE COSTS	REOPENED	Water
6	A1011009	15-NOV-2010	EDISON - FOR AUTHORITY TO INCREASE ITS AUTHORIZED REVENUES FOR SANTA CATALINA ISLAND WATER OPERATIONS AND RELATED MATTERS	ACTIVE	Water
7	A1102002	01-FEB-2011	SUBURBAN WATER SYSTEMS - TO INCREASE RATES CHARGED FOR WATER SERVICE FOR YEARS 2012, 2013, AND 2014	REOPENED	Water
8	A1104013	15-APR-2011	ORVIS AND ASPEN FOREST INVESTMENT CO., LLC. - TO BUY SHARES OF THE COMMON STOCK OF THE WATER SYSTEM KNOWN AS LAKE ALPINE	ACTIVE	Water
9	A1107005	11-JUL-2011	SAN GABRIEL VALLEY WATER CO. - FOR AUTHORITY TO INCREASE RATES CHARGED FOR WATER SERVICE IN ITS FONTANA DIVISION FOR YEARS 2012-2014	ACTIVE	Water
10	A1108019	26-AUG-2011	GOLDEN HILLS SANITATION CO. - TO INCREASE RATES CHARGED FOR SEWER SERVICE FOR 2012	REOPENED	Sewer
11	A1201003	03-JAN-2012	SAN JOSE WATER CO. - TO INCREASE RATES CHARGED FOR WATER SERVICE FOR YEARS 2013 AND 2014	ACTIVE	Water
12	A1204019	23-APR-2012	CAL-AM WATER CO. - FOR APPROVAL OF THE MONTEREY PENINSULA WATER SUPPLY PROJECT AND AUTHORIZATION TO RECOVER ALL PRESENT AND FUTURE COSTS IN RATES	ACTIVE	Water
13	A1207007	05-JUL-2012	CALIFORNIA WATER SERVICE CO. - FOR AN ORDER AUTHORIZING IT TO INCREASE RATES FOR WATER SERVICE	ACTIVE	Water
14	A1210003	02-OCT-2012	CALIFORNIA-AMERICAN WATER COMPANY - ORDER AUTHORIZING THE TRANSFER OF COSTS INCURRED IN 2011 FOR A LONG TERM WATER SUPPLY PROJECT FOR THE MONTEREY COUNTY	ACTIVE	Water
15	A1301003	02-JAN-2013	VALENCIA WATER CO. - FOR AUTHORITY TO INCREASE RATES CHARGED FOR WATER SERVICE IN ORDER TO REALIZE INCREASED ANNUAL REVENUES	ACTIVE	Water
16	A1301004	02-JAN-2013	VALENCIA WATER CO. - FOR AN ORDER ESTABLISHING THE COST OF CAPITAL FOR THE PERIOD FROM JANUARY 1, 2014 THROUGH DECEMBER 31, 2016.	ACTIVE	Water
17	A1305010	20-MAY-2013	CYPRESS RIDGE OWNERS ASSOCIATION - FOR REHEARING OF RESOLUTION W-4946	ACTIVE	Water

NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY	
18	A1305017	24-MAY-2013	CALIFORNIA-AMERICAN WATER CO. - FOR AN ORDER APPROVING A SETTLEMENT AGREEMENT WITH THE COUNTY OF MONTEREY AND THE MONTEREY COUNTY WTR RESOURCES AGENCY	ACTIVE	Water
19	A1307002	01-JUL-2013	CALIFORNIA-AMERICAN WATER CO. - FOR AUTHORITY TO INCREASE ITS REVENUES FOR WATER SERVICE IN THE YEARS 2015 AND 2016	ACTIVE	Water
20	A1308024	22-AUG-2013	DEL ORO WATER CO. - FOR AUTHORITY TO INCREASE AMOUNT OF ITS INDEBTEDNESS FOR THE SAFE DRINKING WATER STATE REVOLVING FUND	ACTIVE	Water
21	A1309023	20-SEP-2013	ODD FELLOWS SIERRA RECREATION ASSOC. AND SIERRA PARK WATER CO., INC. - FOR CPCN TO OPERATE A WATER SYSTEM, TO ESTABLISH RATES AND TO ISSUE STOCKS	ACTIVE	Water
22	A1310006	10-OCT-2013	RAMONA WATER CO. AND WESTERN WATER CONSERVATION - FOR AUTHORITY TO BUY AND SELL THE WATER SYSTEM OF RAMONA WATER CO.	ACTIVE	Water
23	A1310011	10-OCT-2013	RURAL WATER CO. AND GOLDEN STATE WATER CO. - FOR APPROVAL OF SALE OF ASSETS	ACTIVE	Water
24	A1312002	02-DEC-2013	DEL ORO WATER CO. - TO REVIEW THE REASONABLENESS OF ITS GENERAL OFFICE, AFFILIATE TRANSACTIONS, AND NON-TARIFED GOODS AND SERVICES	ACTIVE	Water
25	A1312008	06-DEC-2013	PINON HILL WATER CO. AND VIERRA LLC - TO SELL AND TO BUY THE WATER SYSTEM IN TEHACHAPI	ACTIVE	Water
26	A1401001	06-JAN-2014	CYPRESS RIDGE OWNERS ASSOC. - FOR REHEARING OF RESOLUTION W-4968	ACTIVE	Water
27	A1401002	02-JAN-2014	APPLE VALLEY RANCHOS WATER COMPANY - FOR AUTHORITY TO INCREASE RATES CHARGED FOR WATER SERVICE IN YEARS 2015, 2016 AND 2017	ACTIVE	Water
28	A1401004	15-JAN-2014	JOHN W. RICHARDSON, AS RECEIVER FOR THE IDYLWILD WATER SYSTEM, ALSO KNOWN AS IDYLWILD WATER COMPANY - TO SELL AND TRANSFER THE WATER SYSTEM TO RAINIERI MUTUAL WATER COMPANY	ACTIVE	Water
29	A1401008	17-JAN-2014	CALIFORNIA WATER SERVICE CO. AND THE CITY OF SELMA - TO RECOVER COSTS FOR GROUNDWATER FEES IN THE SELMA DISTRICT	ACTIVE	Water
30	C0812007	03-DEC-2008	CALONE VS POINT ARENA WATER WORKS, INC. - FOR IMMEDIATE WATER UTILITY HOOKUP	ACTIVE	Water
31	C1105025	25-MAY-2011	HUGHES ET AL. VS. BIG OAK VALLEY WATER DISTRICT - COMPLAINT TO DECLARE DEFENDANT A PUBLIC UTILITY	ACTIVE	Water
32	C1203017	14-MAR-2012	COLEMAN, WALLACE, VAUGH AND DARGITZ VS ODD FELLOWS SIERRA RECREATION ASSOC. - FOR AN ORDER THAT DEFENDANT IS A WATER PUBLIC UTILITY	ACTIVE	Water
33	C1212014	21-DEC-2012	(ECP) BERRY VS MADDEN CREEK WATER CO. - FOR RELIEF FROM OVERBILLING AND CHARGES FOR SERVICES OWED BY OTHERS	ACTIVE	Water

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
34	C1301005	04-JAN-2013	SANTA CLARITA ORGANIZATION FOR PLANNING THE ENVIRONMENT AND FRIENDS OF SANTA CLARA RIVER VS. VALENCIA WATER AND CASTAIC LAKE WATER AGENCY - FOR RELIEF FROM UNAUTHORIZED TRANSFER OF CONTROL	ACTIVE	Water
35	C1302014	22-FEB-2013	WEBER VS. VALENCIA WATER COMPANY - FOR RELIEF PURSUANT TO RULE 4.1(B) FROM UNREASONABLE RATES CHARGED FOR WATER SERVICE	ACTIVE	Water
36	C1306005	10-JUN-2013	(ECP) HARDIN VS. APPLE VALLEY RANCHOS WATER CO. - FOR RELIEF FROM UNPAID WATER BILL INCURRED BY OTHERS PRIOR TO COMPLAINANT'S REQUEST FOR WATER SERVICE	ACTIVE	Water
37	C1308017	14-AUG-2013	WALCZAK, VANTASSEL, SIMPSON, ET. AL. AND OTHERS SIMILARLY SITUATED IN MARYSVILLE, CALIFORNIA VS CALIFORNIA WATER SERVICE CO. - FOR RELIEF FROM UNREASONABLE WATER RATES CHARGES	ACTIVE	Water
38	C1308019	22-AUG-2013	SHARIF PLAZA OF SACRAMENTO, LLC VS CA. AMERICAN WTR CO.- FOR RELIEF FROM VIOLATIONS OF PUB UTIL CODE 701.10 IN THAT THE FIRE SPRINKLER RATE DOES NOT MINIMIZE THE LONG-TERM COST OF RELIABLE WTR SERVICE	ACTIVE	Water
39	C1310007	16-OCT-2013	(ECP) ODEGARD VS SAN JOSE WATER CO. - FOR RELIEF FROM OVERBILLING FOR WATER SERVICE	ACTIVE	Water
40	C1310013	30-OCT-2013	(ECP) BURNEY FALLS MODULE ESTATES VS DEL ORO WATER CO. - FOR AN ACCOUNTING OF THE WATER BILLS AND USAGE DUE TO AN INACCURATE METER	ACTIVE	Water
41	C1312018	27-DEC-2013	(ECP) CALLAHAN VS SAN JOSE WATER CO. - FOR RELIEF FROM OVERBILLING FOR WATER CHARGES	ACTIVE	Water
42	C1312020	27-DEC-2013	(ECP) NANCE VS PENNGROVE WATER CO. - FOR RELIEF FOR OVERBILLING DUE TO LEAKING PIPES	ACTIVE	Water
43	C1401017	23-JAN-2014	(ECP) BRIAN AND BEATRIZ MOONEY VS. SAN JOSE WATER CO. - FOR RELIEF FROM OVERBILLING FOR WATER CHARGES	ACTIVE	Water
44	I1203008	08-MAR-2012	CPUC- GOLDEN HILLS SANITATION CO - OII INTO THE OPERATIONS AND PRACTICES OF GOLDEN HILLS SANITATION CO. AND ORDER TO SHOW CAUSE	REOPENED	Sewer
45	I1304003	04-APR-2013	CPUC - OII INTO THE REASONABLENESS OF THE RATES CURRENTLY IN EFFECT, AND OTHER MATTERS, FOR VALENCIA WATER COMPANY	ACTIVE	Water
46	R1011014	19-NOV-2010	CPUC - OIR ON THE COMMISSION'S OWN MOTION TO CONSIDER A COMPREHENSIVE POLICY FRAMEWORK FOR RECYCLED WATER.	ACTIVE	Water
47	R1111008	10-NOV-2011	CPUC - OIR INTO ADDRESSING THE COMMISSION'S WATER ACTION PLAN OBJECTIVE OF SETTING RATES THAT BALANCE INVESTMENT, CONSERVATION, AND AFFORDABILITY FOR THE MULTI-DISTRICT WATER UTILITIES	ACTIVE	Water

	NUMBER	FILED DATE	SHORT TITLE	STATUS	UTILITY
48	R1312011	19-DEC-2013	CPUC - OIR TO PROMOTE A PARTNERSHIP FRAMEWORK BETWEEN ENERGY INVESTOR OWNED UTILITIES AND THE WATER SECTOR TO PROMOTE WATER-ENERGY NEXUS PROGRAMS	ACTIVE	Water



APPENDIX C



2013 Annual Report

Submitted to the Legislature January 10, 2014



This is the cover from ORA's 2013 Annual Report.
The Report in its entirety can be found at www.ora.ca.gov/ar2013.aspx.